

**DISCUSSION PAPER
DRAFT CONCEPT RVCR r3**

This document does not represent an official position of the European Commission. It is a tool to explore the views of interested parties. The suggestions contained in this document do not prejudice the form and content of any possible future proposal by the European Commission.

Draft

EXPLANATORY MEMORANDUM

1. CONTEXT OF THE DELEGATED ACT

(a) Grounds for and objectives of the proposal

The term ‘L-category vehicles’ covers a wide range of different vehicle types with two, three or four wheels, e.g. two- and three-wheel mopeds, two- and three-wheel motorcycles and motorcycles with side-cars. Examples of light four-wheel vehicles, also referred to as quadricycles, are on-road quads and quadri-mobiles.

Type-approval requirements applying to new vehicles of the L category are currently set out in Directive¹ 2002/24/EC of the European Parliament and of the Council (the ‘Framework Directive’). In addition, a series of Directives referred to in the Framework Directive contain detailed technical requirements relating to L-category vehicles.

The Commission has identified a number of key concerns associated with the current provisions for the type-approval of new L-category vehicles, which need to be addressed:

- the complexity of the legal framework;
- the level of emissions and its increasing share in total road transport emissions, which are decreasing overall;
- vehicle functional safety aspects related to type-approval requirements;
- the lack of a legal framework for vehicles fitted with new technologies;
- the availability on the internal market, and registration of, certain imported vehicles, systems, components or separate technical units which do not comply with the current type-approval requirements regarding vehicle functional safety and/or environmental protection.

This proposal consolidates current type-approval requirements regarding the vehicle construction of L-category vehicles updating them in line with technical progress and simplifying them as much as possible by referring to international requirements in this area (e.g. UNECE Regulations). Vehicle construction requirements are considered to be those L-category vehicle approval requirements that either cannot be grouped specifically under vehicle functional safety or environmental and propulsion performance, or affect both groups of topics.

(b) Existing provisions in the area of the proposal

- Framework Directive of the European Parliament and Council 2002/24/EC;
- Directives: 93/33/EEC², 97/24/EC³, 2000/7/EC⁴, 2009/62/EC⁵, 2009/78/EC⁶, 2009/79/EC⁷ and 2009/139/EC⁸.

Type-approval legislation is addressed in ‘CARS 21’ initiative launched in 2005 to carry out a regulatory and policy review of the automotive sector to inform the Commission’s thinking on

¹ OJ L 124, 9.5.2002, p. 1
² OJ L 188, 29.7.1993, p. 32
³ OJ L 226, 18.8.1997, p. 1
⁴ OJ L 106, 3.5.2000, p. 1
⁵ OJ L 198, 30.7.2009, p. 20
⁶ OJ L 231, 3.9.2009, p. 8
⁷ OJ L 201, 1.8.2009, p. 29
⁸ OJ L 322, 9.12.2009, p. 3

future policy options. CARS 21 was partly a response to concerns expressed by automotive industry stakeholders that the cumulative cost of regulation had a negative effect on competitiveness and made vehicles unnecessarily expensive. The CARS 21 Final Report concluded that while most of the legislation in force should be maintained for the protection of citizens and the environment, arrangements should be simplified by means of rationalisation and international harmonisation. Plans for this simplification were set out in the Commission's *Second progress report on the strategy for simplifying the regulatory environment*. Any initiative taken should be aligned with this strategy. Referring to UNECE Regulations that replace current EU legislation is a particularly effective way of reducing complexity and the burden on vehicle manufacturers, approval authorities and technical services.

In line with the European strategy on air quality⁹, the European Union has constantly strengthened the emission standards for motor vehicles, in particular for hydrocarbons, carbon monoxide, nitrogen oxides and particulate matter. This will now also be the case for L-category vehicles with this proposal regarding the vehicle construction requirements impacting the environmental performance of L-category vehicles.

The type-approval measures in this legal framework are aligned with the European Road Safety Action Plan 2011-20 and the European Road Safety Charter (ERSC) 2000-10. The ERSC aimed to halve the number of road fatalities by 2010. Riders of L-category vehicles belong to a vulnerable road user group, with the highest fatality and injury rates of all road users.

For these reasons, this delegated act on vehicle functional safety stipulates detailed technical provisions and test procedures, with reference to the Codecision act, Regulation (EU) No 168/2013¹⁰ of the European Parliament and of the Council of 15 January 2013 on the approval and market surveillance of two- or three-wheel vehicles and quadricycles¹¹ to help achieve the EU's goals in terms of simplification and road safety.

The items grouped under this Regulation are either stand-alone topics related to vehicle construction or are affecting the environmental and propulsion performance as well as the functional safety of L-category vehicles. In addition general non-essential elements such as the detailed arrangements for type-approval, conformity of production requirements as well as performance standards and the procedure for the assessment of technical services are set-out in this delegated act to Regulation (EU) No 168/2013.

2. CONSULTATIONS PRIOR TO THE ADOPTION OF THE ACT

(a) Consultation of interested parties

The European Commission launched a public consultation seeking to gather the views of interested parties on its proposals for new legislation on L-category vehicle approval. The consultation document provided background and asked for opinions on the proposals.

The consultation targeted the groups most affected, including type-approval authorities, suppliers and consumers. It was published in English, French and German on a dedicated website.

The European Commission has acknowledged the receipt of all stakeholder responses to the consultation, and these have been made publicly available.

(b) Consultation methods, main sectors targeted and general profile of respondents

⁹ <http://ec.europa.eu/environment/archives/cafe/general/keydocs.htm>.

¹⁰ OJ L60, 2.3.2013, p. 52

¹¹ OJ L60, 2.3.2013, p 52

The Commission consulted stakeholders in a number of ways:

The public consultation, covering possible controversial aspects of the Codecision proposal, took place on the internet between 22 December 2008 and 27 February 2009. Reactions were received from Member States, the L-category vehicle and component manufacturing industry, transport organisations, user organisations, other non-governmental organisations and private individuals.

The contents of the draft Codecision proposal and the delegated acts were discussed in several meetings of the Commission's Working Group (MCWG) on L category vehicles.

Finally, many bilateral meetings were held in which individual stakeholders could freely express their views.

(c) Impact assessment

For each of the main aspects of the Codecision act, including parts of this proposal, the possible economic, environmental, safety and social advantages and disadvantages of the various options were assessed in both qualitative and quantitative terms. The options were then compared and one preferred option, or a logical combination of two options, was identified and described. These preferred options form the basis of the Codecision act and its delegated acts.

However, many detailed technical aspects of this delegated act on vehicle functional safety were carried over from the repealed Directives referred to in Article 81 of the Codecision act and for these an impact assessment was deemed unnecessary.

The draft impact assessment report was scrutinised by the Impact Assessment Board, whose recommendations for its improvement were incorporated as far as possible. The Board's opinion on the report was published together with the Codecision proposal, the final report and its executive summary.

3. LEGAL ELEMENTS OF THE DELEGATED ACT

(a) Legal basis

The legal basis of the proposal is Article 290 of the Treaty on the Functioning of the European Union (TFEU).

(b) Subsidiarity principle

Prior to the establishment of EU type-approval for L-category vehicles, standards were established at Member State level. The legislation often varied and manufacturers were obliged to vary their production of vehicles, systems, components or separate technical units accordingly. Also, these had to be tested for each Member State market, which was time consuming and costly. Different national rules consequently hindered trade and had a negative effect on the establishment and functioning of the internal market.

It was therefore necessary to take measures at EU level, especially to address EU-wide concerns regarding safety. Framework Directive 2002/24/EC, which was based on Article 95 of the Treaty establishing the European Community, aimed to establish an internal market in this area while ensuring a high level of protection for health, safety and the environment. There is still a need for EU action to avoid fragmentation of the internal market and ensure consistently high levels of protection across Europe.

A further advantage of EU level legislation are the economies of scale through harmonised legal requirements: vehicles, systems, components and separate technical units can be produced for the whole European market, rather than customised to obtain type approval in individual Member States. Also, updating the legal framework in line with technical progress will ensure a

level playing field for manufacturers with regard to new technology. Due to EU wide competition, consumers will benefit from lower prices.

Finally, strengthening market surveillance measures will help ensure that the same set of rules applies to all manufacturers operating on the EU market and that environmental and vehicle safety type approval requirements are enforced.

(c) Proportionality principle

The proposal complies with the proportionality principle because it does not go beyond what is necessary to achieve the objectives of ensuring the proper functioning of the internal market while at the same time providing for a high level of functional safety and environmental protection.

(d) Choice of instruments

The use of a Framework Regulation is considered to be appropriate in that it provides the required assurance for compliance while not requiring transposition into Member States' legislation.

The proposal uses the 'split-level approach' originally introduced at the request of the European Parliament and used in other legislation for EU type-approval of motor vehicles. This approach provides for legislation in three steps:

- the fundamental provisions and scope are laid down by the European Parliament and the Council in a Regulation based on Article 114 TFEU in accordance with the ordinary legislative procedure.
- the technical specifications associated with the fundamental provisions are laid down in three delegated acts (Article 290):
 - (a) Regulation on environmental and propulsion performance requirements;
 - (b) a Regulation on vehicle functional safety requirements and related subjects;
 - (c) a Regulation on vehicle construction requirements and general type-approval subjects containing requirements regarding:
 - (1) powertrain tampering prevention measures (anti-tampering);
 - (2) arrangements for type-approval procedures;
 - (3) conformity of production requirements;
 - (4) coupling devices and attachments;
 - (5) devices to prevent unauthorised use;
 - (6) electromagnetic compatibility (EMC);
 - (7) external projections;
 - (8) fuel storage;
 - (9) load platforms;
 - (10) masses and dimensions;
 - (11) on-board diagnostics (OBD) functional requirements;
 - (12) passenger handholds and footrests;

- (13) registration plate space;
 - (14) access to repair and maintenance information;
 - (15) stands;
 - (16) performance standards and assessment of technical services; and
- an Implementing Act (Article 291 of TFEU) sets out the administrative provisions regarding the information document, the definitions in the type-approval certificate, the certificate of conformity and associated production conformity requirements, etc.

Draft

COMMISSION DELEGATED REGULATION (EU) No .../..

of XXX

on the vehicle construction and general requirements for the approval and market surveillance of two- or three-wheel vehicles and quadricycles

(Text with EEA relevance)

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 290 thereof,

Having regard to Regulation (EU) No 168/2013 of the European Parliament and of the Council on the approval and market surveillance of two- or three-wheel vehicles and quadricycles¹², and in particular Articles 18, 25, 33 and 65 thereto,

Whereas:

- (1) The internal market comprises an area without internal frontiers in which the free movement of goods, persons, services and capital is ensured. To that end, a comprehensive Union type approval and a strengthened market surveillance system for L-category vehicles and its systems, components and separate technical units, as defined by Regulation (EU) No 168/2013, will continue to apply.
- (2) The term ‘L-category vehicles’ covers a wide range of light vehicle types with two, three or four wheels, e.g. powered cycles, two- and three-wheeled mopeds, two- and three wheeled motorcycles, motorcycles with side-cars and four-wheeled vehicles (quadricycles) such as on-road quads, all-terrain vehicles and quadrimobiles.
- (3) By Council Decision 97/836/EC, the Union has acceded to the Agreement of the United Nations Economic Commission for Europe (UNECE) concerning the adoption of uniform technical prescriptions for wheeled vehicles, equipment and parts which can be fitted to and/or be used on wheeled vehicles and the conditions for reciprocal recognition of approvals granted on the basis of these prescriptions (‘Revised 1958 Agreement’)¹³.
- (4) Manufacturers apply for approval for L-category vehicles, their systems, components or separate technical units in accordance with Regulation (EU) No 168/2013. Most requirements in Union regulations on vehicle parts are taken over from the corresponding UNECE regulations. UNECE regulations are constantly amended in line with technological progress and the respective Union regulations have to be regularly updated accordingly. In order to avoid this duplication, the CARS 21 High Level Group recommended the replacement of several Union directives by the corresponding UNECE regulations.
- (5) The possibility of applying UNECE regulations instead of Union legislation for the purpose of EU vehicle type-approval is provided for in Regulation (EU) No 168/2013. Under that Regulation, type-approval in accordance with UNECE regulations which apply on a

¹² OJ L60, 2.3.2013 p 52

¹³ OJ L 346, 17.12.1997, p. 78.

compulsory basis is regarded as EU type-approval in accordance with the Regulation and its delegated and implementing acts.

- (6) Replacing Union legislation by UNECE regulations helps to avoid duplication not only of technical requirements but also of certification and administrative procedures. In addition, type-approval that is directly based on internationally agreed standards should improve market access in third countries, in particular those which are contracting parties to the Revised 1958 Agreement, thus enhancing the Union industry's competitiveness.
- (7) Therefore, Regulation (EU) No 168/2013 provides for the repeal of several Union directives concerning the type-approval of L-category vehicles, their systems, components and separate technical units intended therefor, which, for the purposes of EU type-approval in accordance with that Regulation, should be replaced by corresponding UNECE regulations, the three delegated acts and the implementing act under that Regulation.
- (8) Whereas, pursuant to the provisions of Regulation (EU) No 168/2013, the L-category vehicles, systems components and separate technical units covered by this Regulation may not be placed or made available on the market or enter into service in the Member States unless they comply with the provisions of this Regulation; whereas the Member States shall take all the necessary measures to ensure fulfilment of the obligations resulting from this Regulation.
- (9) Whereas, given the scale and impact of the action proposed in the sector in question, the Union measures which are the subject of this Regulation are necessary, or even indispensable, to attain the environmental and safety objectives set, namely the approval of vehicles in the Union; whereas these objectives cannot be adequately achieved by the Member States individually;
- (10) Whereas technical progress requires rapid adaptation of the technical requirements; whereas, with the exception of the limit values for pollutants and sound level, this task should be assigned to the Commission in order to simplify and speed up the procedure; whereas, in all cases where the European Parliament and the Council confer upon the Commission authority to implement rules laid down in the L-category vehicle sector, it is appropriate to provide for a procedure for prior consultation between the Commission and Member States within a technical committee;
- (11) Whereas functional safety or environmental requirements call for restrictions on tampering with certain types of L-category vehicles; whereas, if they are not to prove an obstacle to owner servicing and maintenance, such restrictions shall be strictly limited to tampering which significantly modifies the vehicle's performance and pollutant emissions, noise emissions and vehicle functional safety in a harmful way. As harmful tampering affects both items the detailed requirements regarding powertrain and noise abatement tampering prevention requirements shall be laid down in this delegated act on vehicle construction..
- (12) Subcategories L6e-A (light on-road quads), L7e-A (heavy on-road quads) and L7e-B (heavy terrain quads) are vehicles with a high point of gravity in comparison to their width and wheelbase. They have a fairly wide range of passenger/load carrying configurations and they may be operated in off-road conditions. Multiple lateral static stability criteria are incorporated within Annex XI regarding masses and dimensions because of the importance of vehicle rollover stability in the off-road environment. Both tilt-table angle (TTA) and lateral-stability coefficient (Kst) are used as static stability indicators. Kst is a three-dimensional static measurement and serves as an indicator of level-terrain vehicle stability whereas the TTA test is representative of a vehicle operating on a side slope and tests the static longitudinal stability . The vehicle state for these static tests range from the operational

but otherwise unloaded L6e-A, L7e-A and L7e-B vehicles to represent both loaded and unloaded conditions. In addition the vehicle will have to be constructed by designing the masses and dimensions such to respect a minimum pitch stability. Associated testing is representative of a fully loaded vehicle directly ascending and descending a steep slope.

- (13) Unrestricted access to vehicle repair information, via a standardised format which can be used to retrieve the technical information and effective competition on the market for vehicle repair and maintenance information services are necessary to improve the functioning of the internal market, particularly as regards the free movement of goods, freedom of establishment and freedom to provide services. A great proportion of such information is related to on-board diagnostic (OBD) systems and their interaction with other vehicle systems. It is appropriate to lay down technical specifications that manufacturers' websites should follow, along with targeted measures to ensure reasonable access for small and medium-sized enterprises (SMEs). Common standards agreed with the involvement of stakeholders, such as the OASIS (1) format, can facilitate the exchange of information between manufacturers and service providers. It is therefore appropriate to initially require the use of the technical specifications of the OASIS format and to ask the Commission to request CEN/ISO to further develop this format into a standard with a view to replacing the OASIS format in due course.
- (14) In accordance with Article 6 of Regulation (EC) No 595/2009, Articles 6 and 7 of Regulation (EC) No 715/2007 on type approval of motor vehicles with respect to emissions from light passenger and commercial vehicles (Euro 5 and Euro 6) and on access to vehicle repair and maintenance information¹⁴ shall apply *mutatis mutandis*. Therefore, it is appropriate to carry over to this Regulation the provisions on access to repair and maintenance information set out in Regulation (EC) No 715/2007 and its implementing measures. Common provisions were carried over into chapter XVI of Regulation (EU) No 168/2013. However, it is necessary to adapt those provisions partly in order to take into account the specificities of the L-category vehicle sector.
- (15) In particular, it is appropriate to adopt specific procedures for access to vehicle repair and maintenance information in accordance with Article 6(1) of Regulation (EC) No 595/2009 in the case of multi-stage type-approval. It is also appropriate to adopt specific requirements and procedures for access to vehicle repair and maintenance information in the case of customer adaptations and small volume production. Finally, it is appropriate to make reference to the specific standards for reprogramming developed for the L-category vehicles.
- (16) Application of the provisions on access to repair and maintenance information may be too burdensome for vehicle manufacturers in the short term with respect to certain systems which are carried over from old vehicle types to new vehicle types. It is therefore appropriate to introduce certain limited derogations from the general provisions on access to vehicle OBD and vehicle repair and maintenance information.
- (17) Provisions on the access to OBD and vehicle repair and maintenance information for the purposes of the design and manufacture of automotive equipment for alternative fuel vehicles should be set once type-approval for such equipment becomes possible.
- (18) Since there is currently no common structured process for the exchange of vehicle component data between vehicle manufacturers and independent operators, it is appropriate to develop principles for such an exchange of data. A future common structured process on the standardised format of the data exchanged should be developed by the European

¹⁴ OJ L 171, 29.6.2007, p. 1.

Committee for Standardization (CEN) formally, whereupon the mandate given to CEN does not predetermine the level of detail this standard will provide. The CEN's work should, in particular, reflect the interests and needs of L-category vehicle manufacturers and independent operators alike and should also investigate solutions such as open data formats described by well defined meta-data to accommodate existing IT infrastructures.

- (19) Not later than four years after the date of entry into force of this Regulation, the Commission should review the operation of the system of access to all vehicle repair and maintenance information with a view to determining whether it would be appropriate to consolidate all provisions governing access to vehicle repair and maintenance information within a revised framework Directive on type approval. If the provisions governing access to all vehicle information are incorporated into that Directive, the corresponding provisions in this Regulation should be repealed, as long as the existing rights for access to repair and maintenance information are preserved.
- (20) When examining the major policy areas which impact the competitiveness of the European automotive industry the "CARS 21 High Level Group" agreed on a number of recommendations which aim at enhancing the industry's global competitiveness and employment while sustaining further progress in safety and environmental performance. In the area of simplification the High Level Group recommended amongst other proposals two legislative measures: firstly the introduction of the possibility for a manufacturer to conduct himself approval tests, which implies his designation as technical service ("self-testing"), secondly the possibility to use computer simulations instead of physical tests ("virtual testing").
- (21) Approval tests required with a view to granting type-approval are conducted by technical services duly notified by the type-approval authorities of the Member States after their skills and competence have been assessed under the relevant international standards. Those standards contain the necessary requirements to allow a manufacturer or a subcontracting party acting on his behalf to be designated as technical service by the approval authority in the meaning of the Framework Directive. It is however important to specify what the responsibilities of the manufacturers are in order to prevent potential conflict of interests. In addition the conditions for subcontracting tests by a manufacturer should be clarified.
- (22) One of the main features of the Union type-approval system lies in the high level of confidence which shall exist between the approval authority and the technical services it has appointed. It is therefore important that the information exchanged between technical services and approval authority ensure transparency and clarity.
- (23) Computer-Aided-x techniques ("CAx"), in particular Computer-Aided-Design ("CAD"), are used widely throughout the engineering process from conceptual design and layout of components and equipments, through strength and dynamic analysis of assemblies to definition of manufacturing methods. Available software makes possible the use of virtual testing methods based on those "CAx" techniques, the introduction of which was identified by the "CARS 21 High Level Group" as a means of reducing costs for manufacturers by removing the obligation of building prototypes for the purposes of type-approval.
- (24) As not all manufacturers wish to take advantage of virtual testing methods, it shall remain possible for manufacturers to continue to use the existing physical test methods. It is anticipated this optional approach will continue for the foreseeable future but as virtual testing techniques continue to develop this optional approach should be reviewed from time to time.

- (25) A virtual testing method should provide for the same level of confidence in the results as a physical test. Therefore, it is appropriate to lay down relevant conditions to ensure that proper validation of the mathematical models used can be conducted by the manufacturer or by the technical service.
- (26) The check of the conformity of the vehicles, components or separate technical units all the way through the production process is an essential mechanism of the Union type-approval. One of the ways for checking conformity of production consists in conducting physical tests on vehicles, components or separate technical units taken from the production. In the case that virtual methods have been used for type-approval purposes, it is necessary to make clear that only physical tests may be carried out in such events.

HAS ADOPTED THIS REGULATION:

CHAPTER I

SUBJECT MATTER, SCOPE AND DEFINITIONS

Article 1

Subject matter

This Regulation establishes the detailed technical requirements and test procedures regarding vehicle construction for the approval and market surveillance of L-category vehicles and their systems, components and separate technical units in accordance with Article 18 of Regulation (EU) No 168/2013. It also lays down generic type-approval requirements in accordance with Article 25(8) and conformity of production requirements set-out in Article 33(6) of that Regulation. Finally it establishes the performance standards and criteria for the assessment of technical services as laid down in Articles 65 of that Regulation.

Article 2

Definitions

The definitions of Regulation (EU) No 168/2013 shall apply. In addition, the following definitions shall apply:

Annex II - Definitions related to powertrain tampering prevention (anti-tampering)

- (1) ‘anti-tampering measures’ means a series of technical requirements and specifications the aim of which is to prevent, as far as possible, unauthorized modifications which may prejudice functional safety, in particular by increasing vehicle performance, and damage the environment;
- (2) ‘powertrain tampering prevention measures’ means anti-tampering measures affecting the powertrain of a vehicle;
- (3) ‘vehicle performance’ means the variables determining the performance of a vehicle including the propulsion performance variables referred to in Chapter III of Regulation (EU) No xxx/2013;
- (4) ‘unauthorized modification’ means a modification which is not permitted by Annex II;
- (5) ‘interchangeability of parts’ means the interchangeability of parts which are not identical;
- (6) ‘inlet conduit’ means the combination of the inlet passage and the intake pipe;
- (7) ‘inlet passage’ means the passage for the intake of air within the cylinder, cylinder-head or crankcase;

- (8) 'intake pipe' means a part connecting the carburettor or air-control system and the cylinder, cylinder-head or crankcase;
- (9) 'intake system': means the combination of the inlet conduit and the intake silencer;
- (10) 'exhaust system' means the combination of the exhaust pipe, the expansion box, the exhaust silencer and pollution control device(s);
- (11) 'special tools' means tools which are made available only to distributors authorized by the vehicle manufacturer and are not available to the general public;
- (12) 'spark delivery of the ignition system' means all the characteristics of the spark generated in the ignition system of a PI engine used to ignite the air - fuel mixture such as timing, level and positioning;
- (13) 'fuel feed system' means the set of components including and between fuel storage and air – fuel blending or injecting device(s);

Annex III - Definitions related to arrangements for type-approval procedures

- (14) 'self-testing' means a manufacturer to conduct himself approval tests, which implies his designation as technical service;

Annex IV - Definitions related to conformity of production requirements

- (15) 'conformity of production' means the ability to ensure that each produced series products in conformity with the specification, performance and marking requirements in the type approval and is abbreviated as CoP.
- (16) 'quality assurance' means a set of activities intended to establish confidence that quality requirements will be met and makes part of quality management;
- (17) 'quality control' means a set of activities intended to ensure that quality requirements are actually being met and makes part of quality management;
- (18) 'quality management system' means a set of interrelated or interacting elements that organizations use to direct and control how quality policies are implemented and quality objectives are achieved.
- (19) 'audit' means an evidence gathering process which is used to evaluate how well audit criteria are being and which shall be objective, impartial, and independent, and the audit process shall be both systematic and documented.
- (20) 'corrective actions' means a problem solving process with subsequent steps that are taken to remove the causes of an existing nonconformity or undesirable situation and is designed to prevent those from recurrence.
- (21) 'certification' or 'accreditation' means an attestation by a national accreditation body that an organisation meets the requirements set by harmonised standards and, where applicable, any additional requirements including those set out in relevant sectorial schemes, to carry out a specific conformity assessment activity;

Annex V - Definitions related to coupling devices and attachments

- (22) 'coupling device for L-category vehicle' means all parts and devices fitted to the frames, load-bearing parts of the bodywork and chassis of the vehicles by means of which towing and towed vehicles are connected together and they also include fixed or detachable parts for the attachment, adjustment or operation of the abovementioned coupling devices;

- (23) ‘coupling balls and towing brackets’ means coupling devices employing a spherical device and brackets on the motor vehicle for connecting to the trailer by means of a coupling head;
- (24) ‘coupling head’ means mechanical coupling devices on the drawbar of trailers for connecting to a coupling ball on the L-category vehicle;
- (25) ‘coupling point’ means the centre of engagement of the coupling fitted to a towed vehicle within the coupling fitted to a towing vehicle;

Annex VI - Definitions related to devices to prevent unauthorised use

- (26) ‘type of vehicle with regard to devices to prevent unauthorised use’ means vehicles which do not differ in such essential respects as the design characteristics of the protective device preventing the unauthorised use of the vehicle.

Annex VII - Definitions related to electromagnetic compatibility (EMC)

- (27) ‘type of vehicle with regard to electromagnetic compatibility’ means vehicles which do not differ in such essential respects as the design characteristics of the electronic components, spark-plugs and their wire harnesses as well as their method of attachment and location on the vehicle;

Annex VIII - Definitions related to external projections

- (28) ‘type of vehicle with regard to external projections’ means vehicles which do not differ in such essential respects as the design characteristics of the exterior surface, external shape, the arrangement of components and devices as well as their material hardness;
- (29) ‘plate edge’ means the outline of a plate which would have a total of four clearly identifiable edges if the shape of the plate is both flat and square and with an overall material thickness not exceeding 10 mm;
- (30) ‘stem’ means any projection or part which appears to have a round or virtually round shape, including bolt and screw heads, with a relatively constant overall diameter and which has a free end that can be contacted;
- (31) ‘corner’ means the three-dimensional shape of a surface which is not a plate edge or a stem;

Annex IX - Definitions related to fuel storage

- (32) ‘type of vehicle with regard to fuel storage’ means vehicles which do not differ in such essential respects as the shape, size and material characteristics as well as the method of mounting and location of the fuel tank on the vehicle;
- (33) ‘mesh size’ means the number of openings per (linear) inch of mesh;

Annex X – Definitions related to handholds and footrests

- (34) ‘type of vehicle with regard to handholds and footrests’ means vehicles which do not differ in such essential respects as the shape, size, material and mounting characteristics of the passenger handhold and footrests of the vehicle;

Annex X - Definitions related to load platforms

- (35) ‘load platform’ means a platform attached to the structure of the L-category vehicle for the carriage of load.

Annex XI - Definitions related to masses and dimensions

- (36) 'standard equipment' means the basic configuration of a vehicle which is equipped with all the features that are required under the regulatory acts referred to in Annex II to Regulation (EC) No 168/2013, including all features that are fitted without giving rise to any further specifications on configuration or equipment level;
- (37) 'mass of the propellant' means:
- (a) in the case of hybrid or fully electric propelled vehicle the mass of the propulsion batteries;
 - (b) in the case of in the case of (a) mono- , bi- or multi-fuel vehicle(s), the mass of a gaseous-fuelling system as well as the mass of storage tanks for gaseous fuel;
 - (c) in the case of pre-compressed air propulsion, the mass of storage tank(s) to store compressed air; and
 - (d) if a vehicle is propelled by a gaseous fuel, a liquefied gaseous fuel or is running on compressed air, 90% of the capacit(y)(ies) of 'fuel' mass in the gaseous fuel tank(s) if this 'fuel' is not taken into account in the mass in running order;
- (38) 'optional equipment' means all the features not included in the standard equipment which are fitted to a vehicle under the responsibility of the manufacturer that can be ordered by the customer;
- (39) 'mass of the optional equipment' means the mass of the equipment which may be fitted to the vehicle in addition to the standard equipment, in accordance with the manufacturer's specifications;
- (40) 'mass of the coupling' means the mass of the coupling device and of the parts necessary for the attachment of the coupling to the vehicle;
- (41) 'technically permissible maximum mass at the coupling point' means the mass, corresponding to the maximum permissible static vertical load on the coupling point ('S' or 'U' value) of a towing vehicle, on the basis of the construction features of the coupling and of the towing vehicle;
- (42) 'actual mass of the vehicle' means the mass in running order as set-out in Article 5 Regulation (EU) No 168/2013 increased by the mass of the driver (75 kg) and one passenger (65 kg), if applicable increased with the mass of the propellant, plus the mass of the optional equipment fitted to an individual vehicle;
- (43) 'driver mass' means the mass of a rider as set-out in paragraph appendix 1 to Annex IX;
- (44) 'technically permissible maximum laden mass' (M) means the maximum mass allocated to a vehicle on the basis of its construction features and its design performances;
- (45) 'technically permissible maximum towable mass' (TM) means the maximum mass capable of being towed by a towing vehicle.;
- (46) 'axle' means the common axis of rotation of two or more wheels whether power driven or freely rotating, and whether in one or more segments located in the same plane perpendicular to the longitudinal centre-line of the vehicle;
- (47) 'technically permissible maximum mass on the axle' (m) means the mass corresponding to the maximum permissible static vertical load transmitted to the ground by the wheels of the axle, on the basis of the construction features of the axle and of the vehicle and their design performances;
- (48) 'pay-mass' means the difference between the technically permissible maximum laden mass and the actual mass;

- (49) 'length' means the distance between two vertical planes perpendicular to the longitudinal plane of the vehicle and tangent to the front and rear of the vehicle, respectively;
- (50) 'length of the loading bed' means the distance from the foremost internal point to the rearmost internal point of the cargo area, measured horizontally in the longitudinal plane of the vehicle;
- (51) 'width' means the distance between two planes parallel to the longitudinal plane of the vehicle and tangent to the vehicle on either side of that plane;
- (52) 'height' means the distance between the plane supporting the vehicle and a parallel plane tangent to the upper part of the vehicle.;
- (53) 'longitudinal plane' means a vertical plane running parallel to the straight-ahead direction of travel of the vehicle;
- (54) 'wheelbase' means the dimension referred to in point 6.4.1 of Standard ISO 612:1978;
- (55) 'track width' means the distance referred to in point 6.5 of Standard ISO 612:1978;

Annex XII - Definitions related to the functional requirements of on-board diagnostics (OBD)

- (56) 'emission control system' means the electronic engine management controller and any emission-related component in the exhaust or evaporative system which supplies an input to or receives an output from this controller.
- (57) 'malfunction indicator (MI)' means a visible or audible indicator that clearly informs the driver of the vehicle in the event of malfunctions as referred to in Article 21 of Regulation (EU) No 168/2013.
- (58) 'malfunction' means the failure of a component or system that would result in emissions exceeding the OBD thresholds laid down in Annex VI (B) of Regulation (EU) No 168/2013, or if triggering of any operating mode occurs which significantly reduces engine torque, or if the OBD system is unable to fulfil the basic monitoring requirements of Annex X.
- (59) 'secondary air' refers to air introduced into the exhaust system by means of a pump or aspirator valve or other means that is intended to aid in the oxidation of HC and CO contained in the exhaust gas stream.
- (60) 'engine misfire' means lack of combustion in the cylinder of a positive-ignition engine due to absence of spark, poor fuel metering, poor compression or any other cause. In terms of OBD monitoring it is that percentage of misfires out of a total number of firing events (as declared by the manufacturer) that would result in emissions exceeding the OBD thresholds set-out in Annex VI (B) of Regulation (EU) No 168/2013, or that percentage that could lead to an exhaust catalyst, or catalysts, overheating causing irreversible damage.
- (61) 'type I test' means the applicable driving cycle used for emission approvals, as laid down in Annex I of Regulation (EU) No 168/2013.
- (62) 'driving cycle' means a test cycle consisting of engine start-up, driving mode where a malfunction would be detected if present, and engine shut-off.
- (63) 'warm-up cycle' means sufficient vehicle operation such that the coolant temperature has risen by a least 22 K from engine starting and reaches a minimum temperature of 343.2 K (70 °C).

- (64) ‘fuel trim’ refers to feedback adjustments to the base fuel schedule. Short-term fuel trim refers to dynamic or instantaneous adjustments. Long-term fuel trim refers to much more gradual adjustments to the fuel calibration schedule than short-term trim adjustments. These long-term adjustments compensate for vehicle differences and gradual changes that occur over time.
- (65) ‘calculated load value’ refers to an indication of the current airflow divided by peak airflow, where peak airflow is corrected for altitude, if available. This definition provides a dimensionless number that is not engine specific and provides the service technician with an indication of the proportion of engine capacity that is being used (with wide open throttle as 100 per cent);
- (66) ‘permanent emission default mode’ refers to a case where the engine management controller permanently switches to a setting that does not require an input from a failed component or system where such a failed component or system would result in an increase in emissions from the vehicle to a level above the limits given in Annex VI (B) of Regulation (EU) No 168/2013.
- (67) ‘power take-off unit’ means an engine-driven output provision for the purposes of powering auxiliary, vehicle mounted, equipment.
- (68) ‘access’ means the availability of all emission-related OBD data including all fault codes required for the inspection, diagnosis, servicing or repair of environmental or functional safety related parts of the vehicle, via the serial interface for the standard diagnostic connection, pursuant to paragraph 6.5.3.5. of Appendix 1 to Annex X.
- (69) ‘unrestricted’ means:
- (a) access not dependent on an access code obtainable only from the manufacturer, or a similar device; or
 - (b) access allowing evaluation of the data produced without the need for any unique decoding information, unless that information itself is standardised.
- (70) ‘standardised’ means that all data stream information, including all fault codes used, shall be produced only in accordance with industry standards which, by virtue of the fact that their format and their permitted options are clearly defined, provide for a maximum level of harmonisation in the L-category vehicle industry, and whose use is expressly permitted in this Regulation.
- (71) ‘deficiency’ means, in respect of vehicle OBD systems that up to two separate components or systems that are monitored contain temporary or permanent operating characteristics that impair the otherwise efficient OBD monitoring of those components or systems or do not meet all of the other detailed requirements for OBD. L-category vehicles may be type-approved, registered and made available with such deficiencies according to the requirements of paragraph 4 of Annex X.
- (72) ‘significant reduction of propulsion torque’ means a propulsion torque of less than or equal to [90%] of torque in normal operation mode.

Annex XIII - Definitions related to handholds and footrests

- (73) ‘type of vehicle with regard to handholds and footrests’ means vehicles which do not differ in such essential respects as the shape, size, material and mounting characteristics of the passenger handhold and footrests of the vehicle;

Annex XIV - Definitions related to registration plate space

- (74) ‘vehicle type with regard to registration plate space’ means vehicles which do not differ in such essential respects as the dimensions of the space for mounting and fixing of the registration plate(s), the location of the space for mounting and fixing of the registration plate(s) and the design characteristics of the surface for mounting and fixing of the front registration plate, if applicable;
- (75) ‘virtually flat surface’ means a surface of solid material, which may also consist of patterned mesh or grille, with a radius of curvature of at least 5000 mm;
- (76) ‘surface of patterned mesh’ means a surface consisting of an evenly spread pattern of shapes such as round, oval, diamond, rectangular or square holes spread evenly at intervals not exceeding 15 mm;
- (77) ‘surface of grille’ means a surface consisting of parallel bars which are spread evenly and have a mutual distance of not exceeding 15 mm.
- (78) ‘nominal surface’ means the theoretical geometrically perfect surface without taking into account surface irregularities such as protrusions or indentations.
- (79) ‘inclination’ means the degree of the angular deviation in relation to a vertical plane;

Annex XV - Definitions related to repair and maintenance information

- (80) ‘customer adaptation’ means any change to a vehicle, system, component or separate technical unit made at the specific request of a customer and subject to approval;
- (81) ‘vehicle OBD information’ means information relating to an on-board diagnostic system for any electronic system on the vehicle;
- (82) ‘carry-over system’ means a system, as defined in Article 3(16) of Regulation (EU) No 168/2013, carried over from an old type of vehicle to a new type of vehicle;

Annex XVI - Definitions related to stands

- (83) ‘type of vehicle with regard to stands’ means vehicles which do not differ in such essential respects as the vehicle mass, the distribution of mass between the axles, the tyre sizes and wheel dimensions, as well as the design characteristics and construction material of the vehicle stand;
- (84) ‘stand’ means a device that is firmly attached to the vehicle and is able to maintain the vehicle in its intended parking position, even when left fully unattended;
- (85) ‘prop stand’ means a stand which, when extended or swung into the position of use, supports the vehicle on one side only, while leaving both wheels in contact with the ground surface;
- (86) ‘centre stand’ means a stand which, when swung into the position of use, supports the vehicle by providing one or more areas of contact between the vehicle and the ground surface on both sides of the longitudinal median plane of the vehicle;
- (87) ‘transverse tilt’ means the sideways gradient, expressed as a percentage, of the actual supporting surface where the line formed by the intersection of the longitudinal median plane of the vehicle and the supporting surface is perpendicular in relation to the line of maximum gradient;
- (88) ‘longitudinal tilt’ means the fore and aft gradient, expressed as a percentage, of the actual supporting surface where the longitudinal median plane of the vehicle is parallel and thus in line with the line of maximum gradient;

- (89) ‘in-use position’ of a stand means a stand which is extended or opened and put in the intended position for parking;
- (90) ‘not-in-use position’ of a stand means a stand which is retracted or closed and kept in the position for travelling;

Annex XVII - Definitions related to performance standards and assessment of technical services

- (91) ‘designation of a technical service’ means an authorisation process by an approval authority in accordance with Article 64 of Regulation (EU) No 168/2013 to designate a laboratory to act as a technical service for testing or supervising testing of vehicles, systems, components separate technical units.

CHAPTER II

OBLIGATIONS OF MANUFACTURERS REGARDING VEHICLES CONSTRUCTION

Article 3

Manufacturer's obligations

1. In order to comply with the vehicle construction requirements as laid down in Article 18 of, and Annex II to, Regulation (EU) No 168/2013, manufacturers shall equip L-category vehicles with systems, components and separate technical units affecting its environmental, propulsion and functional safety performance to be designed, constructed and assembled so as to enable the vehicle in normal use and maintained according to the prescriptions of the manufacturer to comply with the detailed technical requirements and testing procedures. In accordance with Articles 6 to 20, manufacturers shall demonstrate by means of physical demonstration testing to the approval authority that the L-category vehicles made available on the market, registered or entering into service in the Union comply with the vehicle construction requirements of Article 18 of, and Annex II to, Regulation (EU) No 168/2013 and comply with the detailed technical requirements and test procedures laid down in this Regulation.
2. Manufacturers shall demonstrate that replacement devices requiring type approval that are made available on the market or are entering into service in the Union are approved in accordance with the requirements of Regulation (EU) No 168/2013, as specified by the detailed technical requirements and test procedures referred to in this Regulation. An approved L-category vehicle equipped with such a replacement device shall meet the same vehicle construction test requirements and performance limit values as a vehicle equipped with an original item of equipment or device satisfying endurance requirements up to and including those set out in Chapter III of Regulation (EU) No 168/2013.
3. The manufacturer shall also ensure that type approval procedures for verifying conformity of production are met with regards to the vehicle construction requirements laid down in Chapter III of Regulation (EU) No 168/2013 and with regards to the detailed technical requirements in this Regulation.
4. A L-category vehicle equipped with a replacement system, component or separate technical unit shall meet the same environmental test requirements and limit values than a vehicle equipped with an original equipment system, component or separate technical unit up to and including the durability requirements set out in Article 23(3) of Regulation (EU) No 168/2013.

5. Where applicable, the manufacturer shall submit a description of the measures taken to prevent tampering with and modification of the powertrain management system including functional safety and/or emission control computers.

Article 4

Application of UNECE regulations

In accordance with Article 54 of Regulation (EU) No 168/2013, the UNECE regulations set out in Annex I shall apply to type approval.

Article 5

Technical specifications on vehicle construction requirements and test procedures

1. The test procedures regarding vehicle construction shall be performed in accordance with the test requirements laid down in this Regulation and the tests shall be carried out or witnessed by the approval authority or, if authorised by the approval authority, by the technical service. The measurement methods and test results shall be reported to the approval authority in the format set out in the section on administrative provisions in Regulation (EU) No 168/2013.
2. In case the vehicle construction of a system, component or separate technical unit affects the environmental or propulsion performance of a vehicle, the manufacturer shall demonstrate that the environmental limit values laid down in Annexes V, VI and VII to Regulation (EU) No 168/2013 shall not be exceeded by the L-category vehicle type within the durability procedure in accordance with Article 23(3) and with Annex VII of Regulation (EU) No 168/2013.

Article 6

Technical requirements on powertrain tampering prevention (anti-tampering)

The test procedures and performance requirements applying to anti-tampering referred to in Annex II (C1) of Regulation (EU) No 168/2013 shall be conducted and verified in accordance with Annex II.

Article 7

Technical requirements on arrangements for type-approval procedures

The detailed technical requirements and test procedures on arrangements for type-approval procedures referred to in Annex II (C2) of Regulation (EU) No 168/2013 are laid down in Annex III of this Regulation.

Article 8

Technical requirements on conformity of production (CoP) requirements

The test procedures and performance requirements applying to conformity of production (CoP) requirements referred to in Annex II (C3) of Regulation (EU) No 168/2013 shall be conducted and verified in accordance with Annex IV.

Article 9

Technical requirements on coupling devices and attachments

The test procedures and performance requirements applying to coupling devices and attachments referred to in Annex II (C4) of Regulation (EU) No 168/2013 shall be conducted and verified in accordance with Annex V.

Article 10

Technical requirements on devices to prevent unauthorised use

The test procedures and performance requirements applying to devices to prevent unauthorised use referred to in Annex II (C5) of Regulation (EU) No 168/2013 shall be conducted and verified in accordance with Annex VI.

Article 11

Technical requirements on electromagnetic compatibility (EMC)

The test procedures and performance requirements applying to electromagnetic compatibility (EMC) referred to in Annex II (C6) of Regulation (EU) No 168/2013 shall be conducted and verified in accordance with Annex VII.

Article 12

Technical requirements on external projections

The test procedures and performance requirements applying to external projections referred to in Annex II (C7) of Regulation (EU) No 168/2013 shall be conducted and verified in accordance with Annex VIII.

Article 13

Technical requirements on fuel storage

The test procedures and performance requirements applying to fuel storage referred to in Annex II (C8) of Regulation (EU) No 168/2013 shall be conducted and verified in accordance with Annex IX.

Article 14

Technical requirements on load platforms

The test procedures and performance requirements applying to load platforms referred to in Annex II (C9) of Regulation (EU) No 168/2013 are laid down in Annex X of this Regulation.

Article 15

Technical requirements on masses and dimensions

The test procedures and performance requirements applying to masses and dimensions referred to in Annex II (C10) of Regulation (EU) No 168/2013 shall be conducted and verified in accordance with Annex XI.

Article 16

Technical requirements on the functional requirements of on-board diagnostics

The test procedures and performance requirements applying to on-board diagnostics referred to in Annex II (C11) of Regulation (EU) No 168/2013 shall be conducted and verified in accordance with Annex XII.

Article 17

Technical requirements on handholds and footrests

The test procedures and performance requirements applying to handholds and footrests referred to in Annex II (C12) of Regulation (EU) No 168/2013 shall be conducted and verified in accordance with Annex XIII.

Article 18

Technical requirements on registration plate space

The test procedures and performance requirements applying to the registration plate space referred to in Annex II (C13) of Regulation (EU) No 168/2013 shall be conducted and verified in accordance with Annex XIV.

Article 19

Technical requirements on access to repair and maintenance information

The test procedures and performance requirements applying to access to repair and maintenance information referred to in Annex II (C14) of Regulation (EU) No 168/2013 shall be conducted and verified in accordance with Annex XV.

Article 20

Technical requirements on stands

The test procedures and performance requirements applying to stands referred to in Annex II (C15) of Regulation (EU) No 168/2013 shall be conducted and verified in accordance with Annex XVI.

**CHAPTER III
OBLIGATIONS AND REQUIREMENTS OF TECHNICAL
SERVICES**

Article 21

Performance standards and assessment of technical services

The performance standards with which technical services have to comply and the procedure for their assessment referred to in Annex II (C16) of Regulation (EU) No 168/2013 are laid down in Annex XVIII of this Regulation.

CHAPTER IV

OBLIGATIONS OF THE MEMBER STATES

Article 22

Type approval of vehicles

In accordance with Articles 18, 25 and 33 and with effect from the dates laid down in Annex IV to Regulation (EU) No 168/2013 national authorities shall, in the case of new vehicles fulfilling previous vehicle construction requirements and that do not comply with Regulation (EU) No 168/2013 and the provisions of this Regulation consider certificates of conformity to be no longer valid for the purposes of Article 45(1) of Regulation (EU) No 168/2013 and shall, on grounds relating to emissions, fuel or energy consumption, or the applicable functional safety or vehicle construction requirements prohibit the making available on the market, registration, or entry into service of such vehicles.

CHAPTER V

FINAL PROVISIONS

Article 23

Entry into force and application

1. This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.
2. It shall apply as of 1 January 2016

This Regulation shall be binding in its entirety and directly applicable in the Member States in accordance with the Treaties.

Done at Brussels,

For the Commission
The President

LIST OF ANNEXES

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ANNEX I

List of UNECE regulations which apply on a compulsory basis

References to vehicle categories L1, L2, L3, L4, L5, L6 and L7 in the UNECE regulations shall be understood as references to vehicle categories L1e, L2e, L3e, L4e, L5e, L6e and L7e respectively under this Regulation, including any sub-categories. Vehicles with a maximum vehicle design speed of ≤ 25 km/h shall meet all the relevant requirements of UNECE Regulations applying to vehicles with a maximum vehicle design speed of > 25 km/h.

Regulation Number	Subject	Series of amendments	OJ Reference	Applicability
10	Electromagnetic compatibility (EMC)			L1e, L2e, L3e, L4e, L5e, L6e and L7e
62	Protection against unauthorized use	WP29/2006/24 effective date 10-10-2006	To be translated	L1e, L2e, L3e, L4e, L5e, L6e and L7e

Explanatory note: The fact that a component is included in this list does not make its installation mandatory. For certain components, however, mandatory installation requirements are laid down in other annexes to this Regulation.

ANNEX II

Requirements on powertrain tampering prevention measures (anti-tampering)

1. Purpose and scope

- 1.1. The powertrain tampering prevention measures are aimed at ensuring that a vehicle which meets the environmental and propulsion performance requirements, the vehicle construction requirements as well as the functional safety requirements at type approval remains compliant over its useful life and that adverse changes to the vehicle's powertrain which have negative impacts on functional safety and/or on the environment are discouraged.

The powertrain tampering prevention measures are aimed at ensuring that adverse changes to the vehicle's powertrain which have negative impacts on functional safety and/or on the environment are discouraged.

- 1.2. The powertrain tampering prevention measures shall also include special marking requirements of vehicles regarding maximum power, maximum design vehicle speed and stationary sound level on the statutory plate set-out in section 6. Special marking of original and non-original components, separate technical units, part and equipment affecting environmental and propulsion performance as well as functional safety shall comply with the provisions of sections 7 or 8 in order to allow enforcement authorities to verify if those parts and equipment fitted to a vehicle in-use are those of the type-approved vehicle.

- 1.3. Scope

All L-category vehicles referred to in Article 2 of Regulation (EU) No 168/2013 with the exception of (sub-)categories L3e-A3, L4e-A3 and L5e.

2. General requirements

- 2.1. The manufacturer shall ensure that the approval authority and technical service is provided with the necessary information and, where appropriate, the necessary vehicles, propulsions, components and separate technical units to enable them to verify that the requirements of this Annex have been met.

- 2.2. The manufacturer shall declare in the application for the type-approval its commitment not to market interchangeable components which involve an increase in the propulsion performance above that applicable to the relevant (sub-)category.

- 2.3. Interchangeability of non-identical parts between type-approved vehicles:

- 2.3.1. The interchangeability of the following components in an individual or combined way, shall not result in an increase of the propulsion performance exceeding the values measured and reported at type-approval meaning that in any case the maximum design vehicle speed and/or the maximum continuous rated and/or net engine power of the relevant category shall remain within the conformity of production boundaries set-out in paragraph 4.1.4. of Annex IV:

- 2.3.1.1. for vehicles equipped with a two-stroke engine: cylinder/piston combination, carburettor or fuel injector(s), intake pipe, exhaust system;

- 2.3.1.2. for vehicles equipped with a four-stroke engine: cylinder head, camshaft, cylinder/piston combination, carburettor or fuel injector(s), intake pipe, exhaust system.
- 2.4. In no case may the approved maximum design vehicle speed and/or the maximum continuous rated and/or net engine power of the relevant (sub-) category, set-out in Annex I of Regulation (EU) No 168/2013, be exceeded meaning that in any case the vehicle's propulsion performance shall remain within the conformity of production boundaries set-out in paragraph 4.1.4. of Annex IV.
- 2.5. In the case of chain or cogged belt, the number of teeth shall be written on the pinions.
- 2.6. The manufacturer shall declare that manufacturer facilitated modifications of the following characteristics will not increase the propulsion performance exceeding the conformity of production boundaries set-out in paragraph 4.1.4. of Annex IV: spark delivery of the ignition system if applicable, fuel feed and delivery system, air intake system including air filter(s) (modification or removal), propulsion battery configuration or electric power to the electric motor(s) if applicable, the drive train and the control unit(s) that control the powertrain of the vehicle.
- 2.7. If the ignition timing is adjustable, the propulsion performance shall be measured with the ignition advance set within $\pm 5^\circ$ of the value at which maximum engine power is achieved.
- 2.8. The manufacturer shall ensure that the approved vehicle complies with the following provisions on electronic system security limiting the vehicle's performance:
- 2.8.1. For vehicles equipped with (an) electrical/electronic device(s) which limit its propulsion performance, the vehicle manufacturer shall provide data and evidence to the test authorities to demonstrate that modification or disconnection of the device or its wiring system will not increase the performance.
- 2.8.2. Any vehicle equipped with electronic control shall include features to prevent modification, except as permitted by the manufacturer. The manufacturer shall permit modifications if these modifications are necessary for the diagnosis, servicing, inspection, retrofitting or repair of the vehicle.
- 2.8.3. Any reprogrammable computer codes or operating parameter shall be resistant to tampering and afford a level of protection at least as good as the provisions in ISO 15031-7:2001 provided that the security exchange is conducted using the communication protocols and diagnostic connector as prescribed in appendix 1 to Annex XII.
- 2.8.4. Computer-coded propulsion operating parameters shall not be changeable without the use of specialized tools and procedures, e.g. soldered or potted computer components, sealed or soldered computer enclosures.

- 2.8.5. Any removable calibration memory chips shall be potted, encased in a sealed container or protected by electronic algorithms and shall not be changeable without the use of specialised tools and procedures.
- 2.8.6. Manufacturers using programmable computer code systems (e.g. electrical erasable programmable read-only memory, EEPROM) shall deter unauthorised reprogramming. Manufacturers shall include enhanced tamper-protection strategies and write-protect features requiring electronic access to an off-site computer maintained by the manufacturer, to which independent operators shall also have access using the protection afforded in Annex XV. Methods giving an adequate level of tamper protection such as seed and key security access as per Keyword 2000 protocol, shall be approved by the approval authority.
- 2.8.7. Stored on-board diagnostic trouble codes (DTCs) in the powertrain or engine control unit(s) shall not be erased by disconnection of the on board computer from the vehicle power supply or by disconnection or failure of the vehicle battery or ground.

3. Additional specific requirements for (sub-)category L1e, L2e and L6e vehicles

- 3.1. The acceptable tolerance for maximum vehicle speed and/or power limitation of category L1e, L2e and L6e vehicles shall be +/- 5% of the maximum design vehicle speed and/or power classification criteria set-out in Annex I to Regulation (EU) No 168/2013.
- 3.2. Requirements for category L1e, L2e and L6e vehicles equipped with a combustion engine
- 3.2.1. Intake system
- 3.2.1.1. Each intake pipe shall be fixed with shear-bolts or bolts removable only using special tools. A restricted section, indicated on the outside, shall be located inside the pipes; at that point the wall shall be less than 4 mm in thickness, or 5 mm if using a flexible material, such as rubber for example.
- 3.2.1.2. Any interference with the pipes aimed at modifying the restricted section shall lead to either the destruction of the pipes or complete and permanent malfunctioning of the engine until they are restored to their approved condition.
- 3.2.1.3. A marking with indication of the vehicle category or categories as defined in paragraph 7.4.3. shall be legible on the pipes.
- 3.2.2. Engine
- 3.2.2.1. If an engine is equipped with (a) reed valve(s), this shall be fixed with shear-bolts which prevent re-use of its support or bolts removable only using special tools.
- 3.2.2.2. After mounting the maximum thickness of a cylinder-head gasket, if any, shall not exceed 1.3 mm.

- 3.2.2.3. Piston for two-stroke engines.
The piston, when in position at top dead centre, shall not cover the inlet port. This requirement does not apply to those parts of the transfer / scavenging port which coincide with the inlet port in the case of vehicles the engine of which is equipped with an induction system incorporating reed valve(s).
- 3.2.2.4. For two-stroke engines, rotation of the piston through 180° shall not increase engine performance.
- 3.2.3. Exhaust system
- 3.2.3.1. No artificial restriction is permitted in the exhaust system. The valve guides of a four-stroke engine are not to be considered artificial restrictions.
- 3.2.3.2. Removing the resonator tube, if installed, shall not result in increasing of the propulsion performance.
- 3.2.3.3. The part(s) of the exhaust system inside the silencer(s) which determine(s) the effective length of the exhaust pipe shall be affixed to the silencer(s) or expansion box(es) in such a way that it (they) cannot be removed.
- 3.5. CVT Transmission
CVT Transmission covers, if available, shall be fixed by means of at a minimum 2 shear bolts or be disassembled only by using special tools.

4 Additional specific requirements for (sub-)categories L3e-A1 and L4e-A1

- 4.1. Sub-category L3e-A1 and L4e-A1 vehicles shall comply with the requirements of either 3.2.1. or 3.2.2.1. or 3.2.3.1. and comply with the requirements set-out in 3.2.3.2. and 3.2.3.3.
- 4.2. Intake system
An irremovable sleeve shall be located in the inlet conduit.
- 4.2.1. If such a sleeve is located in the intake pipe, the latter shall be fixed to the engine block by means of shear-bolts or bolts removable only using special tools.
- 4.2.2. The sleeve shall have minimum hardness of 60 HRC. In the restricted section it shall not exceed 4 mm in thickness.
- 4.2.3. Any interference with the sleeve aimed at removing or modifying it shall lead to either the destruction of the sleeve and its support or complete and permanent malfunctioning of the engine until it is restored to its approved condition.
- 4.2.4. A marking with indication of the vehicle category or categories as defined in section x shall be legible on the surface of the sleeve or not far from it.
- 4.2.5. Each intake pipe shall be fixed with shear-bolts or bolts removable only using special tools. A restricted section, indicated on the outside, shall be located inside the pipes; at that point the wall shall be less than 4 mm in thickness, or 5

mm if using a flexible material, such as rubber for example.

- 4.2.6. Any interference with the pipes aimed at modifying the restricted section shall lead to either the destruction of the pipes or complete and permanent malfunctioning of the engine until they are restored to their approved condition.
- 4.2.7. A marking with indication of the vehicle (sub-)category as defined in Articles 2 and 4 as well as Annex I to Regulation (EU) No 168/2013 shall be legible on the pipes.
- 4.2.8. The part of the inlet conduit located in the cylinder head shall have a restricted section. In the whole inlet passage there shall not be a more restricted section (except the valve-seat section).
- 4.2.9. Any interference with the conduit aimed at modifying the restricted section shall lead to either the destruction of the pipe or complete and permanent malfunctioning of the engine until it is restored to its approved condition.
- 4.2.10. A marking with indication of the vehicle category as defined in section 7 shall be legible on the cylinder head.
- 4.2.11. The diameter of the restricted sections referred to in paragraph 4.2. may vary according to the (sub-)category vehicle concerned.
- 4.2.12. The manufacturer shall supply the diameter(s) of the restricted section(s) and prove to the approval authority and technical service that this restricted section is the most critical for the passage of gases, and that there is no other section which, if modified, could increase propulsion performance.

5. Additional specific requirements for other (sub-) category vehicles in the scope of paragraph 1.3.

- 5.4.1. For vehicles of sub-category L3e-A2 or L4e-A2 vehicle any variant or version among the same type shall not have a maximum net engine power that exceeds the double of the power.
- 5.4.2. The manufacturer shall declare that modifications and interchangeability of the characteristics and components listed below shall not lead to:
- for vehicles of sub-category L3e-A2 and L4e-A2, exceeding the double of the net engine power or maximum continuous rated power; or,
 - for vehicles of category L7e, exceeding the approved propulsion performance;
- 5.4.2.1. spark delivery of the ignition system if applicable;
- 5.4.2.3. fuel feed and delivery system;
- 5.4.2.4. air intake system including air filter(s) (modification or removal);
- 5.4.2.5. the drive train;

- 5.4.2.6. the control unit(s) that control(s) the propulsion performance of the powertrain.
- 5.4.2.7. removal of any component (mechanical, electrical, structural, etc.) which limits full engine load leading to any change in the approved propulsion performance according to Annex IX of Regulation (EU) No [xxx/2013].

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ANNEX III

Requirements on arrangements for type-approval procedures

1. Type-approval process

- 1.1. When receiving an application for vehicle type-approval, the approval authority shall:
 - 1.1.1. verify that all EU type-approval certificates issued pursuant to the regulatory acts which are applicable for vehicle type-approval cover the vehicle type and correspond to the prescribed requirements;
 - 1.1.2. by reference to the documentation make sure that the vehicle specifications and data contained in Part I of the vehicle information document are included in the data in the information packages and in the EU type-approval certificates in respect of the relevant regulatory acts;
 - 1.1.3. when an item number in Part I of the information document is not included in the information package of any of the regulatory acts, confirm that the relevant part or characteristic conforms to the particulars in the information folder;
 - 1.1.4. on a selected sample of vehicles from the type to be approved carry out or arrange to be carried out inspections of vehicle parts and systems to verify that the vehicle(s) is/are built in accordance with the relevant data contained in the authenticated information package in respect of the relevant EU type-approval certificates;
 - 1.1.5. carry out or arrange to be carried out relevant installation checks in respect of separate technical units where applicable;
 - 1.1.6. carry out or arrange to be carried out necessary checks in respect of the presence of the devices provided for in Annex VII of Regulation (EU) No 168/2013 where applicable;
 - 1.1.7. carry out or arrange to be carried out necessary checks in order to ensure that the requirements with regards to gaseous-fuel system are fulfilled, if applicable

2. Combination of technical specifications

- 2.1. The number of vehicles to be submitted shall be sufficient to permit the proper check of the various combinations to be type-approved according to the following criteria:
 - 2.1.1. vehicle type, variants and versions;
 - 2.1.2. vehicle and propulsion family as set-out in Annex X of Regulation (EU) No [xxx/2013];
 - 2.1.3. transmission;
 - 2.1.4. body style;

- 2.1.5. number of doors;
- 2.1.6. number of seating positions.

3. Specific provisions

- 3.1. Where no approval certificates for any of the relevant regulatory acts are available, the approval authority shall:
 - 3.1.1. arrange for the necessary tests and checks as required by each of the relevant regulatory acts;
 - 3.1.2. verify that the vehicle conforms to the particulars in the vehicle information folder and that it meets the technical requirements of each of the relevant regulatory acts;
 - 3.1.3. carry out or arrange to be carried out relevant installation checks in respect of separate technical units where applicable;
 - 3.1.4. carry out or arrange to be carried out necessary checks in respect of the presence of the devices provided for in Annex VII of Regulation (EU) No 168/2013 where applicable;
 - 3.1.5. carry out or arrange to be carried out necessary checks in order to ensure that the requirements with regards to gaseous-fuel system are fulfilled, if applicable.

4. Provisions regarding retrofitting

- 4.1. Provisions only for retrofitting of the performance level of subcategories (L3e/L4e)-A2 and (L3e/L4e)-A3 motorcycles with and without sidecar and vice versa.
 - 4.1.1. Retrofitting of the vehicle performance level specified for subcategory (L3e/ L4e)-A2 to a (L3e/L4e)-A3 motorcycle and vice versa shall be permitted under the following controlled conditions:
 - 4.1.1.1. The manufacturer shall conduct in type-approval separate tests for the (L3e/L4e)-A2 and (L3e/L4e)-A3 motorcycle configurations and demonstrate to the technical service and to the satisfaction of the approval authority the motorcycle's compliance with and separately report on the following:
 - 4.1.1.1.1. Environmental and propulsion performance requirements set-out in Chapter III of, and tests referred to in Annexes II, V, VI and VII to Regulation (EU) No 168/2013:
 - 4.1.1.1.1.1. the environmental Test types I, II, V, VII and IX referred to in Annex V of Regulation (EU) No 168/2013;
 - 4.1.1.1.1.2. the propulsion performance requirements and tests referred to in Annex II(A2) of Regulation (EU) No 168/2013;
 - 4.1.1.1.1.3. the vehicle / propulsion family definitions set-out in Regulation (EU) No [xxx/2013] on environmental and propulsion performance shall be separately determined and reported for the (L3e/L4e)-A2 and for the (L3e/L4e)-A3

motorcycle configurations.

- 4.1.1.1.2. Functional safety requirements: tests shall be conducted and the associated requirements shall be met according to Annex II (B2), (B4), (B14),(B17) and (B18) of Regulation (EU) No 168/2013;
- 4.1.1.1.3. Vehicle construction tests shall be conducted and the associated requirements shall be met according to Annex II (C1) and (C10) of Regulation (EU) No 168/2013.
- 4.1.1.2. All other type-approval requirements than the ones listed in paragraph 4.1.1.1. which are set-out in Annex II of Regulation (EU) No 168/2013 shall be regarded as common and equal between the (L3e/ L4e)-A2 and (L3e/L4e)-A3 motorcycle configurations and shall therefore only be tested and reported once for both motorcycle configurations.
- 4.1.1.3. The manufacturer shall program the applicable motorcycle sub-category "L3e-A2" or "L3e-A3" in the PCU / ECU read-only memory of the motorcycle when retrofitting the performance level from (L3e/ L4e)-A2 to (L3e/L4e)-A3 or vice versa. This electronic information shall be made available in a readable format on request of a generic scan tool in complying with the provisions set-out in Annex XII. This electronic information shall be protected against tampering in accordance with paragraph 2.8 of Annex II.
- 4.1.1.4. The manufacturer shall issue a declaration according to the template set-out in Annex IV of Regulation (EU) No [xxx/2013] regarding administrative provisions and declare that from the technical perspective the approved motorcycle may be retrofitted to the (L3e/L4e)-A2 or (L3e/L4e)-A3 performance levels. The declaration shall be annexed to the certificate of conformity and a specimen shall be included in the information folder.
- 4.1.1.5. The manufacturer shall issue a Certificate of Conformity in accordance with Annex IV of Regulation (EU) No [xxx/2013] regarding administrative provisions and fill-out the dedicated entries separately for the (L3e/L4e)-A2 and (L3e/L4e)-A3 configurations.
- 4.1.2. Only one type-approval number and one vehicle identification number shall be designated to the approved motorcycle complying with the requirements of paragraph 4.1.1. by the approval authority.
- 4.1.3. Retrofitting of the (L3e/L4e)-A2 or (L3e/L4e)-A3 performance level or vice versa shall only be performed and controlled by the motorcycle manufacturer.
- 4.1.4. A new (L3e/L4e)-A2 or (L3e/L4e)-A3 motorcycle shall be registered without any additional testing if the new motorcycle (L3e/L4e)-A2 is retrofitted to the (L3e/L4e)-A3 performance level or vice versa under the following conditions:
 - 4.1.4.2. the reading of the generic scan tool referred to in paragraph 4.1.1.3. indicates the applicable (L3e/L4e)-A2 or (L3e/L4e)-A3 performance level;
 - 4.1.4.1. the vehicle owner presents a valid Certificate of Conformity with the annexed manufacturer declaration referred to in paragraphs 4.1.1.4. and 4.1.1.5.

5.0. Procedures to be followed during multi-stage EU type-approval

4.1. General

4.1.1. The satisfactory operation of the process of multi-stage EU type-approval requires joint action by all the manufacturers concerned. To this end type-approval authorities shall ensure, before granting first and subsequent stage approval, that suitable arrangements exist between the relevant manufacturers for the supply and interchange of documents and information such that the completed vehicle type meets the technical requirements of all the provisions in Regulation (EU) No 168/2013 its delegated and implementing acts. Such information shall include details of relevant system, component and separate technical unit approvals and of vehicle parts which form part of the incomplete vehicle but are not yet approved.

4.1.2. Type-approvals in accordance with this paragraph are granted on the basis of the current state of completion of the vehicle type and shall incorporate all approvals granted at earlier stages.

4.1.3. Each manufacturer in a multi-stage EU type-approval process is responsible for the approval and conformity of production of all systems, components or separate technical units manufactured by him or added by him to the previously built stage. He is not responsible for subjects which have been approved in an earlier stage except in those cases where he modifies relevant parts to an extent that the previously granted approval becomes invalid.

4.2. Procedures.

The approval authority shall:

4.2.1. verify that all EU type-approval certificates issued pursuant to the regulatory acts which are applicable for vehicle type-approval cover the vehicle type at its state of completion and correspond to the prescribed requirements;

4.2.2. ensure that all the relevant data, taking account of the state of completion of the vehicle, is included in the information folder;

4.2.3. by reference to the documentation make sure that the vehicle specification(s) and data contained in Part I of the vehicle information folder are included in the data in the information packages and in the EU type-approval certificates, in respect of the relevant regulatory acts; and in the case of a completed vehicle, where an item number in Part I of the information folder is not included in the information package of any of the regulatory acts, confirm that the relevant part of characteristic conforms to the particulars in the information folder;

4.2.4. on a selected sample of vehicles from the type to be approved carry out or arrange to be carried out inspections of vehicle parts and systems to verify that the vehicle(s) is/are built in accordance with the relevant data contained in the authenticated information package in respect of all relevant regulatory acts;

4.2.5. carry out or arrange to be carried out relevant installation checks in respect of separate technical units where applicable.

4.3. The number of vehicles to be inspected for the purposes of paragraph 4.2.4. shall be sufficient to permit the proper control of the various combinations to be EU type-approved according to the state of completion of the vehicle and the criteria set-out in 2.1.

4.4. Identification of the vehicle

4.4.1. Vehicle identification number.

4.4.1.1. The identification number of the base vehicle (VIN) prescribed by paragraph 2 of Annex XII of Regulation (EU) No [xxx/2013] shall be retained during all the subsequent stages of the type-approval process to ensure the ‘traceability’ of the process;

4.4.1.2. However, at the final stage of completion, the manufacturer concerned by this stage may replace, in agreement with the approval authority, the first and second sections of the vehicle identification number by his own vehicle manufacturer code and the vehicle identification code if, and only if, the vehicle has to be registered under his own trade name. In such a case, the complete vehicle identification number of the base vehicle shall not be deleted.

4.4.2. Additional manufacturer’s plate

4.4.2.1. At the second and subsequent stages, in addition to the statutory plate prescribed by Article 39 of Regulation (EU) No 168/2013, each manufacturer shall affix to the vehicle an additional plate in compliance with the requirements as set out in Annex IX of Regulation (EU) No 168/2013.

5. Specific conditions required from virtual testing methods and regulatory acts for which virtual and/or self-testing methods may be used by a manufacturer or a technical service.

5.0. Objectives and scope

5.0.1. This paragraph lays down appropriate provisions concerning virtual testing in accordance with Article 30(4) of Regulation (EU) No 168/2013. It shall not apply to the second subparagraph of Article 30(3).

5.0.2. This paragraph also sets-out those topics for self-testing, in accordance with the requirements laid down in paragraph 5.0 of Annex XVII.

5.1. List of delegated acts and their annexes

Delegated act reference	Annex No	Virtual and/or Self-testing	Subject	Restrictions / Comments
REPPR ¹⁵	IX	Self-testing	Testing procedures on maximum design vehicle speed	Only for subcategories L3e-A3, L4e-A3 and L5e and does not include any other propulsion performance testing.
RVFSR ¹⁶	II	Self-testing	Audible warning devices	Installation only

RVFSR	VIII	Self-testing	Driver-operated controls including identification of controls, tell-tales and indicators	Speedometer only
RVFSR	IX	Virtual testing	Installation of lighting and light signalling devices	Dimensions only
RVFSR	X	Virtual testing	Rearward visibility	Installation only; Only according to UN Regulation 81
RVFSR	XIV	Virtual testing	Installation of tyres	Only in case clearance exceeds 10 mm.
RVCR ¹⁷	XIV	Self & Virtual testing	Registration plate space	
RVCR	XVI	Self-testing	Stands	Only paragraph 2.5. stand retention systems.
RAR ¹⁸	VIII	Self-testing	Statutory plate and EU type-approval mark	

Table 3-1: overview items from Annex II of Regulation (EU) No 168/2013 with regards to virtual and self-testing.

6.0. General conditions required from virtual testing methods

6.1. Virtual test pattern

The following scheme shall be used as basis structure for describing and conducting virtual testing:

- 6.1.1. purpose;
- 6.1.2. structure model;
- 6.1.3. boundary conditions;
- 6.1.4. load assumptions;
- 6.1.5. calculation;
- 6.1.6. assessment;
- 6.1.7. documentation.

6.2. Fundamentals of computer simulation and calculation

6.2.1. Mathematical model.

The mathematical model shall be supplied by the manufacturer. It shall reflect the complexity of the structure of the vehicle, system and components to be tested in relation to the requirements of the regulatory act and its boundary conditions. The same provisions shall apply mutatis mutandis for testing components or technical units independently from the vehicle.

6.2.2. Validation process of the mathematical model.

The mathematical model shall be validated in comparison with the actual test

conditions. To that effect a physical test shall be conducted for the purposes of comparing the results obtained when using the mathematical model with the results of a physical test. Comparability of the test results shall be proven. A validation report shall be drafted by the manufacturer or by the technical service and submitted to the approval authority. Any change made to the mathematical model or to the software likely to invalidate the validation report shall be brought to the attention of the approval authority which may require that a new validation process is conducted. The flow chart of the validation process is shown in Appendix 3.

6.2.3. Documentation.

The data and auxiliary tools used for the simulation and calculation shall be made available by the manufacturer and be documented in a suitable way.

6.2.4. Tools and support.

At the request of the technical service, the manufacturer shall supply or provide access to the necessary tools including appropriate software.

6.2.5. In addition the manufacturer shall provide appropriate support to the technical service.

6.2.6. Providing access and support to a technical service does not remove any obligation of the technical service regarding the skills of its personnel, the payment of licence rights and respect of confidentiality.

7. Validation process virtual testing

7.1.

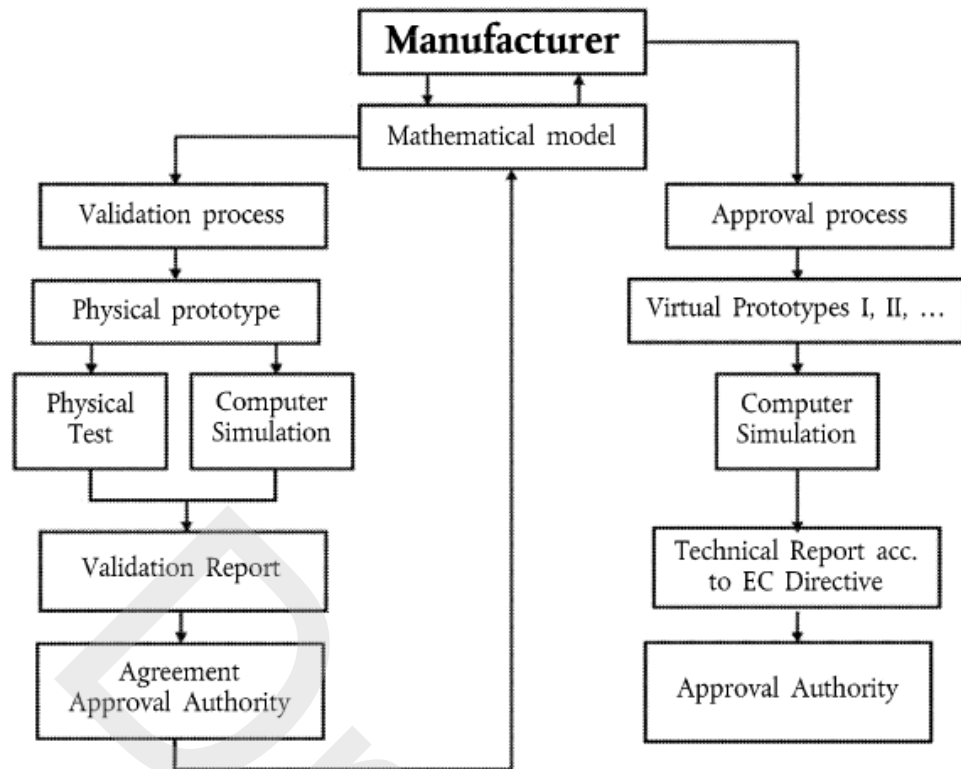


Figure 3-1: flow chart validation process virtual testing.

ANNEX IV
Requirements on conformity of production requirements

0. Objectives

- 0.1. The conformity of production procedure aims to ensure that each produced vehicle, system, component, separate technical unit, part or equipment is in conformity with the approved type.
- 0.2. Procedures include inseparably the assessment of quality assurance management systems, referred to below as "initial assessment" and verification and production-related controls, referred to as "product conformity arrangements".

1. Initial assessment

- 1.1. The approval authority shall verify - before granting type approval - the existence of satisfactory arrangements and procedures established by the manufacturer for ensuring effective control so that vehicles, systems, components or separate technical units when in production conform to the approved type.
- 1.2. Guidance for conducting assessments may be found in the standard EN ISO 19011:2011 - Guidelines for quality and/or environmental management systems auditing.
- 1.3. The requirement in paragraph 1.1. shall be verified to the satisfaction of the approval authority granting type approval. The approval authority granting the type approval shall be satisfied with the initial assessment and the product conformity arrangements in section 2 below, taking account - as necessary - of one of the arrangements described in points 1.3.1. to 1.3.3. or a combination of those arrangements in full or in part as appropriate.
 - 1.3.1. The actual initial assessment and/or verification of product conformity arrangements shall be carried out by the approval authority granting the approval or by a technical service designated to act on behalf of that approval authority.
 - 1.3.1.1. When considering the extent of the initial assessment to be carried out, the approval authority may take account of available information relating to:
 - 1.3.1.1.1. the manufacturer's certification described in paragraph 1.3.3. below, which has not been qualified or recognised under that paragraph;
 - 1.3.1.1.2. in the case of type-approval of system, component or separate technical units, quality system assessments performed by vehicle manufacturer(s), in the premises of the manufacturer(s) of the system, component or separate technical units, according to one or more of the industry sector specifications satisfying the requirements in the standard EN ISO 9001:2008 or ISO/TS16949:2009.
 - 1.3.2. The actual initial assessment and/or verification of product conformity arrangements may also be carried out by the approval authority of another Member State, or the technical service designated for this purpose by the latter approval authority.

- 1.3.2.1. In such a case, the approval authority of the other Member State shall prepare a statement of compliance outlining the areas and production facilities it has covered as relevant to the product(s) to be type-approved and to the Regulations according to which these products are to be type-approved.
- 1.3.2.2. On receiving an application for a compliance statement from the approval authority granting type-approval, the approval authority of another Member State shall send forthwith the statement of compliance or advise that it is not in a position to provide such a statement.
- 1.3.2.3. The statement of compliance shall include at least the following:
 - 1.3.2.3.1. group or company (e.g. XYZ automotive);
 - 1.3.2.3.2. particular organisation (e.g. regional division);
 - 1.3.2.3.3. plants/sites (e.g. engine plant 1 (in country A) - vehicle plant 2 (in country B));
 - 1.3.2.3.4. vehicle/component range (e.g. all category LXe models);
 - 1.3.2.3.5. areas assessed (e.g. engine assembly, body pressing and assembly, vehicle assembly);
 - 1.3.2.3.6. documents examined (e.g. company and site quality manual and procedures);
 - 1.3.2.3.7. date of the assessment (e.g. audit conducted from dd/mm/yyyy to dd/mm/yyyy);
 - 1.3.2.3.8. planned monitoring visit (e.g. mm/yyyy);
- 1.3.3. The approval authority may also accept the manufacturer's certification to the international standard EN ISO 9001:2008, ISO/TS16949:2009 (the scope of this certification shall in that case cover the product(s) to be approved) or an equivalent accreditation standard as satisfying the initial assessment requirements of paragraph 1.1., provided that conformity of production is indeed covered by the quality management system. The manufacturer shall provide details of the certification and undertake to inform the approval authority of any revisions to its validity or scope of that certification.
- 1.4. For the purpose of vehicle type-approval, the initial assessments carried out for granting approvals for systems, components and separate technical units of the vehicle need not be repeated but shall be completed by an assessment covering the locations and activities relating to the assembly of the whole vehicle not covered by the former assessments.

2. Product conformity arrangements

- 2.1. Every vehicle, system, component, separate technical unit, part or equipment pursuant to a UN Regulation annexed to the revised 1958 Agreement and to Regulation (EU) No 168/2013 shall be so manufactured as to conform to the type approved by meeting the requirements of this Annex, of the said UN Regulation(s) and of Regulation (EU) No 168/2013.

- 2.2. The approval authority, before granting a type approval pursuant to Regulation (EU) No 168/2013 and to a UN Regulation annexed to the revised 1958 Agreement, shall verify the existence of adequate arrangements and documented control plans, to be agreed with the manufacturer for each approval, to carry out at specified intervals those tests or associated checks necessary to verify continued conformity with the approved type, including, specifically, where applicable, tests specified in Regulation (EU) No 168/2013 and in the said UN Regulation.
- 2.3. The holder of the type approval shall, in particular:
 - 2.3.1. ensure the existence and application of procedures for effective control of the conformity of products (vehicles, systems, components, separate technical units, parts or equipment) to the approved type;
 - 2.3.2. have access to the testing or other appropriate equipment necessary for checking the conformity to each approved type;
 - 2.3.3. ensure that test or check results' data are recorded and that annexed documents remain available for a period to be determined in agreement with the approval authority. This period shall not exceed 10 years;
 - 2.3.4. analyse the results of each type of test or check, in order to verify and ensure the stability of the product characteristics, making allowance for variation of an industrial production;
 - 2.3.5. ensure that for each type of product, at least the checks and the tests prescribed in Regulation (EU) No 168/2013 and its delegated and implementing acts as well as set-out in the referenced and applicable UN Regulation therein are carried out;
 - 2.3.6. ensure that any set of samples or test pieces giving evidence of non-conformity in the type of test in question gives rise to a further sampling and test. All the necessary steps shall be taken to restore the production process to ensure conformity with the approved type.
- 2.4. In case of step-by-step, mixed or multi-stage type-approvals, the approval authority granting whole vehicle type-approval may request specific details regarding compliance with the conformity of production requirements set-out in this Annex from any approval authority that granted type-approval of any relevant system, component or separate technical unit.
- 2.5. If the approval authority granting whole vehicle type-approval is not satisfied with the reported information as referred to in paragraph 2.4. and has communicated this in writing to the manufacturer in question as well as the approval authority granted type-approval for the system, component or separate technical unit, the approval authority granting whole vehicle type-approval shall demand additional conformity of production audits or checks to be performed at the site of the manufacturer(s) of those systems, components or separate technical units and the results shall immediately be made available to the approval authority concerned .
- 2.6. If paragraphs 2.4. and 2.5. apply and the further audit or checks results are deemed not to be satisfactory in the opinion of the approval authority granting whole

vehicle type-approval, the manufacturer shall ensure that conformity of production is restored as soon as possible by corrective actions to the satisfaction of the approval authority granting whole vehicle type-approval and to the satisfaction of the approval authority granting type-approval of the system, component or separate technical unit.

3. Continued verification arrangements

- 3.1 The authority which has granted type approval may at any time verify the conformity of production control methods applied in each production facility by means of periodic audits. The manufacturer shall for that purpose allow access to the manufacture, inspection, testing, storage and distribution sites and shall provide all necessary information with regard to the quality management system documentation and records.
- 3.1.1. The normal arrangements for such periodic audits shall be to monitor the continued effectiveness of the procedures laid down in sections 1 and 2 (initial assessment and product conformity arrangements).
- 3.1.1.1. Surveillance activities carried out by the technical services (qualified or recognised as required in paragraph 1.3.3) shall be accepted as satisfying the requirements of paragraph 3.1.1 with regard to the procedures established at initial assessment.
- 3.1.1.2. The normal frequency of these verifications by the approval authority (other than those referred to in paragraph 3.1.1.1.) shall be such as to ensure that the relevant conformity of production controls applied in accordance with sections 1 and 2 are reviewed over a period consistent with the climate of trust established by the approval authority.
- 3.2. At every review, the records of tests and checks and production records shall be available to the inspector; in particular, records of those tests or checks documented as required in paragraph 2.2.
- 3.3. The inspector may select samples at random to be tested in the manufacturer's laboratory or in the facilities of the technical service. In such a case only physical tests shall be carried out. The minimum number of samples may be determined according to the results of the manufacturer's own verification.
- 3.4. Where the level of control appears unsatisfactory, or when it seems necessary to verify the validity of the tests carried out in application of paragraph 3.2., the inspector shall select samples to be sent to the Technical Service to perform physical tests according to the CoP requirements set-out in paragraph 4 and in the UN Regulations referred to in Regulation (EU) No 168/2013 or in its delegated acts.
- 3.5. Where unsatisfactory results are found during an inspection or a monitoring review, the approval authority shall ensure that all necessary steps are taken to restore conformity of production as rapidly as possible.
- 3.6. In case where compliance with UNECE Regulations is required by Regulation (EU) No 168/2013 or its delegated acts the manufacturer may choose to apply the provisions of this Annex as an equivalent alternative to the conformity of

production requirements set-out in the respective UNECE Regulations . However, if paragraph 3.5. or 3.6. apply all those separate conformity of productions requirements in the UN Regulations have to be complied with to the satisfaction of the approval authority and up to the point that the approval authority has decided that conformity of production has been restored again.

4. Conformity of production test requirements in case of an unsatisfactory level of product conformity control referred to in paragraph 3.4.

4.1. Environmental and propulsion performance requirements

4.1.1 Test type I on emissions after cold start, type II on increased idle emissions and type VII on measurement of CO₂ emissions, fuel consumption, electric energy consumption and electric range determination.

4.1.1.1. Vehicles shall be manufactured in conformity with the approved vehicle type.

4.1.1.2. So as to verify that the conditions set out in paragraph 4.1.1.1. are complied with, appropriate conformity of production checks shall be carried out.

4.1.1.3. Vehicles powered by an internal combustion engine only:

4.1.1.3.1. As a general rule, measures to ensure the conformity of production with regard to tailpipe and CO₂ emissions from vehicles are to be checked on the basis of the description in the type approval certificate conforming to the model in Regulation (EU) No [xxx/2013] regarding administrative provisions.

The control of production conformity is based on an assessment made by the approval authority of the manufacturer's auditing procedure in order to ensure conformity of the manufactured vehicle with respect to the tailpipe and CO₂ emissions.

If the approval authority is not satisfied with the standard of the manufacturer's auditing procedure, they may require that verification tests be carried out on vehicles in production.

4.1.1.3.1. 1. If a measurement of the tailpipe and CO₂ emissions shall be carried out on a vehicle type that has had one or several extensions, the tests will be carried out on the vehicle(s) available at the time of the test (vehicle(s) described in the first document or in subsequent extensions).

4.1.1.3.1. 1.1. Conformity of the vehicle for type I, tailpipe emissions after cold start and type VII, CO₂ emission test.

4.1.1.3.1. 1.1.1. Three vehicles are randomly taken in the series and are tested according to the procedure as set-out in Regulation (EU) No [xxx/2013]. If the first two vehicles comply then the production conformity is considered as satisfactory. If the first two vehicles do not comply, the CoP procedure continues as follows:

4.1.1.3.1. 1.1.2. If the authority is satisfied with the production standard deviation given by the manufacturer, the tests are carried out according to paragraph 4.1.1.3.2.

If the authority is not satisfied with the production standard deviation given by the

manufacturer, the tests are carried out according to paragraph 4.1.1.3.3.

4.1.1.3.1. The production of a series is regarded as conforming or non-conforming, on the basis of tests on the three sampled vehicles, once a pass or fail decision is reached for tailpipe and CO₂ emissions, according to the test criteria applied in the appropriate table.

If no pass or fail decision is reached for tailpipe and CO₂ emissions, a test is carried out on an additional vehicle (see Figure 6-1).

4.1.1.3.1. In the case of periodically regenerating systems as defined in Article 2, the results shall be multiplied by the factor K_i obtained by the procedure specified in paragraph 3.3.1. of appendix 13 at the time when type approval was granted.

At the request of the manufacturer, testing may be carried out immediately after a regeneration has been completed.

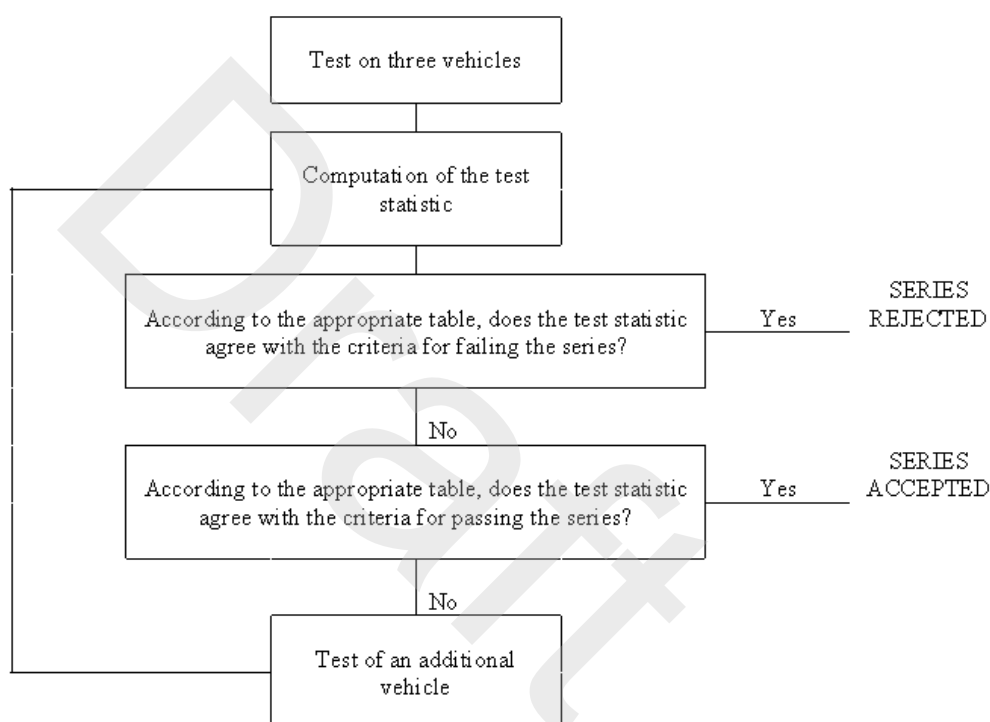


Figure 6-1: Pass / fail criteria Type I, II and VII testing.

4.1.1.3.1. Notwithstanding the requirements of Appendix x, the tests will be carried out on vehicles which have travelled a distance of maximum 100 km after first start at the production line.

4.1.1.3.1. However, at the request of the manufacturer, the tests will be carried out on vehicles which have been run-in a maximum of 1 000 km.

In this case, the running-in procedure will be conducted by the manufacturer who shall undertake not to make any adjustments to those vehicles.

4.1.1.3.1. If the manufacturer asks to conduct a running-in procedure ('x' km, where $x \leq 1$ 000 km), it may be carried out as follows:

The tailpipe and CO₂emissions will be measured at maximum 100 km after first

start on the production line and at 'x' km on the first tested vehicle (which can be the type approval vehicle);

The evolution coefficient (EC) of the emissions between 100 km and 'x' km will be

calculated as follows:

Equation 6-1:

$$EC = \frac{\text{Emissions_at_x_km}}{\text{Emissions_at_Max_100_km}}$$

The value of EC may be less than 1.

The following vehicles will not be subjected to the running-in procedure, but their 100 km emissions will be modified by the evolution coefficient, EC.

In this case, the values to be taken will be:

The value at 'x' km for the first vehicle;

The values at maximum 100 km multiplied by the evolution coefficient for the following vehicles.

4.1.1.3.1. As an alternative to this procedure, the vehicle manufacturer can use a fixed evolution coefficient, EC, of 0.92 and multiply all values of tailpipe and CO₂ emissions measured at zero km by this factor.

4.1.1.3.1. 1.2.4. Tests for conformity of production may be performed with a commercial fuel of which the C3/C4 ratio lies between those of the reference fuels referred to in Annex I, Appendix 2 of Regulation (EU) No [xxx/2013] in the case of LPG, or of which the Wobbe index lies between those of the extreme reference fuels in the case of NG or H2NG. In that case a fuel analysis shall be presented to the approval authority.

4.1.1.3.2. Conformity of production when manufacturer's statistical data is available.

4.1.1.3.2. 1. The following sections describe the procedure to be used to verify the tailpipe and CO₂ emission conformity of production requirements when the manufacturer's production standard deviation is again satisfactory.

4.1.1.3.2. 2. With a minimum sample size of three the sampling procedure is set so that the probability of a lot passing a test with 40 per cent of the production defective is 0.95 (producer's risk = 5 per cent) while the probability of a lot being accepted with 65 per cent of the production defective is 0.1 (consumer's risk = 10 per cent).

4.1.1.3.2. The following procedure is used (see Figure 6-1):

3. Let L be the natural logarithm of the tailpipe and CO₂ type approval emission values:

x_i = the natural logarithm of the measurement for the i-th vehicle of the sample;

s = an estimate of the production standard deviation (after taking the natural logarithm of the measurements);

n = the current sample number.

4.1.1.3.2. Compute for the sample, the test statistic quantifying the sum of the standardized deviations to the limit and defined as:

4.

Equation 6-2:

$$\frac{1}{s} \sum_{i=1}^n (L - x_i)$$

4.1.1.3.2.

5.

Then:

4.1.1.3.2. if the test statistic is greater than the pass decision number for the sample given in Table 6-1, a pass decision is reached;

5.1.

4.1.1.3.2. if the test statistic is less than the fail decision number for the sample size given in Table 6-1, a fail decision is reached;

5.2.

4.1.1.3.2. otherwise, an additional vehicle is tested according to Appendix x and the procedure is applied to the sample with one unit more.

5.3.

Sample Size (cumulative number of vehicles tested)	Pass Decision No.	Fail Decision No.
(a)	(b)	(c)
3	3.327	-4.724
4	3.261	-4.79
5	3.195	-4.856
6	3.129	-4.922
7	3.063	-4.988
8	2.997	-5.054
9	2.931	-5.12
10	2.865	-5.185
11	2.799	-5.251
12	2.733	-5.317
13	2.667	-5.383
14	2.601	-5.449
15	2.535	-5.515
16	2.469	-5.581

18	2.337	-5.713
19	2.271	-5.779
20	2.205	-5.845
21	2.139	-5.911
22	2.073	-5.977
23	2.007	-6.043
24	1.941	-6.109
25	1.875	-6.175
26	1.809	-6.241
27	1.743	-6.307
28	1.677	-6.373
29	1.611	-6.439
30	1.545	-6.505
31	1.479	-6.571
32	-2.112	-2.112

Table 6-1: Pass /Fail decision depending on sample size conformity of production when manufacturer's statistical data is available

- 4.1.1.3.3. Conformity of production when manufacturer's statistical data remains unsatisfactory or unavailable.
- 4.1.1.3.3. The following sections describe the procedure to be used to verify the tailpipe and CO₂ emission conformity of production requirements when the manufacturer's evidence of production standard deviation is either unsatisfactory or unavailable.
- 1.
 2. 4.1.1.3.3. With a minimum sample size of three the sampling procedure is set so that the probability of a lot passing a test with 40 per cent of the production defective is 0.95 (producer's risk = 5 per cent) while the probability of a lot being accepted with 65 per cent of the production defective is 0.1 (consumer's risk = 10 per cent).
 3. 4.1.1.3.3. The measurement of tailpipe and CO₂ emissions is considered to be log normally distributed and should first be transformed by taking the natural logarithms. Let m_0 and m denote the minimum and maximum sample sizes respectively ($m_0 = 3$ and $m = 32$) and let n denote the current sample number.
 4. 4.1.1.3.3. If the natural logarithms of the measurements in the series are x_1, x_2, \dots, x_j and L is the natural logarithm of the CO₂ type approval value, then define:
Equations 6-3

$$d_i = x_i - L$$

$$\bar{d}_n = \frac{1}{n} \sum_{i=1}^n d_i$$

$$v_n^2 = \frac{1}{n} \sum_{i=1}^n (d_i - \bar{d}_n)^2$$

4.1.1.3.3. Table 6-2 below shows values of the pass (A_n) and fail (B_n) decision numbers
5. against current sample number. The test statistic is the ratio \bar{d}_n / v_n and shall be used to determine whether the series has passed or failed as follows:

for $m_0 \leq n \leq m$:

4.1.1.3.3. 5.1. pass the series if $\bar{d}_n / v_n \leq A_n$;

4.1.1.3.3. 5.2. fail the series if $\bar{d}_n / v_n \geq B_n$;

4.1.1.3.3. 5.3. take another measurement if $A_n < \bar{d}_n / v_n < B_n$

Sample Size (cumulative number of vehicles tested)	Pass Decision No. A_n	Fail Decision No. B_n
(a)	(b)	(c)
3	- 0.80380	16.64743
4	- 0.76339	7.68627
5	- 0.72982	4.67136
6	- 0.69962	3.25573
7	- 0.67129	2.45431
8	- 0.64406	1.94369
9	- 0.61750	1.59105
10	- 0.59135	1.33295
11	- 0.56542	1.13566
12	- 0.53960	0.97970
13	- 0.51379	0.85307
14	- 0.48791	0.74801
15	- 0.46191	0.65928

17	- 0.40933	0.51718
18	- 0.38266	0.45922
19	- 0.35570	0.40788
20	- 0.32840	0.36203
21	- 0.30072	0.32078
22	- 0.27263	0.28343
23	- 0.24410	0.24943
24	- 0.21509	0.21831
25	- 0.18557	0.18970
26	- 0.15550	0.16328
27	- 0.12483	0.13880
28	- 0.09354	0.11603
29	- 0.06159	0.09480
30	- 0.02892	0.07493
31	0.00449	0.05629
32	0.03876	0.03876

Table 6-2: Pass /Fail decision depending on sample size conformity of production when manufacturer's statistical data is unsatisfactory or unavailable.

4.1.1.3.3. Remarks

6.

The following recursive formulae are useful for computing successive values of the test statistic:

Equations 6-4:

$$\bar{d}_n = \left(l - \frac{l}{n} \right) \bar{d}_{n-1} + \frac{l}{n} d_n$$

$$v_n^i = \left(l - \frac{l}{n} \right) v_{n-1}^i + \frac{(\bar{d}_n - d_n)^i}{n-1}$$

$$(n=2, 3, \dots; \bar{d}_1 = d_1; v_1 = 0)$$

4.1.1.3.4. The type-approval authorities issuing the approval may verify at any time the methods applied in each production unit.

4.1.1.3.5 In every inspection, the records of tests and production monitoring shall be communicated to the visiting inspector.

- 4.1.1.3.6. The inspector may select at random the samples to be tested in the manufacturer's laboratory. The minimum number of samples may be determined on the basis of the results of the manufacturer's own checks.
- 4.1.1.3.7. When the quality standard does not seem satisfactory or when it seems necessary to verify the validity of the tests conducted under paragraph 9.4.2.2., the inspector shall collect samples to be sent to the technical service which carried out the approval tests.
- 4.1.1.3.8. The type-approval authorities may carry out all the tests prescribed in this Annex.
- 4.1.1.4. Vehicles powered by a hybrid electric powertrain.
- As a general rule, measures to ensure the conformity of production with regard to tailpipe and CO₂ emissions, electric energy consumption and electric range from hybrid electric vehicles is checked on the basis of the description in the type approval certificate conforming to the model in Appendix x of this Annex.
- The control of production conformity is based on an assessment made by the approval authority of the manufacturer's auditing procedure in order to ensure conformity of the vehicle type with respect to the emission of tailpipe and CO₂ emissions, electric energy consumption and range.
- If the authority is not satisfied with the standard of the manufacturer's auditing procedure, they may require that verification tests be carried out on vehicles in production.
- Conformity for tailpipe and CO₂ emissions is checked using the statistical procedures described in paragraphs 4.1.1.3.1. to 4.1.1.3.3. Vehicles are tested according to the procedure described in Appendix x to this Annex.
- 4.1.1.5. Replacement pollution control devices
- 4.1.1.5.1. In order to test conformity as required above, a sample replacement pollution control device shall be taken from the production line of the type-approved.
- 4.1.1.5.2. Production will be regarded as being in conformity with the provisions of this Annex if the requirements of section 6.2 on requirements regarding tailpipe emissions in Annex I , Annex V regarding durability of pollution control devices and section 6.3 from Annex IX on testing of propulsion performance of Regulation (EU) No [xxx/2013] are satisfied.
- 4.1.1.6. Actions to be taken in case of non-conformity of production
- If, during inspections, non-conformity is observed, the approval authority shall ensure that all necessary steps are taken to re-establish conformity of production as soon as possible.
- 4.1.1.7. Penalties for non-conformities of production.
- 4.1.1.7.1. The approval granted in respect of a vehicle type may be withdrawn if the requirements laid down in paragraph 4.1.1.1. are not complied with.
- 4.1.1.7.2. If a Member State withdraws an approval it has previously granted, it shall

forthwith so notify the other Member States, by means of a communication form conforming to the model in as laid down in the Regulation for administrative requirements.

4.1.2. Conformity of production for test type IV, evaporative emissions.

For routine end-of-production-line testing, the manufacturer may demonstrate compliance by sampling vehicles which shall meet the following requirements. Alternatively, the full test procedure described in this annex shall be carried out. At the request of the manufacturer an alternative test procedure may be used, if the procedure has been presented to and has been accepted by the technical service during the type approval procedure to the satisfaction of the approval authority.

4.1.2.1. Test for leakage.

4.1.2.1.1. Vents to the atmosphere from the evaporative emission control system shall be isolated.

4.1.2.1.2. A pressure of xx kPa \pm yy kPa (370 \pm 10 mm of H₂O) shall be applied to the fuel system.

4.1.2.1.3. The pressure shall be allowed to stabilize prior to isolating the fuel system from the pressure source.

4.1.2.1.4. Following isolation of the fuel system, the pressure shall not drop by more than xx kPa (50 mm of H₂O) in five minutes.

4.1.2.2. Test for venting

4.1.2.2.1. Vents to the atmosphere from the emission control shall be isolated.

4.1.2.2.2. A pressure of xx kPa \pm yy kPa (370 \pm 10 mm of H₂O) shall be applied to the fuel system.

4.1.2.2.3. The pressure shall be allowed to stabilize prior to isolating the fuel system from the pressure source.

4.1.2.2.4. The venting outlets from the emission control systems to the atmosphere shall be reinstated to the production condition.

4.1.2.2.5. The pressure of the fuel system shall drop to below xx kPa (100 mm of H₂O) within two minutes.

4.1.2.3. Purge test.

4.1.2.3.1. Equipment capable of detecting an airflow rate of 0.25 litre in one minute shall be attached to the purge inlet and a pressure vessel of sufficient size to have negligible effect on the purge system shall be connected via a switching valve to the purge inlet, or alternatively.

4.1.2.3.2. The manufacturer may use a flow meter of his own choice, if acceptable to the approval authority.

- 4.1.2.3.3. The vehicle shall be operated in such a manner that any design feature of the purge system that could restrict purge operation is detected and the circumstances noted.
- 4.1.2.3.4. Whilst the engine is operating within the bounds noted in 4.1.2.3.3., the air flow shall be determined by either:
- 4.1.2.3.4.1. The device indicated in 10.3.1 being switched in a pressure drop from atmospheric to a level indicating that a volume of 0.25 litre of air has flowed into the evaporative emission control system within one minute shall be observed; or
- 4.1.2.3.4.2. If an alternative flow measuring device is used, a reading of no less than 0.25 litre per minute shall be detectable.
- 4.1.2.3.4.3. At the request of the manufacturer an alternative purge test procedure can be used, if the procedure has been presented to and has been accepted by the technical service during the type approval procedure.
- 4.1.2.4. Control Methods.
- 4.1.2.4.1. The approval authority which has granted type-approval may at any time verify the conformity control methods applicable to each production unit.
- 4.1.3. Test type VIII on sound level
- 4.1.3.1. Vehicle conformity regarding sound level
- Any vehicle manufactured shall conform to an approved type of vehicle , and shall be equipped with the silencer with which it was type-approved and satisfy the requirements of Annex VIII of Regulation (EU) No [xxx/2013] relating to the type of vehicle concerned.
- 4.1.3.1.1. In order to test conformity as required above, a sample vehicle shall be taken from the production line of the type approved pursuant to this paragraph. Production will be regarded as conforming to the provisions of this paragraph if the sound level measured using the method described in Annex VIII of Regulation (EU) No [xxx/2013] does not exceed by more than 3 dB(A) the value measured at the time of type-approval, nor by more than 1 dB(A) the limits laid down in this paragraph.
- 4.1.3.2. conformity of production of a non-original replacement exhaust system
- 4.1.3.2.1. Any exhaust system manufactured shall conform to a type approved pursuant to this paragraph and satisfy the requirements of Annex VIII of Regulation (EU) No [xxx/2013] relating to the type of vehicle for which it is intended.
- 4.1.3.2.2. In order to test conformity as required above, a sample exhaust will be taken from the production line of the type approved pursuant to this paragraph.
- 4.1.3.2.3. Production will be regarded as conforming to the provisions of this paragraph if the requirements of sections 3.5.2 and 3.5.3 of Annex VIII of Regulation (EU) No [xxx/2013] are satisfied and if the sound level measured using the method described in section 2.1 of Annex VIII of Regulation (EU) No [xxx/2013] does not exceed by more than 3 dB(A) the value measured at the time of type-approval, nor

by more than 1 dB(A) the limits laid down in Annex VI(D) of Regulation (EU) No 168/2013..

4.1.4. Conformity of production (CoP) requirements regarding maximum design vehicle speed, maximum torque, maximum continuous rated power and maximum peak power

4.1.4.1. Maximum vehicle speed measurement tolerances during CoP testing.

During a production conformity check the maximum design vehicle speed may differ by $\pm 5\%$ from the value determined during the type approval test.

4.1.4.2. Maximum torque, maximum continuous rated power, maximum net power and maximum peak power tolerances during CoP testing

Measured power	Acceptable tolerance maximum torque, maximum continuous rated power, maximum net power and maximum peak power
< 1 kW	$\leq 20\%$
$1 \text{ kW} \leq \text{measured power} < 11 \text{ kW}$	$\leq 10\%$
> 11 kW	$\leq 5\%$

Table 6-3: measurement tolerance on maximum torque, maximum continuous rated power, maximum net power and maximum peak power dependent on measured power.

ANNEX V
Requirements on coupling devices and attachments

1. Scope

- 1.1. If fitted, this Annex applies to coupling devices and attachments for L-category vehicles.
- 1.2. This Annex states the requirements which coupling devices for L-category vehicles shall satisfy in order to:
 - 1.2.1. Ensure compatibility when combining L-category vehicles with different types of trailers;
 - 1.2.2. Ensure the safe coupling together of the vehicles under all conditions of use;
 - 1.2.3. Ensure safe procedures for coupling and uncoupling.

2. General requirements

- 2.1. The coupling devices for L-category vehicles shall be manufactured and attached in accordance with good engineering practice, and shall be safe to operate. They may be approved as separate technical units under this Regulation.
- 2.2. The coupling devices shall be so designed and manufactured that in normal use, with proper maintenance and the timely replacement of wearing parts, they will continue to function satisfactorily.
- 2.3. Every coupling device shall be accompanied by installation and operating instructions giving sufficient information for a competent person to install it on the vehicle and operate it properly. The instructions shall be in the official language or languages of the Member State in which the coupling device will be offered for sale.
- 2.4. The materials that may be used are those for which the properties relevant to the application are laid down in a standard or those for which the properties are given in the application documentation.
- 2.5. All parts of the coupling devices whose failure could result in separation of the two vehicles shall be made of steel. Other materials may be used provided equivalence has been demonstrated by the manufacturer to the satisfaction of the Technical Service.
- 2.6. All couplings shall be designed for positive mechanical engagement, and the closed position shall be secured at least once by positive mechanical engagement.
- 2.7. If equipped with a coupling device, a coupling ball as specified in accordance with Appendix 1, Figure Ap1-1 shall be used on an L-category vehicle. The coupling type shall be chosen and positioned to allow maximum compatibility with a range of trailer types. However, a device other than such a coupling ball may be used, provided the requirements of 2.8 are met and that compatibility and interchangeability of trailers is neither necessary nor possible (dedicated combinations).

- 2.8. Coupling devices shall be designed in a way to meet the requirements for case of operation, position, mobility and strength according to Sections 2.9, 2.10, 2.11, 3, 4 and 5.
- 2.9. The coupling devices shall be designed and attached in a way to achieve maximum safety according to good engineering practice; this also applies to the operation of the coupling.
- 2.10. Safe coupling and uncoupling of the vehicles shall be possible by one person without the use of tools.
- 2.11. The operation of detachable coupling devices shall be possible easily by hand and without the use of tools.
- 2.12. Manufacturers of coupling devices and trailers shall provide guidelines and information to the consumer upon placing on the markets of its products regarding the impact on the driveability of driving an L-category vehicle with a trailer in all Member State languages.
- 2.13. Trailers may either be vehicle type-approved as category O₁ vehicles in accordance with Directive 2007/46/EC¹⁹ or otherwise conform to the essential requirements for such category, when coupled, including tyre installation (according to Annex XV of RVFSR), braking (according to Annex III of RVFSR, Type-O braking test shall be performed in laden condition), installation of lighting (R48 as prescribed for O₁, without mandatory rear fog lamp), exterior projections (according to Annex VIII of this Regulation) and rear registration plate space (according to Annex XIV of this Regulation). In such a case the trailer is approved as a separate technical unit for the purpose of forming a combination with a specific vehicle type.

3. Requirements for position

- 3.1. Coupling devices attached to the vehicles shall ensure an unhindered and safe operation.
- 3.2. Coupling balls attached to the vehicles shall correspond to the geometric conditions specified in Appendix 1, Ap1-2.
- 3.3. The height of the coupling point of a coupling device other than a coupling ball shall correspond to the height of the coupling point of the drawbar of the trailer within a range of ± 35 mm, provided the trailer is in a horizontal position.
- 3.4. The shape and the dimensions of the towing brackets shall correspond to the vehicle manufacturer's requirements with respect to fixing points and any additional mounting devices required.
- 3.5. The requirements of the vehicle manufacturer with regard to the type of the coupling device, the permissible mass of the trailer and the permissible static vertical load imposed at the coupling point shall be observed.
- 3.6. The mounted coupling device shall not obscure the visibility of the rear licence plate and/or lighting components, otherwise a coupling device which can be

dismantled without special tools has to be used.

4. Requirements for articulation

4.1. The following articulation shall be possible with the coupling device not attached to the vehicle.

4.1.1. An angle of free vertical pitch of 20° above and below the horizontal centre line at all angles of horizontal rotation up to at least 90° each side of the longitudinal centre line of the device.

4.1.2. At all angles of horizontal rotation up to 90° each side of the longitudinal centre line of the device there shall be an angle of free axial roll each side of the vertical centre line of 25° for three- and four-wheel vehicles or 40° for two-wheel vehicles.

4.2. At all angles of horizontal rotation the following combinations of articulation shall be possible:

4.2.1. In the case of two-wheel vehicles, except where the device is used with one-wheel trailers which tilt with the two-wheel vehicle:

4.2.1.1. Vertical pitch of $\pm 15^\circ$ with axial roll of $\pm 40^\circ$;

4.2.1.2. Axial roll of $\pm 30^\circ$ with vertical pitch of $\pm 20^\circ$;

4.2.2. In the case of three-wheel vehicles or quadricycles:

4.2.2.1. Vertical pitch of $\pm 15^\circ$ with axial roll of $\pm 25^\circ$

4.2.2.2. Axial roll of $\pm 10^\circ$ with vertical pitch of $\pm 20^\circ$.

4.3. It shall also be possible to couple and uncouple ball couplings when the longitudinal axis of the ball coupling in relation to the centre line of the coupling ball and mounting:

4.3.1. Is horizontally $\beta = 60^\circ$ right or left;

4.3.2. Is vertically $\alpha = 10^\circ$ up or down;

4.3.3. Is axially rotated 10° right or left.

5. Requirements for strength

5.1. A dynamic strength test (endurance test) shall be performed.

5.1.1. The endurance test is performed with an alternating approximately sinusoidal load with a number of load cycles depending on the material. No cracks or fractures or other visible external damage, or any excessive permanent distortion which would be detrimental to the satisfactory operation of the device shall occur.

5.1.2. The loading basis for the dynamic test is the D-value shown below. The static vertical load shall be taken into consideration in the direction of the test load relative to the horizontal plane, depending on the position of the coupling point

and the static vertical load permitted at the coupling point.

Equation 3-1:

$$D = g \times \frac{T \times R}{T + R} \text{ kN}$$

where

T = technically permissible maximum mass in tonnes of the towing vehicle.

R = technically permissible maximum mass in tonnes of the trailer.

g = acceleration due to gravity (assumed $g = 9,81 \text{ m/s}^2$).

5.1.3. The characteristic values D and S on which the test is to be based are specified in the manufacturer's type-approval application, S being the permitted maximum static vertical load at the coupling point in kg.

5.2. Test procedure

5.2.1. For the dynamic tests the sample shall be placed in a suitable rig with a suitable means of force application so that it is not subjected to any additional forces or moments apart from the specified test force. In the case of alternating tests the direction of force application shall not deviate by more than $\pm 10^\circ$ from the specified direction. To avoid unspecified forces and moments in the sample it may be necessary to have one joint at the point of force application and a second joint an adequate distance away.

5.2.2. The test frequency shall not exceed 35 Hz. The selected frequency shall be well separated from resonant frequencies of the test set up including the device being tested. The number of load cycles shall be 2×10^6 for coupling devices made of steel. A higher number of load cycles may be required for coupling devices made of other materials. Generally, the crack test is to be performed in accordance with the dye penetration procedure; equivalent other procedures are also permissible.

5.2.3. The coupling devices on test are to be normally mounted as rigidly as possible on a test rig in the actual position in which they will be used on the vehicle. The fixing devices shall be those specified by the manufacturer or applicant and be those intended for its attachment to the vehicle and/or have identical mechanical characteristics.

5.2.4. Test conditions

5.2.4.1. Preferably, couplings shall be tested in original condition as intended for use on the road. At the discretion of the manufacturer and in agreement with the Technical Service, flexible components may be neutralized if this is necessary for the test procedure and if there is no concern about unrealistic influence on the test result.

5.2.4.2. Flexible components which are obviously overheated due to this accelerated test procedure may be replaced during the test.

5.2.4.3. The test loads may be applied by means of special slack-free devices.

- 5.2.4.4. The devices submitted for test shall be provided with all design details which may have an influence on the strength criteria (for example electrical socket plates, any markings, etc.). The test periphery ends at the anchorage points or fitting points. The geometric location of the coupling ball and the fixing points of the coupling device related to the reference line shall be provided by the vehicle manufacturer and shown in the test report.
- 5.2.4.5. All relative positions of the attachment points with respect to the reference line as shown in Appendix 2, for which the towing vehicle manufacturer shall provide all the necessary information to the towing device manufacturer, shall be repeated on the test bed.
- 5.3. Test of coupling balls and towing brackets
- 5.3.1. The assembly mounted on the test bed is subjected to a dynamic test on an alternating stress tensile testing machine (example on a resonance pulser). This test shall contain the following:
- 5.3.1.1. The test load shall be an alternating force and applied to the coupling ball at an angle of $15^\circ \pm 1^\circ$ as shown in Appendix 2, figures Ap2-1 and Ap2-2.
- 5.3.1.2. If the ball centre is above that line parallel to the reference line as shown in Appendix 2, Ap2-3, which contains the highest of the nearest fixing points, the test shall be carried out with an angle $\alpha = -15^\circ \pm 1^\circ$ (Appendix 2, Figure Ap2-1).
- 5.3.1.3. If the ball centre is below that line parallel to the reference line as shown in Appendix 2, Ap2-3 which contains the highest of the nearest fixing points, the test shall be carried out with an angle $\alpha = +15^\circ \pm 1^\circ$ (Appendix 2, Figure Ap2-2). This angle is chosen in order to take account of the vertical static and dynamic load. This test method is only applicable to a permitted static load of not more than:
- Equation 3-2:
- $$S = \frac{120 \cdot D}{g}$$
- 5.3.1.4. If a static load above is requested, the test angle shall be increased to 20° . The dynamic test shall be performed with the following test force:
- Equation 3-3:
- $$F_{\text{res}} = \pm 0,6 D$$
- 5.3.2. One-piece coupling balls including devices with non-interchangeable detachable balls and towing brackets with interchangeable balls which can be dismantled (excluding balls on integral support) shall be tested in accordance with 5.3.1.
- 5.3.3. The test of a towing bracket which can be used with different ball units is carried out in accordance with the test requirements of UNECE regulation No 55.
- 5.4. The abovementioned testing requirements in 5.3.1. are also applicable to coupling devices other than coupling balls.

6. Coupling heads

6.1. An endurance test shall be performed with an alternating test force and a static test (lifting test) on each test sample.

6.2. The dynamic test shall be performed with a suitable coupling ball of appropriate strength. On the test rig the coupling head and the ball coupling shall be arranged as instructed by the manufacturer and in a way corresponding to their attachment in a vehicle. There shall be no possibility of extra forces in addition to the test force acting on the sample. The test force shall be applied along a line passing through the centre of the ball and inclined downwards to the rear at 15° (see Appendix 3, Figure Ap3-1). An endurance test shall be performed on a test sample with the following test force:

Equation 3-3:

$$F_{\text{res}} = \pm 0,6 D$$

6.3. A static lifting test shall also be performed (see Appendix 3, Figure Ap3-2). The coupling ball used for the test shall have a diameter of $49 - 0^{+0;13}$ mm in order to represent a worn coupling ball. The lifting force F_A shall be increased smoothly and quickly to a value of:

Equation 3-4:

$$F_A = g \times \left(C + \frac{s}{1000} \right)$$

and held for 10 seconds, where:

C = mass of the trailer (sum of the axle loads of the trailer carrying maximum permissible load) in tonnes.

6.4. If coupling devices other than ball couplings are used, the coupling head shall be tested, as applicable, in accordance with the relevant requirements of UNECE regulation No 55.

Appendix 1

Specification dimensions ball coupling if fitted on L-category vehicles

1. The ball coupling system for trailers does not exclude the use of other systems (for instance cardan couplings); however, if a ball-coupling system is used, this system shall correspond to the specification laid down in Ap1-1.

1.1.

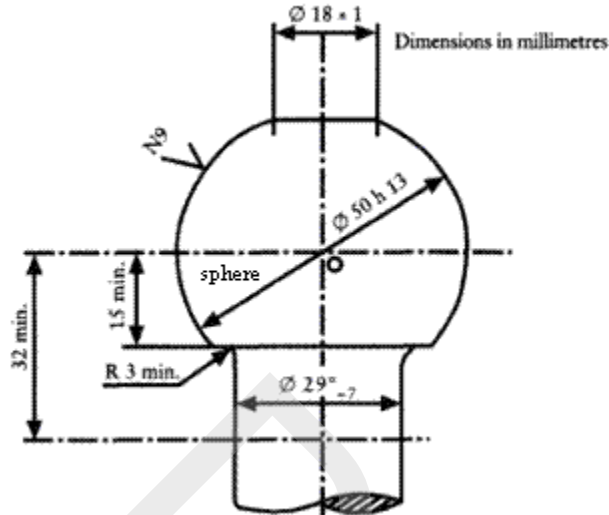


Figure Ap1-1: Ball coupling dimensions

- 1.1.1. The connecting radius between the ball and the neck is tangential both to the neck and to the lower horizontal surface of the coupling ball.
- 1.1.2. Refer to ISO/R 468: and ISO 1302:2002; the roughness number N9 refers to an R_a value of $6,3 \mu\text{m}$.

1.2.

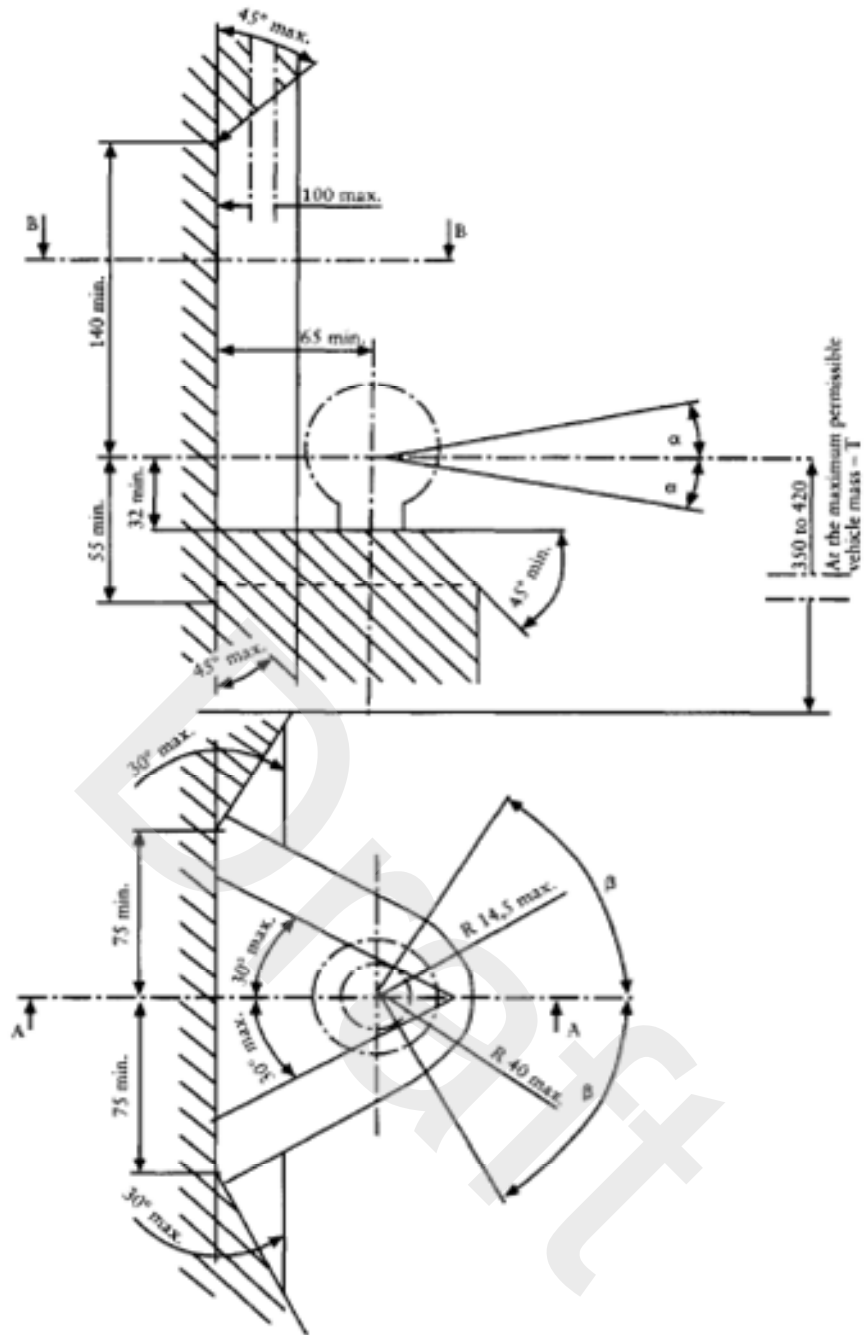


Figure Ap1-2: Clearing space for coupling balls

Appendix 2
Specifications dynamic test of coupling ball and towing bracket

1. The test direction is shown by the example of a coupling ball with towing bracket. (Applicable by analogy to other coupling systems).

1.1.

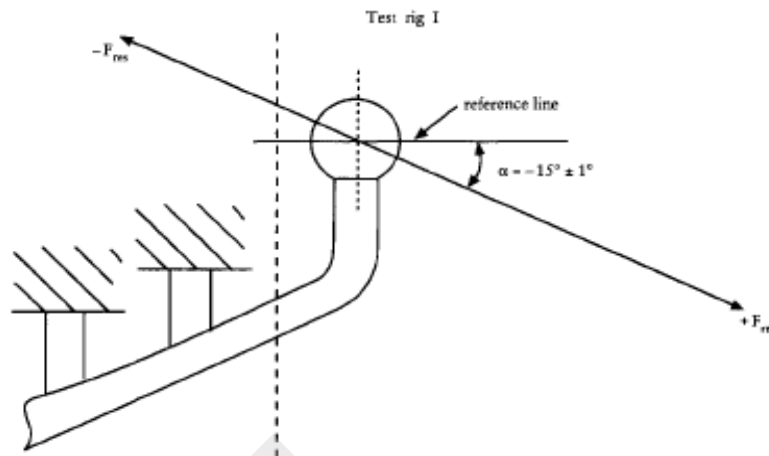


Figure Ap2-1: Dynamic test direction on coupling ball and towing bracket– Test rig I

1.2.

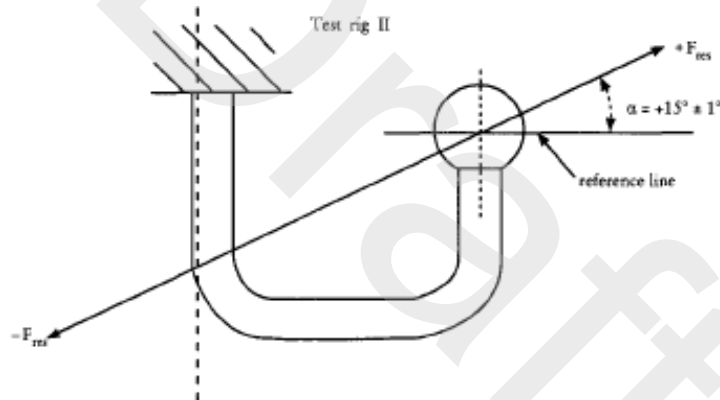


Figure Ap2-2: Dynamic test direction on coupling ball and towing bracket– Test rig II

1.3.

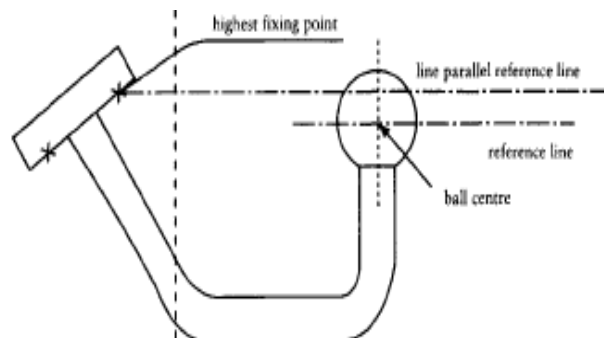


Figure Ap2-3: Relative positions of the attachment points of a coupling device with respect to the reference line – Criteria for dynamic test angles

Appendix 3
Specifications dynamic test coupling head

1. The test direction is shown by the example of a coupling head.

1.1.

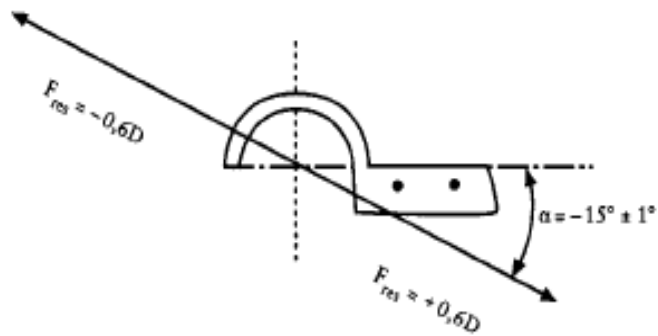


Figure Ap3-1: Dynamic test direction on coupling head.

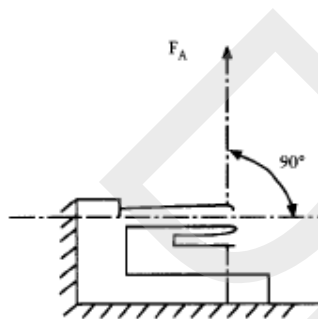


Figure Ap3-2: Static lifting test direction on coupling head.

ANNEX VI
Requirements on devices to prevent unauthorised use

1. Requirements

- 1.1. Vehicles of category L1e, L2e, L3e, L4e and L5e which are fitted with handlebars shall meet all the relevant requirements of UNECE regulation No. 62. The explanatory notes in paragraphs 2. to 2.1.6. shall be taken into account.
 - 1.1.1. Vehicles of category L1e, L2e, L3e, L4e and L5e which are not fitted with handlebars shall meet all the relevant requirements of UNECE regulation No. 18, as prescribed for vehicle category N2. The explanatory notes in paragraphs 3. to 3.1.4. shall be taken into account.
- 1.2. Vehicles of category L6e which are fitted with handlebars shall meet all the relevant requirements of UNECE regulation No. 62, as prescribed for vehicle category L2e. The explanatory notes in paragraphs 2. to 2.1.6. shall be taken into account.
 - 1.2.1. Vehicles of category L6e which are not fitted with handlebars shall meet all the relevant requirements of UNECE regulation No. 18, as prescribed for vehicle category N2. The explanatory notes in paragraphs 3. to 3.1.4. shall be taken into account.
- 1.3. Vehicles of category L7e which are fitted with handlebars shall meet all the relevant requirements of UNECE regulation No. 62, as prescribed for vehicle category L5e. The explanatory notes in paragraphs 2. to 2.1.6. shall be taken into account.
 - 1.3.1. Vehicles of category L7e are not fitted with handlebars shall meet all the relevant requirements of UNECE regulation No. 18, as prescribed for vehicle category N2. The explanatory notes in paragraphs 3. to 3.1.4. shall be taken into account.

2. Explanatory notes to UNECE regulation No. 62

- 2.1. The technical service shall ensure that compliance with paragraph 5.3. of UNECE regulation No. 62 is checked as follows.
 - 2.1.1. Compliance to the requirements shall be demonstrated by a person with similar physical characteristics as a 95th percentile anthropomorphic dummy and the physical force which such a person can reasonably extend to the vehicle and tools.
 - 2.1.2. Low-cost and easily concealable tools include slim crow bars with straight, curved, narrow and wide tips, up to a length of 350 mm along with a hammer with a length up to 350 mm and a weight of 0.5 kg which may be used together in order to demonstrate resistance to rendering the protective device inoperable. It also includes similarly dimensioned bolt or wire cutters as well as battery operated cutting and grinding devices.
 - 2.1.3. It shall not be considered as attracting attention if rendering the protective device inoperable can be achieved within a total timeframe of 30 seconds of which a 5 seconds timeframe may display high peak noise due to hammering and/or jamming actions onto the vehicle. The technical service shall assess multiple scenarios and thus different attempts of rendering the protective device inoperable, each time on an

undamaged vehicle.

- 2.1.4. If vehicle structures such as body panels can be disassembled simply by means of loosening standard fasteners or clips in order to access the protective device, they shall first be removed in order to facilitate the assessment of the protective device in accordance with paragraphs 2.1.1. to 2.1.3.
- 2.1.5. In accordance with the requirements as set out in paragraph 5.9. of UNECE regulation No. 62 the protective device shall be able to withstand an application of a torque of 200 Nm about the axis of the steering shaft without damage to the steering mechanism likely to compromise safety. However, this torque value may be exceeded for the purpose of checking compliance with paragraph 5.3. of UNECE regulation No. 62, as permanent damage (e.g. opening, rendering ineffective, destruction) may occur to the protective device as a result of this assessment. Furthermore, the relevant torque shall be applied by means of repeated peak application of physical force, at least twice per second, by the person as described in paragraph 2.1.1., if appropriate onto just one end of the handlebars by both hands at the same time. The duration of this handlebar jamming test shall not exceed 5 seconds.
- 2.1.6. So-called “security torx”, “tamper-resistant torx” or “pin-in torx” as well as any other commercially available variants of bolts or nuts are all considered to be standard fasteners and are thus deemed not to be in compliance with paragraph 5.3. of UNECE regulation No. 62 with respect to the offered level of protection.

3. Explanatory notes to UNECE regulation No. 18

- 3.1. The technical service shall ensure that compliance with paragraph 5.4. of UNECE regulation No. 18 is checked as follows.
 - 3.1.1. Compliance to the requirements shall be demonstrated by a person with similar physical characteristics as a 95th percentile anthropomorphic dummy and the physical force which such a person can reasonably extend to the vehicle and tools.
 - 3.1.2. Low-cost and easily concealable tools include slim crow bars with straight, curved, narrow and wide tips, up to a length of 350 mm along with a hammer with a length up to 350 mm and a weight of 0.5 kg which may be used together in order to demonstrate resistance to rendering the protective device inoperable. It also includes similarly dimensioned bolt or wire cutters as well as battery operated cutting and grinding devices.
 - 3.1.3. It shall not be considered as attracting attention if rendering the protective device inoperable can be achieved within a total timeframe of 30 seconds of which a 5 seconds timeframe may display high peak noise due to hammering and/or jamming actions onto the vehicle. The technical service shall assess multiple scenarios and thus different attempts of rendering the protective device inoperable, each time on an undamaged vehicle.
 - 3.1.4. So-called “security torx”, “tamper-resistant torx” or “pin-in torx” as well as any other commercially available variants of bolts or nuts are all considered to be standard fasteners and are thus deemed not to be in compliance with paragraph 5.4.

of UNECE regulation No. 18 with respect to the offered level of protection.

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ANNEX VII
Requirements on electromagnetic compatibility (EMC)

1. Requirements

- 1.1. Vehicles of category L1e, L2e, L3e, L4e, L5e, L6e and L7e shall meet all the relevant requirements of UNECE regulation No. 10.

Draft

ANNEX VIII
Requirements on external projections

- 1. Requirements applying to two-wheel mopeds and two-wheel motorcycles with or without sidecar.**
 - 1.1. General requirements
 - 1.1.1. Vehicles of category L1e, L3e and L4e shall meet the following general requirements.
 - 1.1.1.1. Vehicles shall incorporate no pointed, sharp or protruding parts, pointing outwards, of such a shape, dimension, angle of direction and hardness that it increases the risk or seriousness of body lesions and lacerations suffered by any person struck or grazed by the vehicle in the event of an accident and vehicles shall be designed in such a way that parts and edges with which vulnerable road users such as pedestrians are likely to come into contact with in case of an accident comply with the requirements as set out in paragraphs 1. to 1.3.8.
 - 1.1.1.2. All contactable projections or edges which are made of or covered with material such as soft rubber or soft plastic having a hardness of less than 60 Shore (A) are considered to meet the requirements as set out in paragraphs 1.3. to 1.3.8. The hardness measurement shall be carried out with the material fitted to the vehicle as intended.
 - 1.1.2. Specific provisions for vehicles of category L1e, L3e and L4e
 - 1.1.2.1. Vehicles shall be assessed in accordance with the provisions as laid down in paragraphs 1.2. to 1.2.4.1.
 - 1.1.2.2. In case of vehicles which are fitted with a form of structure or panels intended to partially or fully enclose the rider, passenger or luggage or to cover certain vehicle components, the vehicle manufacturer may as an alternative choose to apply the relevant requirements of UNECE regulation No. 26 as prescribed for vehicle category M1, covering either specific external projections of the vehicle or the full external surface of vehicle.

The relevant external projections assessed in conformity with this clause shall be clearly identified in the information document and any remaining external surface shall comply with the requirements of paragraphs 1. to 1.3.8.
 - 1.1.3. Specific provisions for vehicles of category L4e.
 - 1.1.3.1. When the side-car is connected to the motorcycle, either permanently or capable of being detached, the space between the motorcycle and the side-car is exempted from assessment (see Figure 6-1).

1.1.3.1.1.

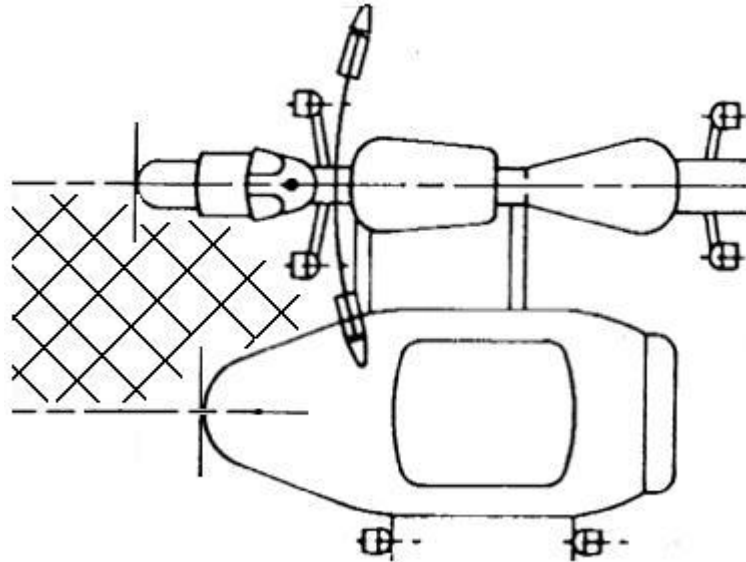


Figure 6-1: top-down view of category L4e motorcycle with side car

1.1.3.2. If the side-car can be detached from the motorcycle with the aim that the motorcycle can be used without it, the motorcycle itself shall fulfil the requirements for solo motorcycles as specified in paragraphs 1. to 1.3.8.

1.2. Assessment of external projections.

1.2.1 A testing device conforming to the specifications laid down by Figure Ap1-1 in Appendix 1 to this chapter shall be used to check the external projections of the vehicle.

1.2.2. The vehicle shall be placed on a horizontal surface and kept in an upright position, initially with the steering control and steered wheel in the straight ahead position.

1.2.2.1. A 50th percentile anthropomorphic dummy or a person with similar physical characteristics shall be seated on the test vehicle in the normal riding position in such a way that it does not hamper the free rotation of the steering control. The feet shall be placed on the designated foot supports and shall not be resting on any gear shift lever or brake pedal.

1.2.3. The testing device shall be moved from the front towards the rear of the vehicle, in a smooth motion, on both sides of the vehicle. If the testing device contacts the steering control or any parts mounted to it, it shall be rotated away into its fully locked position during and after which the test continues. The testing device shall remain in contact with the vehicle or rider during the test (refer to Figure 6-2).

1.2.3.1.

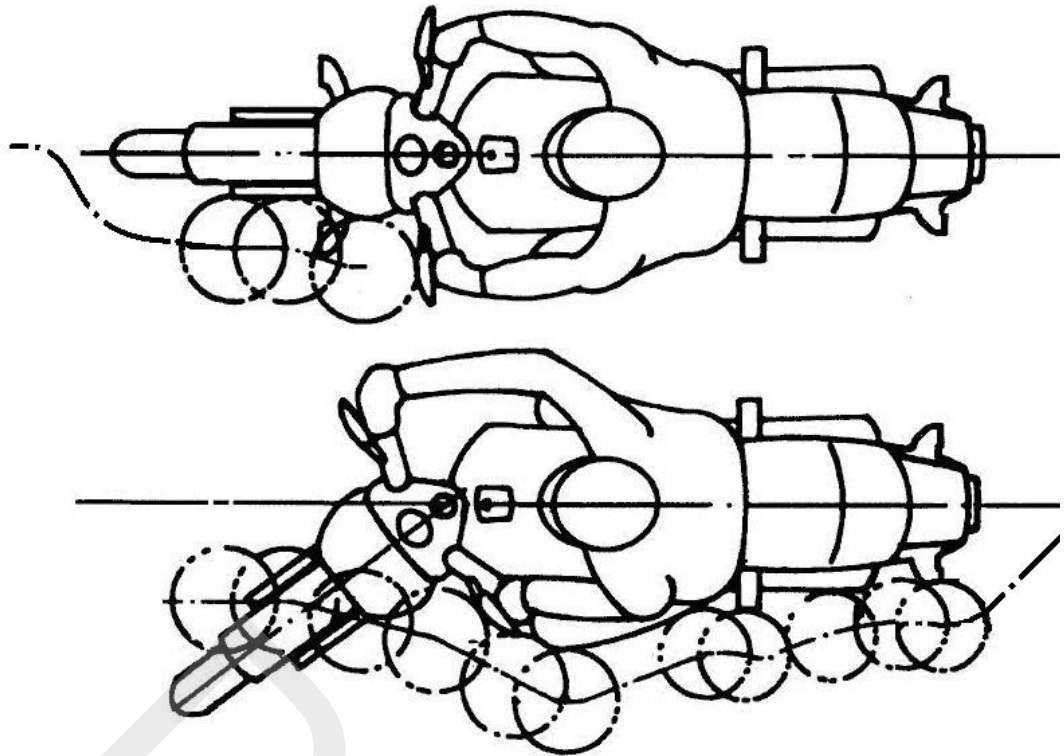


Figure 6-2: test device movement zones

- 1.2.3.1. The front of the vehicle shall be the first point of contact and the testing device shall move sideways in an outward direction following the contour of the vehicle and the rider if applicable. The testing device shall also be allowed to move inward at a rate not exceeding the rate of rearward movement (i.e. under an angle of 45° in relation to the longitudinal median plane of the vehicle).
- 1.2.3.2. The hands and feet of the rider shall be pushed away by the testing device if it comes into direct contact with them and any relevant supports (e.g. foot supports) shall be allowed to freely rotate, fold, bend or flex as a result of contact with the testing device and assessed in all resulting intermediate positions.
- 1.2.3.3. Parts and components of rear-view mirrors which are duly covered by the relevant component type-approval are deemed to comply with the requirements of paragraphs 1. to 1.3.8.
- 1.2.3.4. Projections which may be contacted by the testing device in any position of intended use (e.g. passenger foot supports both in stowed and folded-out condition) shall be assessed in all positions of intended use.
- 1.2.4. When the testing device is moved along the vehicle as described above, the projections and edges of the vehicle which are contacted by that device are considered to fall within:
- group 1: if the testing device grazes parts of the vehicle; or
 - group 2: if the testing device collides with parts of the vehicle.
- 1.2.4.1. In order to differentiate in which group the contacted projections and edges fall, the

testing device shall be used in accordance with the assessment method shown in Figure 6-3 below and considered to belong to:

group 1 if $0^\circ \leq \alpha < 45^\circ$; and

group 2 if $45^\circ \leq \alpha \leq 90^\circ$.

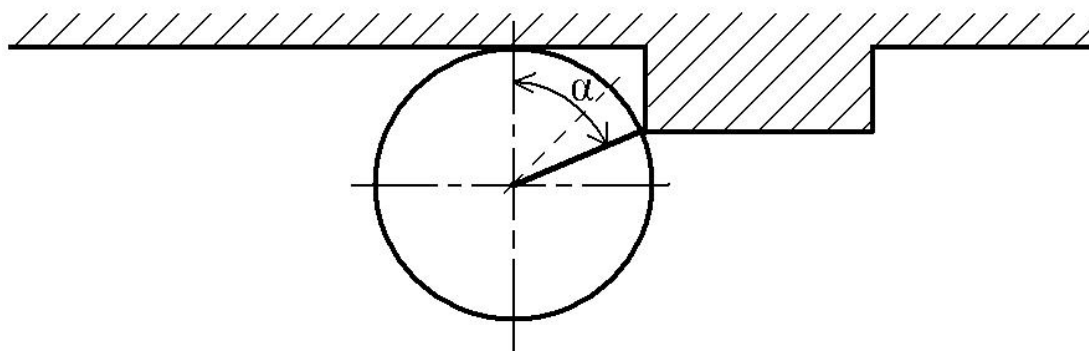


Figure 6-3: Top view of the testing device grazing the side of a vehicle and colliding with a protruding part

1.3. Specific requirements

1.3.1. Radius requirements concerning group 1 parts (contacted by grazing):

1.3.1.1. Plates:

- plate edges shall have a radius of curvature of at least 0.5 mm;

Structure, fairings, bodywork, etc.:

- corners shall have a radius of curvature of at least 3.0 mm.

1.3.1.1.1. The radii on corners and plate edges shall be determined at the point(s) of contact with the testing device and shall be continued or progressively less in the directions where contact between the testing device and corner or edge no longer occurs.

1.3.1.2. Stems

- stems or similar parts shall have an overall diameter of at least 10 mm;
- the edges on the end of a stem shall have a radius of curvature of at least 2.0 mm.

1.3.1.2.1. The radii on edges on the end of a stem shall be determined at the point(s) of contact with the testing device and may be progressively less around the full circumference of the stem end.

1.3.2. Radius requirements concerning group 2 parts (contacted by collision):

1.3.2.1. Plates:

- plate edges shall have a radius of curvature of at least 2.0 mm;

Structure, fairings, bodywork, etc.:

- corners shall have a radius of curvature of at least 2.0 mm.

- 1.3.2.1.1. The radii on corners and plate edges shall be determined at the point(s) of contact with the testing device and shall be continued or progressively less in the directions where contact between the testing device and corner or edge no longer occurs.
- 1.3.2.2. Stems
- stems or similar parts shall have an overall diameter of at least 20 mm;
 - however, stems or similar parts may have an overall diameter of less than 20 mm, provided that its projection is less than half of its overall diameter;
 - the edges on the end of a stem shall have a radius of curvature of at least 2.0 mm.
- 1.3.2.2.1. The radii on edges on the end of a stem shall be determined at the point(s) of contact with the testing device and may be progressively less around the full circumference of the stem end.
- 1.3.3. The upper edge of a windscreen of fairing, transparent or not, shall have a radius of curvature of at least 2.0 mm or may be covered with protective material in compliance with paragraph 1.1.1.2.
- 1.3.3.1. The upper edge is bounded by 45° angle planes in relation to the horizontal plane; refer to Figure 6-4.

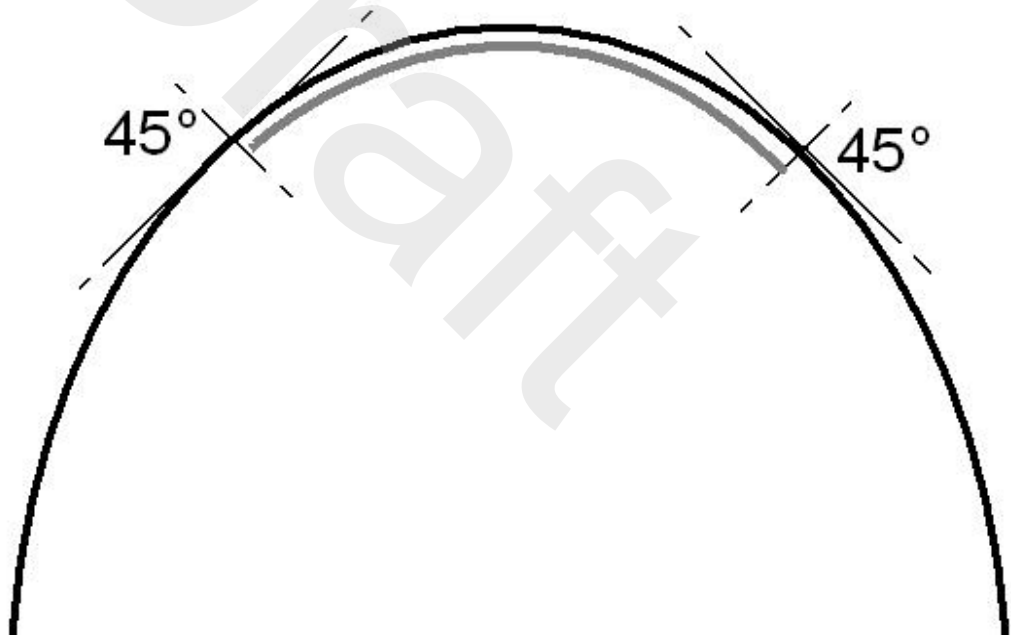


Figure 6-4: View of rider through transparent windshield

- 1.3.3.2. If a radius is applied to the upper edge, it shall not be larger than 0.70 times the thickness of the windscreen or fairing measured at the upper edge.
- 1.3.3.3. Covers which resemble windscreens or fairings and which are installed only to protect the riders instrument cluster or headlamp device and which do not have an overall projection of more than 50 mm measured from the top surface of the relevant instrument cluster or headlamp device are exempted from the requirements

as laid down in paragraphs 1.3.3. to 1.3.3.2.

- 1.3.4. The end of clutch and brake levers mounted on the steering control shall be perceptibly spherical and have a radius of curvature of at least 7.0 mm. The remaining outward edges of these levers shall have a radius of curvature of not less than 2.0 mm along the complete grip application area. The verification is done with the levers in non-applied position.
 - 1.3.4.1. If the levers are fully covered by protection shields and can therefore not come into contact with a person struck by the vehicle, the levers are deemed to comply with the requirements of paragraph 1.3.4.
- 1.3.5. The leading edge of the front mudguard or any parts mounted onto it shall have a radius of curvature of at least 2.0 mm.
 - 1.3.5.1. The leading edge of the front mudguard is bounded by two vertical planes forming a horizontal angle of 45° in relation to the longitudinal median plane of the vehicle.
 - 1.3.5.2. If a radius is applied to the leading edge of the front mudguard, it shall not be larger than 0.70 times the thickness of the mudguard measured at the leading edge.
- 1.3.6. The rear edge of a fuel filler cap or similar shaped device located on the upper surface of for instance the fuel tank and likely to be struck by the rider moving forward during a collision shall not project more than 15 mm from the underlying surface and the transition from the underlying surface shall be smooth or perceptibly spherical. An example is given in Figure 6-5. It may project more than 15 mm from the underlying surface provided that a protective device is located behind it ensuring that the relative projection of 15 mm is not exceeded.

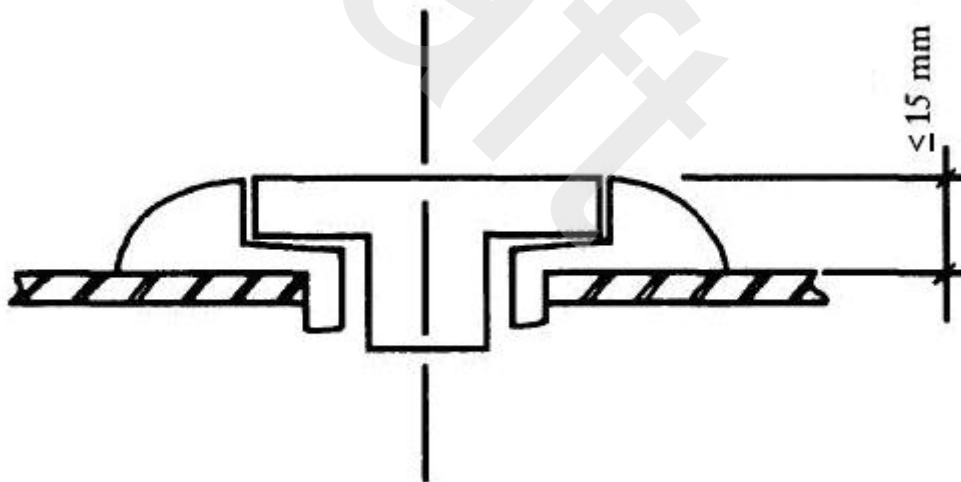


Figure 6-5: fitting requirement filler cap on fuel tank

- 1.3.6.1. Fuel filler caps or similar shaped devices with are not placed forward of the rider or located below the level of the rider's seating position are exempted from the requirements of paragraph 1.3.6.
- 1.3.7. Ignition key heads shall have a protective cap made from rubber or plastic with

blunted edges.

- 1.3.7.1. Ignition keys which are not required to be inserted in the ignition lock during vehicle operation, which are flush with or encased by the surrounding surface, of which the head can fold in relation to its shaft or barrel, which is located below the level of the rider's seating position or which is not located forward of the rider are exempted from the requirements of paragraph 1.3.7.
- 1.3.8. Outward pointed and protruding parts of the vehicle in its normal and upright position which are not contacted by the testing device, but which may potentially increase the risk or seriousness of body lesions and lacerations as a result of any contact with a person being struck in a collision, shall be blunted.

2. Requirements applying to three-wheel vehicles and quadricycles.

2.1. General requirements

2.1.1. Vehicles of category L2e, L5e, L6e and L7e shall meet the following general requirements.

2.1.1.1. Vehicles shall incorporate no pointed, sharp or protruding parts, pointing outwards, of such a shape, dimension, angle of direction and hardness that it increases the risk or seriousness of body lesions and lacerations suffered by any person struck or grazed by the vehicle in the event of an accident. Vehicles shall be designed in such a way that parts and edges, with which vulnerable road users such as pedestrians are likely to come into contact with in case of an accident, comply with the requirements as set out in paragraphs 2.1.2. to 2.1.2.1.4.

2.1.2. Specific provisions for vehicles of category L2e, L5e, L6e and L7e

2.1.2.1. Vehicles shall meet all the relevant requirements of UNECE regulation No. 26 as prescribed for vehicle category M1.

2.1.2.1.1. However, bearing in mind the variety of forms of construction of these categories of vehicles, and whether or not the vehicle is equipped with a device for reversing, the vehicle manufacturer may as an alternative to the requirements as laid down in paragraph 2.1.2.1. choose to apply the requirements of paragraphs 1.1. to 1.1.2.1. and paragraphs 1.2. to 1.3.8., as prescribed for vehicles of category L1e and L3e, covering specific external projections (e.g. of front forks, wheels, fenders, mud guards and fairings as well as the rear section of vehicles which are not equipped with a device for reversing) of the vehicle to be type-approved and in agreement with the technical service and approval authority (e.g. for a type of vehicle which has the overall appearance of a motorcycle, but which is equipped with three wheels classifying it as an L5e category vehicle).

The relevant external projections assessed in conformity with this clause shall be clearly identified in the information document and any remaining external surface shall comply with the requirements of paragraphs 2. to 2.1.2.1.4.

2.1.2.1.2. In case of vehicles which are fitted with a form of structure or panels intended to partially or fully enclose the rider, passenger or luggage or to cover certain vehicle components and which parts of the external surface cannot be assessed

appropriately by application of the clause provided in paragraph 2.1.2.1.1. (e.g. in case of the roof, roof pillars, doors, door handles, glazing, bonnet top, trunk lid, opening buttons, load platforms) these remaining external projections shall comply with all the relevant requirements of UNECE regulation No. 26 as prescribed for vehicle category M1.

- 2.1.2.1.3. In case of vehicles of categories L2e-U, L5e-B, L6e-BU and L7e-CU, the edges which can be contacted in accordance with the provisions laid down above and which are located behind the rear bulkhead, or where no rear bulkhead is present a vertical transverse plane passing through a point located 50 cm behind the R-point of the most rearward seating position, shall at least be blunted when their projection is 1.5 mm or more.
- 2.1.2.1.4. Compliance with the requirements shall be checked without any registration plate affixed to the vehicle and any registration plate space or surface shall thus not be exempted from assessment.

Appendix 1 – Testing device

1. External projections testing device

1.1.

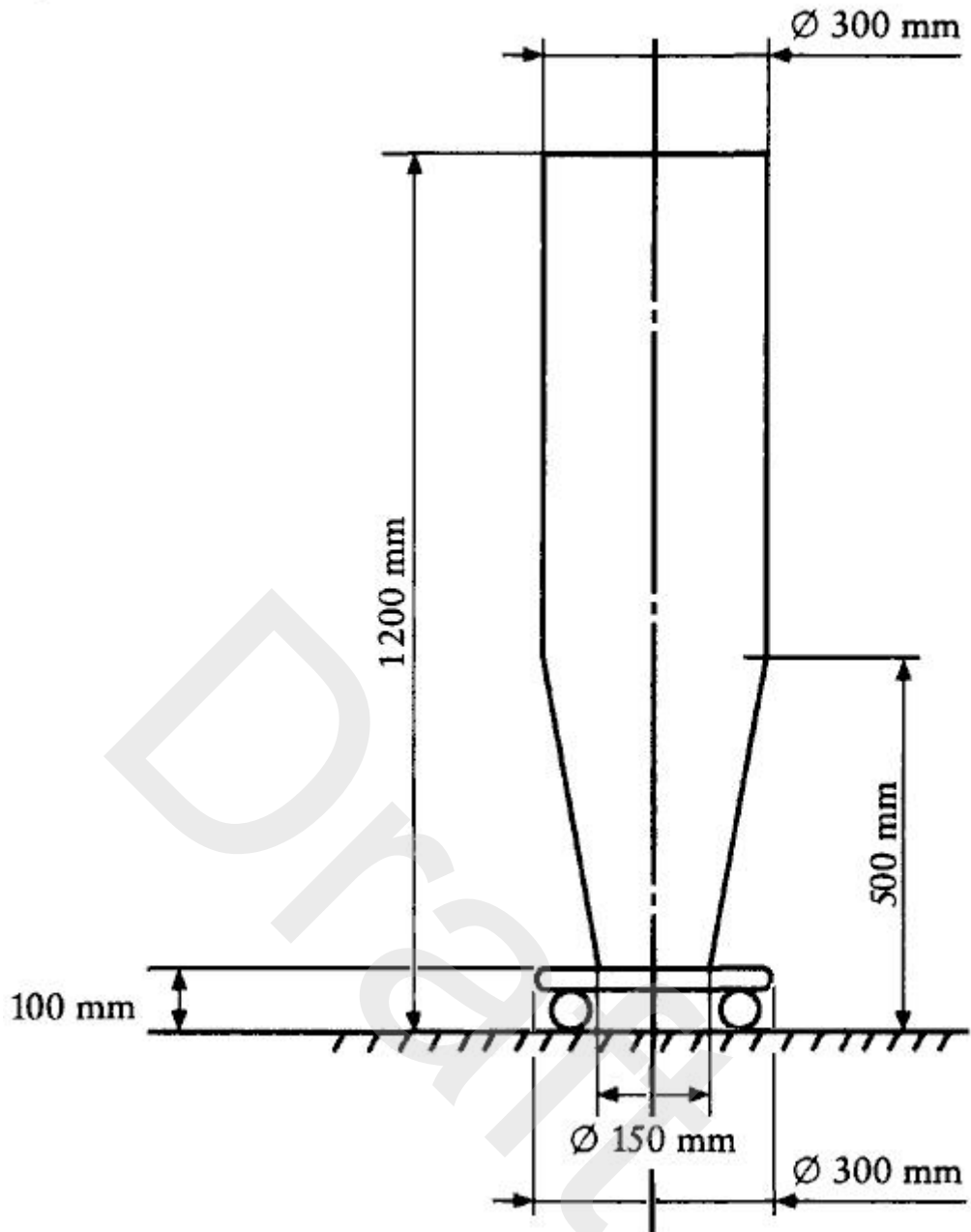


Figure Ap1-1: diagram of the testing device.

2. Procedure for use:

2.1. The testing device shall be kept in an orientation such as to ensure that the line corresponding to the angle of $\alpha = 90^\circ$ remains parallel to the longitudinal median plane of the vehicle throughout the test.

2.2. The lower portion of the testing device (i.e. the 100 mm high base) may be of a different design for stability or convenience purposes, however, if this lower portion of the testing device comes into direct contact with the vehicle, it shall be adapted (e.g. locally trimmed down to a minimum of 150 mm in diameter) in order to allow full contact between the vehicle and the portion of the testing device between 100 mm to 1200 mm in height.

ANNEX IX
Requirements on fuel storage

1. General requirements

- 1.1. Fuel tanks of vehicles fitted with one or more of these shall meet the following general requirements.
 - 1.1.1. Fuel tanks shall be made with materials of which the thermal, mechanical and chemical behaviour continues to be appropriate under their intended conditions of use.
 - 1.1.2. Fuel tanks and adjacent vehicle parts shall be designed in such a way as to not generate any electrostatic charge which could result in sparking between the tank and the chassis of the vehicle which could ignite a mixture of air and fuel.
 - 1.1.3. Fuel tanks shall be made so as to be corrosion-resistant.
 - 1.1.4. Fuel tanks shall be fitted with appropriate devices (e.g. orifices or safety valves) which automatically release any excess pressure or any pressure exceeding the service pressure. Such ventilation orifices shall be designed in such a way as to preclude any risk of ignition of a mixture of air and fuel.
 - 1.1.5. Fuel tanks shall be so designed that any fuel, which may leak when the tank is being filled, shall not be able to fall on the vehicle's exhaust system, engine or other drivetrain parts as well as on the inside of any passenger or luggage compartment. Instead it shall be channelled to the ground.
 - 1.1.6. Fuel shall be unable to flow from the filler cap or any devices fitted in order to release excess pressure even if the tank is fully inverted. Dripping is tolerated to a maximum of 30 g/min which shall be verified by means of the test as described in paragraphs 2.1. to 2.1.4. If the leakage rate does not appear to be constant in nature, it shall be ensured that maximum leakage rate is determined within a one minute timeframe (i.e. not averaged over a longer timeframe).
 - 1.1.7. No tank shall be situated in, or form, a surface (e.g. floor, wall, roof or bulkhead) of the passenger compartment or other compartment integral with it, if the vehicle is fitted with bodywork.
 - 1.1.7.1. Further to Article 2(4) of Regulation xxx/2013 (RVFSR) and for the purpose of this Annex, a vehicle is deemed to have a passenger or other compartment integral with it, if the vehicle is fitted with safety glazing, side doors, rear door, side pillars and/or a roof creating an enclosed or partly enclosed compartment and the technical service shall clearly justify the judgment criteria in the test report.
 - 1.1.8. The fuel filler port shall not be situated in the passenger compartment, luggage compartment or engine compartment, if any.
 - 1.1.8.1. Further to paragraph 1.1.7.1. above, a vehicle is deemed to have an engine compartment or a luggage compartment if the vehicle is fitted with side panels in combination with a bonnet/hood lid and/or a boot/trunk lid creating an enclosed or partly enclosed compartment and the technical service shall clearly justify the

judgment criteria in the test report.

- 1.1.9. Fuel tanks shall pass the leak-tightness tests carried out with an internal pressure which is equal to twice the relative service pressure (design pressure) or an overpressure of 30 kPa, whichever is higher, as described in paragraphs 2.2. to 2.2.1. Any orifices may be blocked for the purpose of the carrying out this test. The fuel tank shall not crack or leak during this test, however, it may remain permanently deformed.
- 1.1.9.1. Fuel tanks made of materials other than metal are considered as meeting this requirement if they have passed the test described in paragraphs 3.4. to 3.4.1.
- 1.1.10. Fuel tanks made of materials other than metal shall in addition to the tests as described in paragraphs 2.1. to 2.1.4. also be tested in accordance with the provisions as laid down in paragraphs 3. to 3.7.5.1.
- 1.2. Vehicles fitted with one or more fuel tanks shall meet the following general requirements.
- 1.2.1. Fuel tanks shall be fitted and installed in such a way as to fulfil their function in all foreseeable operating conditions.
- 1.2.2. All parts and components of the vehicle's fuel supply system shall be adequately protected by parts of the frame or bodywork against contact with possible obstacles on the ground. Such protection shall not be required if the relevant parts or components located beneath the vehicle are further from the ground than the parts of the frame or bodywork which are located immediately ahead of them.
- 1.2.3. All parts and components of the vehicle's fuel supply system shall be designed, manufactured and installed in such a way as to withstand the effects of any internal and external corrosion to which it is exposed. No motion due to torsion, flexing and vibration of the vehicle structure, engine and transmission shall subject any part or component of the fuel supply system to abnormal friction or stress.
- 1.2.4. Vehicles using liquefied petroleum gas (LPG) in their propulsion system as well as the LPG tanks shall meet all relevant fitting and equipment requirements of UNECE regulation No. 67²⁰, as prescribed for vehicle category M₁.
- 1.2.5. Vehicles using compressed natural gas (CNG) in their propulsion system as well as the CNG tanks shall meet all relevant fitting and equipment requirements of UNECE regulation No. 110²¹, as prescribed for vehicle category M₁.

2. Fuel tank tests.

- 2.1. Overturn test.
- 2.1.1. The tank and all its accessories shall be mounted on to a test fixture in a manner corresponding to the mode of installation on the vehicle for which the tank is intended. This also applies to systems for the compensation of the interior excess pressure.
- 2.1.2. The test fixture shall rotate about an axis lying parallel to the longitudinal vehicle

axis.

2.1.3. The test shall be carried out with the tank filled to 30 % of its total rated capacity and also 90 % of its total rated capacity with a non-flammable liquid having a density and a viscosity close to those of the fuel normally used or with water.

2.1.4. The tank shall be turned from its installed position 90° to the left. The tank shall remain in this position for at least five minutes. The tank shall then be turned 90° further in the same direction. The tank shall be held in this position, in which it is completely inverted, for at least another five minutes. The tank shall be rotated back to its normal position.

Testing liquid that has not flowed back from the venting system into the tank may be drained and replenished if necessary.

The tank shall be turned from its installed position 90° to the right. The tank shall remain in this position for at least five minutes. The tank shall then be turned 90° further in the same direction. The tank shall be held in this position, in which it is completely inverted, for at least another five minutes. The tank shall be rotated back to its normal position.

The rotation rate for each successive increment of 90° shall take place in any time interval from 1 to 3 minutes.

2.2. Hydraulic test.

2.2.1. The tank shall be subjected to a hydraulic internal pressure test which shall be carried out on an isolated unit complete with all its accessories. The tank shall be completely filled with a non-flammable liquid having a density and a viscosity close to those of the fuel normally used or with water. After all communication with the outside has been cut off, the pressure shall be gradually increased, through the pipe connection through which fuel is fed to the engine, to the internal pressure as specified in paragraph 1.1.9. and this pressure shall be maintained for at least 60 seconds.

3. **Specific requirements and tests for fuel tanks made of materials other than metal.**

3.1. Fuel tanks made of materials other than metal are subjected to the following additional tests.

- permeability test;
- shock test;
- mechanical strength test;
- fuel resistance test;
- high-temperature test;
- fire-resistance test.

3.2. Permeability test to be carried out on completely new fuel tank.

3.2.1. The permeability test as set out in paragraphs 3. to 3.1.5. of appendix 1 to Annex IV of Regulation (EU) No [xxx/2013], without having to take into account any

diffusion measurements for the purpose of the test in accordance with this Annex, shall be carried out on a sufficient number of tanks for the purpose of testing in accordance with paragraphs 3.3. to 3.7.5.1.

3.3. Shock test to be carried out on a fuel tank which has undergone the permeability test.

3.3.1. The fuel tank is filled up to its total rated capacity with a mixture of 50% water and ethylene glycol or with any other coolant which does not deteriorate the fuel tank material, the cryoscopic point of which is lower than $243 \pm 2\text{K}$ ($-30 \pm 2^\circ\text{C}$).

The temperature of the substances contained in the fuel tank during the test shall be $253 \pm 2\text{K}$ ($-20^\circ\text{C} \pm 2^\circ\text{C}$). The tank is cooled down at a corresponding ambient temperature. It is also possible to fill the fuel tank with a suitably refrigerated liquid provided that the fuel tank is left at the test temperature for at least an hour.

A pendulum is used for the test. Its impact head shall have the form of an equilateral triangular pyramid having a radius of curvature of 3.0 mm at its peak and edges. The freely moving mass of the pendulum shall have a mass of $15 \text{ kg} \pm 0.5 \text{ kg}$ and the exerted pendulum's energy shall not be less than 30.0 J for each impact on the fuel tank.

The technical service may select any number of points on the fuel tank to be tested and these points shall reflect locations which are considered at risk as a result of the fitting of the tank and its position on the vehicle. Non-metal shielding shall be disregarded and frame tubing or chassis sections may be taken into account for the assessment of risk.

More than one fuel tank may be used for the completion of all impacts, provided that all fuel tanks to be used have undergone the permeability test.

There shall be no leakage of liquid following a single impact at any one of the tested points.

3.4. Mechanical strength test to be carried out on a fuel tank which has undergone the permeability test.

3.4.1. The fuel tank shall be filled up to its total rated capacity, the test liquid used being water at $326 \pm 2\text{K}$ ($53 \pm 2^\circ\text{C}$). The fuel tank shall then be subjected to an internal pressure which is equal to twice the relative service pressure (design pressure) or an overpressure of 30 kPa, whichever is higher. The fuel tank shall remain closed and pressurized for a period of not less than 5 hours in an ambient temperature of $326 \pm 2\text{K}$ ($53 \pm 2^\circ\text{C}$).

The fuel tank shall not show signs of leakage and any temporary or permanent deformation which may arise shall not render the fuel tank unusable. Account shall be taken of specific fitting conditions if the deformation of the tank is to be assessed.

3.5. Fuel resistance test to be carried out on samples of a completely new fuel tank and samples of a fuel tank which has undergone the permeability test.

3.5.1. Six tensile test-pieces of approximately the same thickness are taken from flat or nearly flat faces of the completely new fuel tank. Their tensile strength and elastic

limits are established at $296 \pm 2\text{K}$ ($23 \pm 2^\circ\text{C}$) at an elongation rate of 50 mm/min. The obtained values shall then be compared with the tensile strength and elasticity values obtained from similar tests carried out using a fuel tank which has undergone the permeability test. The material shall be considered to be acceptable if there has been no difference in tensile strength of more than 25 %

3.6. High temperature test to be carried out on samples of a fuel tank which has undergone the permeability test.

3.6.1. The fuel tank shall be fitted to a representative part of the vehicle and shall be filled to 50 % of its total rated capacity with water at $293 \pm 2\text{K}$ ($20 \pm 2^\circ\text{C}$). The test setup including the fuel tank shall then be placed in an ambient temperature of $343 \pm 2\text{K}$ ($70 \pm 2^\circ\text{C}$) for 60 minutes, after which the fuel tank shall not display any permanent deformation or leaks and it shall be in fully usable condition after the test.

3.7. Fire resistance test to be carried out on samples of a fuel tank which has undergone the permeability test.

3.7.1. Preparation of test samples

3.7.1.1. At least 10 flat or nearly flat test samples of 125 ± 5 mm long and 12.5 ± 0.2 mm wide shall be taken from one or more fuel tanks which have undergone the permeability test. However, if obtaining such test samples is prevented by the design characteristics (i.e. shape) of the fuel tank, it is deemed acceptable when one or more special fuel tanks are prepared for the purpose of this test consisting of fuel tanks with similar characteristics, but with more flat or nearly flat surfaces incorporated in the fuel tank walls. The overall thickness of all samples shall be within $\pm 5\%$ of the thickest sample.

3.7.1.2. Two lines, one at 25 mm and the other at 100 mm from one end shall be cut into each sample.

3.7.1.3. The edges of the test samples shall be sharply defined. Edges obtained by sawing shall be fine-sanded down in order to obtain a smooth finish.

3.7.2. Test equipment

3.7.2.1. The test chamber shall consist of a totally enclosed laboratory fume hood with a heat-resistant test-observation window. A mirror may be used in certain test enclosures in order to provide a rear view of the sample.

The fume extractor fan shall be shut down during the test and should be restarted immediately after the test in order to extract combustion products.

The test may also be carried out inside a metal box placed beneath the fume hood with the extractor fan operating.

The top and bottom walls of the box shall incorporate ventilation holes enabling sufficient air for the combustion to pass through while not subjecting the burning sample to a draught.

3.7.2.2. The supporting base shall consist of two grips which can be set in any position by means of swivel joints.

- 3.7.2.3. The burner shall be a gas-fired “Bunsen” or “Tirill” type with a 10 mm nozzle. The nozzle shall not be fitted with any accessory.
- 3.7.2.4. A metal screen with a mesh size of 20 and overall dimensions of approximately 100 × 100 mm shall be provided.
- 3.7.2.5. A water bath with suggested dimensions of approximately 150 × 75 × 30 mm shall be provided.
- 3.7.2.6. A timing device (in seconds) shall be provided.
- 3.7.2.7. A graduated scale (in millimetres) shall be provided.
- 3.7.2.8. A sliding calliper (with an accuracy of at least 0.05 mm) or equivalent measuring device shall be provided.

3.7.3. Test procedure

- 3.7.3.1. A sample is attached to one of the grips on the base by the end closest to the 100 mm mark, its longitudinal axis being horizontal and its transverse axis at 45° to the horizontal. Below the test sample a clean woven metal screen is attached to the second grip and located 10 mm horizontally below the edge of the sample such that the sample protrudes approximately 13 mm beyond the end of the screen (see Figure 1). A bath full of water shall be placed on the fume hood table in such a way as to receive any incandescent particles which may fall during the test.

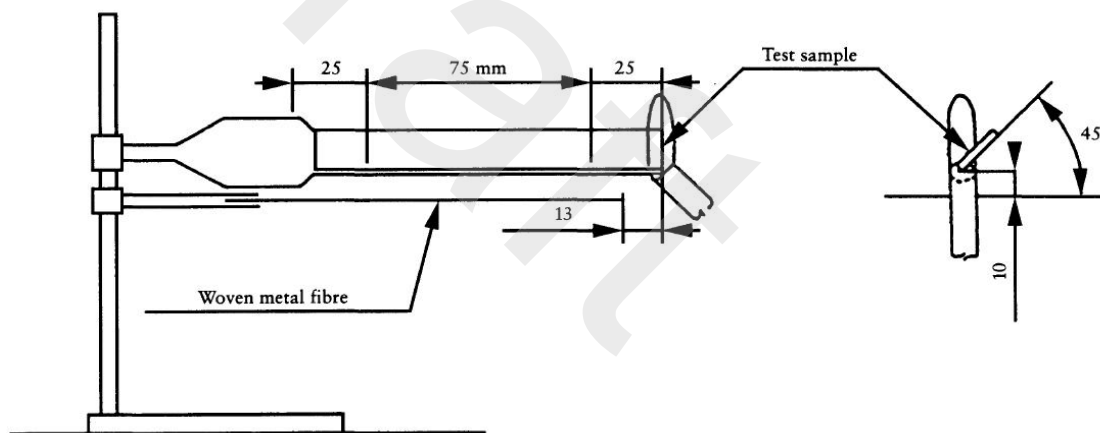


Figure 7-1: Test set-up fire resistance test.

- 3.7.3.2. The air supply to the burner shall be set in such a way as to obtain a blue flame with an approximate height of 25 mm.
- 3.7.3.3. The burner shall be placed such that its flame touches the outer edge of the test sample as shown in Figure 1 and at the same time the timer is started.

The flame is held in contact for 30 seconds and if the sample deforms, melts or shrinks away from the flame, the flame shall be repositioned in order to maintain contact with the sample, however, significant deformation of the sample during the test may invalidate the result.

The burner shall be withdrawn after 30 seconds or when the flame-front reaches the 25 mm mark, whichever occurs first. The burner shall be moved away at least 450 mm from the sample and the fume hood shall be closed.

3.7.3.4. When the flame front reaches the 25 mm mark, the indicated time in seconds shall be noted as time t_1 .

3.7.3.5. The timer is stopped when combustion (with or without flame) stops or reaches the mark 100 mm from the free end. The indicated time shall be noted as time t . When a sample is reignited by burning material deposited on the woven metal screen, the test result may be invalidated.

3.7.3.6. If the combustion does not reach the 100 mm mark, the unburnt length from the 100 mm mark along the lower edge of the sample is measured, rounded up or down to the nearest millimetre. The burnt length is thus equal to 100 mm minus the unburnt length expressed in mm.

3.7.3.7. If a sample has burnt up to or beyond the 100 mm mark the combustion speed shall be calculated as follows: $((75)/(t - t_1))$ and is expressed in mm/s.

3.7.3.8. The test as described in paragraphs 3.7.3.1. to 3.7.3.7. shall be repeated on different samples until three samples have burnt up to or beyond the 100 mm mark or ten samples have been tested.

3.7.3.9. If only one sample out of 10 burns up to the 100 mm mark or beyond, the test as described in paragraphs 3.7.3.1. to 3.7.3.7. shall be repeated on a maximum of 10 new samples.

3.7.4. Expression of results

3.7.4.1. The test report shall contain at least the following detailed information:

- number of samples tested;
- and concerning each of the individual samples:
- means of identification;
 - method of preparation and storage;
 - thickness measured in each third of the sample's length (mm with at least one decimal);
 - combustion time (s);
 - combustion length (mm);
 - statement and reason where a sample does not burn up to the 100 mm mark (e.g. because it drips, runs or breaks up into burnt particles);
 - statement when a sample is reignited by burning material deposited on the woven metal screen.

3.7.4.2. If at least two samples have burnt up to or beyond the 100 mm mark, the average speed of combustion (expressed in mm/s and as derived from the multiple results calculated in accordance with the formula provided in paragraph 3.7.3.7. shall be determined. The average speed of combustion is thus the average of the combustion

speeds of all of the samples that have burnt up to or beyond the 100 mm mark. This value shall be compared against the requirement as laid down in paragraphs 3.7.5. to 3.7.5.1. and the calculations and verification according to paragraph 3.7.4.3. shall not be carried out.

- 3.7.4.3. The average combustion time (ACT) and average combustion length (ACL) shall be calculated if no sample out of 10 or not more than one out of 20 has burnt up to the 100 mm mark.

Equation 7-1:

$$\text{ACT (s)} = \sum_{i=1}^n \cdot ((t_i - 30)/(n))$$

where n is the number of samples.

The result is rounded up or down to the nearest 5-second increment, however, an ACT of 0 seconds shall not be used. (i.e. if the combustion lasts between less than 2 seconds and 7 seconds, the ACT is 5 seconds, if the combustion lasts between 8 and 12 seconds, the ACT is 10 seconds, if the combustion lasts between 13 and 17 seconds, the ACT is 15 seconds, etc).

Equation 7-2:

$$\text{ACL (mm)} = \sum_{i=1}^n \cdot ((100 - \text{unburnt length}_i)/(n))$$

where n is the number of samples

The result is expressed in relation to the nearest 5 mm increment (i.e. 'less than 5 mm' shall be stated if the combustion length is less than 2 mm and thus in no case an ACL of 0 mm can be stated).

Where a single sample out of 20 burns up to or beyond the 100 mm mark, the combustion length (i.e. the value of $(100 - \text{unburnt length}_i)$ for that sample) shall be taken as 100 mm.

Equation 7-3:

The average speed of combustion is thus $(\text{ACL} / \text{ACT})$ (expressed in mm/s).

This value shall be compared against the requirement as laid down in paragraphs 3.7.5. to 3.7.5.1.

- 3.7.5. Requirements concerning the fire resistance of fuel tank materials other than metal.
- 3.7.5.1. The fuel tank material shall not burn at an average speed of combustion greater than 0.64 mm/s as determined in accordance with the test procedure laid down in paragraphs 3.7. to 3.7.4.3.

ANNEX X
Requirements on load platforms

1. Purpose

- 1.1. If a load platform is fitted on an L2e, L5e-B, L6e-B, L7e-B or L7e-C (sub-) category vehicle, the assembly of vehicle and load platform shall comply with a minimum set of construction criteria in order to safely transport goods.

2. Requirements

- 2.1. If fitted, the load platform shall be designed to carry goods only and shall have an open or enclosed loading bed, which shall be virtually even and horizontal.
- 2.2. The centre of gravity of the L-category vehicle with loaded platform and without driver shall be situated between the axles.
- 2.3. The dimensions of the platform shall be such that:
- 2.3.1. The length does not exceed 1.4 times the front or rear track width of the L-category vehicle, whichever is the larger.
- 2.3.2. The width does not exceed the maximum overall width of the L-category vehicle without platform.
- 2.3.3. Adequate side protection shall be provided to prevent falling off of goods loaded on the load platform.
- 2.4. The platform shall be laid out symmetrically in relation to the longitudinal median plane of the L-category vehicle.
- 2.5. The height of the load platform above the ground shall be not more than [1000] mm.
- 2.6. The load platform shall be attached to the L-category vehicle in such a way as to avoid any risk of accidental detachment.
- 2.7. The type of platform and the way it is attached shall be such that, with a normal load, the driver's field of vision remains adequate and the various mandatory lighting and light-signalling devices may continue to fulfil their proper function.
- 2.8. The vehicle manufacturer shall declare safe load carrying capacity for such load platform.
- 2.9. Adequate fixing points for securing devices for the pay-mass shall be provided at the load platform.

ANNEX XI
Requirements on masses and dimensions

1. Purpose

1.1. This Annex lays down the requirements for the type-approval of L-category vehicles with regard to their masses and dimensions.

2. Submission of the vehicle's mass specifications and test requirements to determine the mass specifications.

2.1. The manufacturer shall submit to the approval authority in the application for EU type-approval of a type of vehicle as regard its masses and dimensions, for each version within a vehicle type, irrespective of the state of completion of the vehicle, the following masses:

2.1.1. the mass in running order as set-out in Article 5 of Regulation (EU) No 168/2013

2.1.2. the actual mass;

2.1.3. the technically permissible maximum laden mass;

2.1.4. the technically permissible maximum mass on the axles;

2.1.5. if applicable the technically permissible maximum towable mass;

2.1.6. if applicable the technically permissible maximum masses at the coupling point taking into account the technical features of the couplings that are fitted or can be fitted to the vehicle as the case may be.

2.2. When determining the masses referred to in paragraph 2, the manufacturer shall take into account the best practices of good engineering and the best available technical knowledge in order to minimise the risks of mechanical failure, in particular those due to fatigue of materials, and to avoid damage to the road infrastructure.

2.3. When determining the masses referred to in paragraph 2, the manufacturer shall take into account the maximum vehicle speed by construction of the vehicle.

Where the vehicle is equipped by the manufacturer with a vehicle speed limitation device, the maximum vehicle speed by construction shall be the true vehicle speed permitted by the vehicle speed limitation device.

2.4. When determining the masses referred to in paragraph 2, the manufacturer shall not impose restrictions on the use of the vehicle except those concerning the tyre capacities that can be adjusted to the speed by construction.

2.5. For incomplete vehicles, including chassis-cabin vehicles, that require a further stage of completion, the manufacturer shall provide all relevant information to the next stage manufacturers so that the requirements of this Regulation continues to be fulfilled.

For the purposes of the first subparagraph, the manufacturer shall specify the position of the centre of gravity of the mass corresponding to the sum of the load.

- 2.6. Where the optional equipment significantly affects the masses and dimensions of the vehicle, the manufacturer shall provide the technical service with the location, mass and geometrical position of the gravity centre with respect to the axles of the optional equipment that can be fitted to the vehicle.
- 2.7. Where the approval authority or the technical service deems it necessary, they may request the manufacturer to make available a vehicle representative of the type to be approved for the purposes of inspection.
- 2.8. In the case of utility vehicles of subcategories L5e-B, L6e-BU and L7e-CU intended for carrying goods and designed to be equipped with exchangeable superstructures, the total mass of these superstructures shall be part of the pay-mass. In this case, the following additional conditions shall be fulfilled:
 - 2.8.1. a superstructure is considered to be exchangeable if it can be easily removed from the chassis;
 - 2.8.2. the vehicle manufacturer shall provide in the information document, the maximum permitted dimensions, the total mass of the superstructure, the limits for the position of the centre of gravity and a drawing with the position of fixing devices.
- 2.9. The mass in running order of an L-category vehicle may deviate from the nominal value set-out in point 2.1.1. by not more than 8% as regards the permissible lower and upper deviations, which is the negative and positive deviation around the declared nominal value.
- 2.10. The test conditions and requirements of appendix 1 shall be fulfilled to determine the masses to be submitted by the manufacturer to the approval authority.

3. Submission of the vehicle's dimensions and test requirements to determine the dimensions

- 3.1. The manufacturer shall submit to the approval authority in the application for EU type-approval of a type of vehicle as regard its masses and dimensions, for each version within a vehicle type, irrespective of the state of completion of the vehicle, the following dimensions [in mm] of the vehicle:
 - 3.1.1. length;
 - 3.1.2. width;
 - 3.1.3. height;
 - 3.1.4. wheelbase;
 - 3.1.5. for vehicles with twinned wheels or for three- or four-wheeled vehicles: track-width front and/or rear;

- 3.1.6. if applicable $length_{loading\ bed}$ and $width_{loading\ bed}$
- 3.2. The test conditions and requirements of appendix 1 shall be fulfilled to determine the dimensions to be submitted.
- 3.3. The actual dimensions referred to in paragraph 3.1. may differ from those stated by the manufacturer by not more than 3 %.

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Appendix 1

Specific requirements with regards to masses and dimensions of L-category vehicles

1. **Specific requirements regarding vehicle dimensions.**
 - 1.1. For the purposes of measurement of the dimensions set-out in paragraph 3 of Annex IX,
 - 1.1.1. The vehicle shall be at its mass in running order, placed on a horizontal and flat surface with tyres inflated at the pressure recommended by the manufacturer;
 - 1.1.2. The vehicle shall be in a vertical position and the wheels in a position corresponding to travel in a straight line;
 - 1.1.3. All the wheels of the vehicle shall be borne by the supporting plane, with the exception of any spare wheel.
 - 1.2. Only the devices and equipment referred to in this paragraph 1 shall not be taken into account for the determination of the dimensions of the vehicle.
 - 1.3. With regard to the length of a vehicle, all components of the vehicle and, in particular, any fixed component extending towards the front or rear (bumpers, mudguards, etc.) shall be included within those two planes as referred to in definition 49 of Article 2, with the exception of the coupling device.
 - 1.4. With regards to the width of a vehicle, all components of the vehicle and, in particular, all fixed components extending sideways shall be included within those two planes referred to in definition 51 of Article 2, with the exception of the rear view mirror(s).
 - 1.5. With regards to the height of a vehicle, all fixed components of the vehicle shall be included within those two planes referred to in definition 52 of Article 2, with the exception of the rear view mirror(s);
 - 1.6. An L7e-B2 vehicles (all terrain buggy) shall satisfy at least five of the following six requirements:
 - 1.6.1. approach angle ≥ 25 degrees;
 - 1.6.2. departure angle ≥ 20 degrees;
 - 1.6.3. ramp angle ≥ 20 degrees;
 - 1.6.4. ground clearance under the front axle ≥ 180 mm;
 - 1.6.5. ground clearance under the rear axle ≥ 180 mm;
 - 1.6.6. ground clearance between the axles ≥ 180 mm.
 - 1.7. The approach angle, departure angle and the ground clearances referred to in paragraph 1.6. shall be measured in accordance with appendix 1 of Annex II of

Directive 2007/46/EC.

2. Specific requirements regarding the vehicle masses

- 2.1.1. The sum of the technically permissible maximum mass on the axles shall not be less than the technically permissible maximum laden mass of the vehicle.
- 2.2. The technically permissible maximum laden mass of the vehicle shall not be less than actual mass
- 2.3. Where the vehicle is laden to the technically permissible maximum laden mass, the mass on each axle shall not exceed the technically permissible maximum mass on that axle.
- 2.4. Where the vehicle is laden to the technically permissible maximum laden mass, the mass on the front axle shall in no event be less than 30 % of the technically permissible maximum laden mass of the vehicle.
 - 2.4.1. Where the vehicle is laden to the technically permissible maximum laden mass plus the technically permissible maximum mass at the coupling point, the mass on the front axle shall in no event be less than 20 % of the technically permissible maximum laden mass of the vehicle.
- 2.5. L-category vehicles can be authorized to tow a trailer ≤ 50 % of the mass in running order of the vehicle.
- 2.6. Where a vehicle is equipped with removable seats, the verification procedure shall be limited to the condition with the maximum number of seating positions.
- 2.7. Where a vehicle is equipped with seats and for the purposes of verifying the requirements laid down in points 2.2, 2.3 and 2.4 :
 - (a) The seats shall be adjusted as prescribed in point 2.7.1;
 - (b) the masses of the passengers, the pay-mass and the mass of the optional equipment shall be distributed as prescribed in points 2.6.2. to 2.6.4.2.3.
- 2.7.1. Seat adjustment
 - 2.7.1.1. The seats where adjustable shall be moved to their rearmost position.
 - 2.7.1.2. Where there are other possibilities for adjusting the seat (vertical, angled, seat back, etc.) the adjusted positions shall be as specified by the vehicle manufacturer.
 - 2.7.1.3. In the case of suspension seats, the seat shall be locked in the position specified by the manufacturer.
- 2.7.2. Distribution of the mass of the driver and passengers to measure the vehicle masses

- 2.7.2.1. For (sub-)categories L1e and L3e the mass of the driver is assumed to be 75 kg, subdivided into 65 kg occupant mass at the R-point of the rider's seating position and 10 kg luggage mass in accordance with ISO standard 2416-1992. In case the vehicle has only one seating position (no buddy seat), a rider mass of 75 kg shall be located at that seating position.
- 2.7.2.2. For all other L-category vehicles the mass representing the driver shall be 75 kg and the mass(es) representing passenger(s) shall be 65 kg each.
- 2.7.2.3. The mass for the driver and for each passenger shall be located at the seating position reference points (the 'R points')
- 2.7.3. Distribution of the mass of the optional equipment.
 - 2.7.3.1. The mass of the optional equipment shall be distributed in accordance with the manufacturer's specifications.
- 2.7.4. Distribution of the pay-mass.
 - 2.7.4.1. The pay-mass shall be distributed in accordance with the manufacturer's specifications in agreement with the technical service.
- 2.7.5. As regards utility vehicles with bodywork of (sub-)categories L2e-U, L5e-B, L6e-BU, L7e-BU or L7e-CU, the pay-mass shall be distributed uniformly on the loading bed;
- 2.7.6. As regards utility vehicles without bodywork (e.g. chassis-cab) of (sub-)categories L2e-U, L5e-B, L6e-BU, L7e-BU or L7e-CU, the manufacturer shall state the extreme permissible positions of the centre of gravity of the pay-mass (for instance: from 0.20 m to 0.50 m in front of the first rear axle);
- 2.8. Maximum permissible pay-mass.
 - 2.8.1. The maximum pay-mass declared by the manufacturer for (sub-) categories L2e-U, L5e-B, L6e-BU and L7e-CU utility vehicles:
 - 2.8.1.1. L2e-U and L6e-BU: 300kg;
 - 2.8.1.3. L5e-B and L7e-CU: maximum pay-mass equivalent to declared value from manufacturer but in no case more than 1000 kg.
 - 2.8.2. The maximum pay-mass declared by the manufacturer for all other (sub-) categories vehicles:
 - 2.8.2.1. L1e, L2e-P, L6e-A and L6e-BP: 250 kg;
 - 2.8.2.2. L3e, L4e, L5e-A, L7e-A, L7e-B and L7e-CP: maximum pay-mass equivalent to declared value from manufacturer but in no case more than the mass in running order of the vehicle;
- 3. Specific requirements regarding the vehicle masses and dimensions of**

subcategories L6e-A, L7e-A and L7e-B related to static vehicle stability.

- 3.0.1. Objectives and scope
 - 3.0.1.1. L6e-A (light on-road quads), L7e-A (heavy on-road quads) and L7e-B (heavy all terrain quads) subcategories are 4-wheel vehicles that may have to fulfil conflicting design criteria as such vehicles might not only be used on hard paved surfaces but also off-road. In order to cope with all kinds of terrain the consequence may be a high centre of gravity resulting in an instable vehicle. Minimum test requirements for static vehicle stability may positively influence the configuration of masses and dimensions in the design stage of the vehicle and increase vehicle static stability.
 - 3.0.1.2. Subcategories L6e-A, L7e-A and L7e-B vehicles shall comply with the test requirements and performance criteria laid down in this paragraph.
- 3.1. Test vehicle
 - 3.1.1. The test vehicle shall be representative in terms of masses, dimensions and shape for the type-approved vehicle. The test vehicle shall conform in all its components with the production series, or, if the L-category vehicle is different from the production series, a full description shall be given in the test report. In selecting the test vehicle, the manufacturer and the technical service shall agree to the satisfaction of the approval authority which L-category vehicle test model is representative for related vehicle variants to the satisfaction of the approval authority.
 - 3.1.2. Checks

The following minimum checks on the test vehicle shall be made in accordance with the manufacturer's specifications for the use considered: wheels, wheel rims, tyres (representative make, type, pressure), axle geometry, adjustment of the suspension and vehicle ground clearance (set according to manufacturer specifications).
- 3.2. Lateral static stability tests.
 - 3.2.1. All L6e-A, L7e-A and L7e-B subcategory vehicles shall meet the lateral stability performance requirements listed in sections 3.2.3.3 and 4.2.x when tested as described below. Tilt table tests shall be conducted in both the loaded configuration and operator and passenger configuration.
 - 3.2.2. Tilt Table Test
 - 3.2.2.1. Test Conditions.

Test conditions shall be as follows:
 - 3.2.2.1.1. Loaded Configuration
 - 3.2.2.1.1.1. The test vehicle shall be in standard condition, without accessories. The test vehicle and components shall be assembled and adjusted according to the

manufacturer's instructions and specifications with the exception of point 3.2.2.1.1.5.

- 3.2.2.1.1.2 Tyres shall be inflated to the vehicle manufacturer's recommended settings for normal operation. If more than one pressure is specified, the highest value shall be used.
 - 3.2.2.1.1.3 All fluids shall be at the recommended level and the fuel tank shall be full.
 - 3.2.2.1.1.4 Steerable wheels shall be held in the straight ahead position.
 - 3.2.2.1.1.5 Adjustable suspension components shall be set to the highest positions.
 - 3.2.2.1.1.6 The test vehicle shall be loaded such that a test occupant weight or equivalent is placed in each seating position such that the centre of gravity of the weight(s) is to be 152 mm above the lowest point of the occupant-supporting surface and 254 mm forward of the seat back A test load shall be securely and evenly distributed to the cargo area(s) such that the cargo limit(s) and the technically permissible mass declared by the manufacturer (maximum mass) are not exceeded.
- 3.2.2.2. Operator and passenger configuration.
- 3.2.2.2.1. The test vehicle shall be in standard condition, without accessories. The test vehicle and components shall be assembled and adjusted according to the manufacturer's instructions and specifications.
 - 3.2.2.2.2. Tyres shall be inflated to the vehicle manufacturer's recommended settings for normal operation. If more than one pressure is specified, the lowest value shall be used.
 - 3.2.2.2.3. All fluids shall be at the recommended level and the fuel tank shall be full.
 - 3.2.2.2.4. Steerable wheels shall be held in the straight ahead position.
 - 3.2.2.2.5. Adjustable suspension components shall be set to the manufacturers specified values at the point of delivery to the dealer.
 - 3.2.2.2.6. The test vehicle shall be loaded such that a test occupant weight or equivalent is placed in the operator seating position and the front outermost occupant seating position such that the centre of gravity of the weight(s) is to be 152 mm above the lowest point of the occupant-supporting surface and 254 mm forward of the seat back.
- 3.2.2.3. Test Device.
- A test platform shall be rigid, flat, and constructed to be an adjustable-slope, tilt table or similar device with a surface finish providing a minimum of coefficient of friction of 1.0 or a rail, stopper, or other means no greater than 25.4 mm in height adequate to prevent tires from sliding under normal test conditions. The platform surface shall be large enough to support all wheels of

the vehicle to be tested.

3.2.2.4. Test Procedure.

The test procedure shall be as follows:

3.2.2.4.1. The test vehicle with test loads in place shall be put on the tilt platform so that a line through the centre of the footprint of the two lowest tires shall be parallel to the tilt axis of the table and the steerable wheels of the machine shall be placed in the straight-ahead position see Figure X.

3.2.2.4.2. The stability of the vehicle shall be determined directly by tilting the platform to:

3.2.2.4.2.1. Loaded Configuration - 20 degrees (36.4%)

3.2.2.4.2.2 Operator and Passenger Configuration – 28 degrees (53.2%).

3.2.2.4.3. Tilt platform back to level.

3.2.2.4.4. Re-verify the tyre inflation of all tires to ensure that they still conform to the test requirements.

3.2.2.4.5. The test is to be conducted with one side of the vehicle facing the platform tilt axis and repeated with the other side facing the platform tilt axis.

3.2.2.5. Performance Requirements.

Acceptance of the lateral stability test shall require that at least one of the supporting tire or tires on the uphill side remain in contact with the surface.

3.2.3.. Static stability coefficient - K_{st}

3.2.3.1. Test Conditions.

Test conditions shall be as follows:

3.2.3.1.1. The test vehicle shall be in standard condition, without accessories. The test vehicle and components shall be assembled and adjusted according to the manufacturer's instructions and specifications.

3.2.3.1.2. Tires shall be inflated to the test vehicle manufacturer's recommended settings for normal operation. If more than one pressure is specified, the lowest value shall be used.

3.2.3.1.3. All fluids shall be at the recommended level and the fuel tank shall be full.

3.2.3.1.4. Steerable wheels shall be held in the straight ahead position.

3.2.3.1.5. Adjustable suspension components shall be set to the values specified at the point of delivery to the dealer.

3.2.3.1.6. The CG height shall be determined by ISO 10392:2011, the Balance Angle

method or another scientifically valid method that produces comparable and repeatable results.

3.2.3.2. Calculation Kst

Equation 11-1:

$$K_{st} = \frac{L t_2 + L_{CG} (t_1 - t_2)}{2 L H_{CG}}$$

Where :

Kst: static stability coefficient

CG: centre of gravity

Lcg: location of the cg forward of the rear axle

Hcg: location of the cg above the ground plane

t₁: front track width

t₂: rear track width

L: wheelbase

3.2.3.3. Performance Requirements.

3.2.3.3.1. (Sub-)categories L6e-A, L7e-A and L7e-B2: $K_{st} \geq 1.0$

3.2.3.3.2. (Sub-)category L7e-B1: $K_{st} \geq 0.7$

3.2.4. Pitch stability

3.2.4.1. Test Conditions.

Test conditions shall meet those of section 3.2.2.1.

3.2.4.1.1. Test Device.

A test platform shall be used meeting the requirements of section 3.2.2.

3.2.4.1.2. Test Procedure.

The loaded test vehicle shall be placed on the tilting platform such that the longitudinal centreline is perpendicular to the platform tilt axis. The forward end of the test vehicle shall be facing the platform tilt axis. Tilt the platform to a 25 degree (46.6%) gradient and repeat item listed in sections 3.2.3 and 3.2.4. Repeat the procedure with the rear end of the test vehicle facing the platform tilt axis.

3.2.4.1.3. Pitch stability performance requirements.

Acceptance of the pitch stability test shall require that at least one of the supporting tire or tires on the uphill side remain in contact with the surface.

ANNEX XII
On-board diagnostics (OBD) functional requirements

1. Introduction

This annex applies to the functional requirements of on-board diagnostic (OBD) system for L-category vehicles and specifies requirements as set-out in Article 21 of Regulation (EU) No 168/2013 according to the timetable set-out in Annex IV to that Regulation.

2. OBD stage I and stage II

2.1. OBD stage I

The technical requirements of this Annex shall be mandatory for L-category vehicles equipped with an OBD stage I system as set-out in Article 19 and Annex IV of Regulation (EU) No 168/2013. This obligation concerns compliance with all subsequent paragraphs with the exception of the paragraphs specifying OBD stage II requirements laid down in paragraph 2.2.

2.2. OBD stage II

2.2.1. An L-category vehicle may be equipped with an OBD stage II system at the choice of the manufacturer.

2.2.2. Where fitted with an OBD stage II system, the technical requirements of this Annex shall apply. This concerns in particular the applicable paragraphs listed in table 10-1

Topic	Paragraphs of this Annex and of appendix 1
Catalytic converter monitoring	3.3.3.1.
Non-continuous electric circuit diagnostics.	3.3.3.6.
EGR system monitoring	3.3.4.6.
In-use performance monitoring	Chapter 4 of appendix 1.
Misfire detection	3.2.2. / 3.2.2.1. / 3.2.2.2./ 3.3.3.2. / 3.3.4.1. / 3.5.3. / 3.6.2. / 3.7.1.
NOx after-treatment system monitoring	3.3.4.7. / 3.3.4.8.
Oxygen sensor deterioration monitoring	3.3.3.3.
Particulate filter monitoring	3.3.4.2.
Particulate matter (PM) emission monitoring	3.3.3.7.

Table 12-1: OBD stage II functions and associated requirements in paragraphs of this Annex and its appendix 1.

3. Functional OBD requirements

- 3.1. L-category vehicles shall be equipped with an OBD system so designed, constructed and installed in a vehicle as to enable it to identify types of deterioration or malfunction over the entire life of the vehicle. In achieving this objective the approval authority shall accept that vehicles which have travelled distances in excess of the Type V durability distance set-out in Annex VII (A) of Regulation (EU) No 168/2013, may show some deterioration in OBD system performance such that the emission limits given in Annex VI (B) of Regulation (EU) No 168/2013 may be exceeded before the OBD system signals a failure to the driver of the vehicle.
- 3.1.1. Access to the OBD system required for the inspection, diagnosis, servicing or repair of the vehicle shall be unrestricted and standardised. All OBD relevant fault codes shall be consistent with paragraph 6.5.3.4. of appendix 1 to this annex.
- 3.1.2. At the manufacturer's discretion, to aid technicians in the efficient repair of L-category vehicles, the OBD system may be extended to monitor and report on any other on-board system. Extended diagnostic systems shall not be considered as falling under the scope of type approval requirements.
- 3.2. The OBD system shall be so designed, constructed and installed in a vehicle as to enable it to comply with the requirements of this annex during conditions of normal use.
- 3.2.1. Temporary disablement of the OBD system
- 3.2.1.1. A manufacturer may disable the OBD system if its ability to monitor is affected by low fuel levels. Disablement shall not occur when the fuel tank level is above 20 per cent of the nominal capacity of the fuel tank.
- 3.2.1.2. A manufacturer may disable the OBD system at ambient engine starting temperatures below 266 K (-7 °C) or at elevations over 2,500 metres above sea level provided the manufacturer submits data and/or an engineering evaluation which adequately demonstrate that monitoring would be unreliable when such conditions exist. A manufacturer may also request disablement of the OBD system at other ambient engine starting temperatures if he demonstrates to the authority with data and/or an engineering evaluation that misdiagnosis would occur under such conditions. It is not necessary to illuminate the malfunction indicator (MI) if the OBD thresholds are exceeded during regeneration provided no defect is present.
- 3.2.1.3. For vehicles designed to accommodate the installation of power take-off units, disablement of affected monitoring systems is permitted provided disablement occurs only when the power take-off unit is active.
- In addition to the provisions of this section the manufacturer may temporarily disable the OBD system in the following conditions:
- (a) For flex fuel or mono/bi fuel gas vehicles during 1 minute after re-fuelling to allow for the recognition of fuel quality and composition by the powertrain control unit(s) (PCU);
- (b) For bi fuel vehicles during 5 seconds after fuel switching to allow for

readjusting engine parameters;

(c) The manufacturer may deviate from these time limits if it can demonstrate that stabilisation of the fuelling system after re-fuelling or fuel switching takes longer for justified technical reasons. In any case, the OBD system shall be re-enabled as soon as either the fuel quality or composition is recognised or the engine parameters are readjusted.

3.2.2. Engine misfire in vehicles equipped with positive-ignition engines

3.2.2.1. Manufacturers may adopt higher misfire percentage malfunction criteria than those declared to the authority, under specific engine speed and load conditions where it can be demonstrated to the authority that the detection of lower levels of misfire would be unreliable.

3.2.2.2. When a manufacturer can demonstrate to the authority that the detection of higher levels of misfire percentages is still not feasible, or that misfire cannot be distinguished from other effects (e.g. rough roads, transmission shifts, after engine starting; etc.) the misfire monitoring system may be disabled when such conditions exist.

3.3. Description of tests

3.3.1. The test shall be carried out on the test vehicle(s) used for the Type V durability test, given in Annex V to Regulation (EU) No 168/2013 on environmental and propulsion performance requirements, and using the test procedure in Annex VII to that Regulation. Tests shall be finally verified and reported at the conclusion of the Type V durability testing.

3.3.2. The OBD system shall indicate the failure of an emission-related component or system when that failure results in emissions exceeding the thresholds (OT₁ to OT₃ and if applicable OT₄) given in Annex VI (B) to Regulation (EU) No 168/2013.

3.3.3. Monitoring requirements for vehicles equipped with positive-ignition engines;

In satisfying the requirements of paragraph 3.3.2. the OBD system shall, at a minimum, monitor for:

3.3.3.1. The reduction in the efficiency of the catalytic converter with respect to emissions of hydrocarbons and nitrogen oxides. Manufacturers may monitor the front catalyst alone or in combination with the next catalyst(s) downstream. Each monitored catalyst or catalyst combination shall be considered malfunctioning when the emissions exceed the NMHC or NO_x thresholds provided for by paragraph 3.3.2.

3.3.3.2. The presence of engine misfire in the engine operating region bounded by the following lines:

(a) maximum design engine speed minus 500 min⁻¹;

(b) the positive torque line (i.e. engine load with the transmission in neutral);

(c) linear lines joining the following engine operating points: the positive torque line at 3,000 min⁻¹ and a point on the maximum speed line defined in (a) above

with the engine's manifold vacuum at 13.33 kPa lower than that at the positive torque line.

3.3.3.3. Oxygen sensor deterioration

This section shall mean that the deterioration of all oxygen sensors fitted and used for monitoring malfunctions of the catalytic converter according to the requirements of this annex shall be monitored.

3.3.3.4. If active on the selected fuel, other emission control system components or systems, or emission related power train components or systems which are connected to a computer, the failure of which may result in tailpipe emissions exceeding the limits given in paragraph 3.3.2;

3.3.3.5. Unless otherwise monitored, any other powertrain component connected to a computer relevant for the environmental performance and/or functional safety, including any relevant sensors to enable monitoring functions to be carried out, shall be continuously monitored for any electric circuit continuity failure, shorted electric circuits, electric range/performance and signal stuck of the emissions control system or by any fault in the powertrain triggering any operating mode which significantly reduces engine torque;

3.3.3.6. Non-continuously running electric circuit monitoring diagnostics, which are those electric circuit monitoring diagnostics that will run until their tests have passed on a non-continuous basis, shall be part of OBD stage II.

3.3.3.7. For direct injection positive ignition engines any malfunction, which may lead to emissions exceeding the particulate threshold limits provided for by paragraph 3.3.2. and which has to be monitored according to the requirements of this annex for compression ignition engines, shall be monitored.

3.3.4. Monitoring requirements for vehicles equipped with compression-ignition engines. In satisfying the requirements of paragraph 3.3.2. the OBD system shall monitor:

3.3.4.1. Where fitted, reduction in the efficiency of the catalytic converter;

3.3.4.2. Where fitted, the functionality and integrity of the particulate trap;

3.3.4.3. The fuel-injection system electronic fuel quantity and timing actuator(s) is/are monitored for circuit continuity and total functional failure;

3.3.4.4. Other emission control system components or systems, or emission-related powertrain components or systems, which are connected to a computer, the failure of which may result in exhaust emissions exceeding the limits given in paragraph 3.3.2. Examples of such systems or components are those for monitoring and control of air mass-flow, air volumetric flow (and temperature), boost pressure and inlet manifold pressure (and relevant sensors to enable these functions to be carried out).

3.3.4.5. Unless otherwise monitored, any other emission-related powertrain component connected to a computer, including any relevant sensors to enable monitoring functions to be carried out, shall be monitored for any electric circuit continuity

failure, shorted electric circuits or rationality failure of the emissions control system or by any fault in the powertrain triggering any operating mode which significantly reduces engine torque;

- 3.3.4.6. Malfunctions and the reduction in efficiency of the EGR system shall be monitored.
- 3.3.4.7. Malfunctions and the reduction in efficiency of a NO_x after-treatment system using a reagent and the reagent dosing sub-system shall be monitored.
- 3.3.4.8. Malfunctions and the reduction in efficiency of NO_x after-treatment not using a reagent shall be monitored.
- 3.3.5. Manufacturers may demonstrate to the approval authority that certain components or systems need not be monitored if, in the event of their total failure or removal, emissions do not exceed the emission limits given in paragraph 3.3.2.
- 3.4. A sequence of diagnostic checks shall be initiated at each engine start and completed at least once provided that the correct test conditions are met. The test conditions shall be selected in such a way that they all occur under normal driving as represented by the Type I test.
- 3.5. Activation of malfunction indicator (MI)
 - 3.5.1. The OBD system shall incorporate a malfunction indicator readily perceivable to the vehicle operator. The MI shall not be used for any other purpose except to indicate emergency start-up or limp-home routines to the driver. The MI shall be visible in all reasonable lighting conditions. When activated, it shall display a symbol in conformity with ISO 2575:2010, symbol 4.36. A vehicle shall not be equipped with more than one general purpose MI for emission-related problems or powertrain faults leading to significant reduced torque. Separate specific purpose tell tales (e. g. brake system, fasten seat belt, oil pressure, etc.) are permitted. The use of red colour for an MI is prohibited.
 - 3.5.2. For strategies requiring more than two preconditioning cycles for MI activation, the manufacturer shall provide data and/or an engineering evaluation which adequately demonstrates that the monitoring system is equally effective and timely in detecting component deterioration. Strategies requiring on average more than ten driving cycles for MI activation are not accepted. The MI shall also activate whenever the powertrain control enters a permanent default mode of operation leading to a significant torque reduction or if the emission thresholds given in Annex VI (B) of Regulation (EU) No 168/2013 are exceeded or if the OBD system is unable to fulfil the basic monitoring requirements specified in paragraph 3.3.3. or 3.3.4.
 - 3.5.3. The MI shall operate in a distinct warning mode, e.g. a flashing light, under any period during which engine misfire occurs at a level likely to cause catalyst damage, as specified by the manufacturer.
 - 3.5.4. The MI shall also activate when the vehicle's ignition is in the "key on" position before engine starting or cranking and deactivate after engine starting if no

malfunction has previously been detected.

- 3.6. The OBD system shall record fault code(s) indicating the status of the emission control system or of the functional safety system leading to an operation mode with significant reduced torque in comparison to normal operation mode. Separate status codes shall be used to identify correctly functioning emission control systems, functional safety systems and those emission control systems which need further vehicle operation to be fully evaluated. If the MI is activated due to deterioration or malfunction or permanent emission default modes of operation, a fault code shall be stored that identifies the type of malfunction. A fault code shall also be stored in the cases referred to in paragraphs 3.3.3.5. and 3.3.4.5.
 - 3.6.1. The distance travelled by the vehicle while the MI is activated shall be available at any instant through the serial port on the standard link connector.
 - 3.6.2. In the case of vehicles equipped with positive-ignition engines, misfiring cylinders need not be uniquely identified if a distinct single or multiple cylinder misfire fault code is stored.
- 3.7. Extinguishing the MI
 - 3.7.1. If misfire at levels likely to cause catalyst damage (as specified by the manufacturer) is not present any more, or if the engine is operated after changes to speed and load conditions where the level of misfire will not cause catalyst damage, the MI may be switched back to the previous state of activation during the first driving cycle on which the misfire level was detected and may be switched to the normal activated mode on subsequent driving cycles. If the MI is switched back to the previous state of activation, the corresponding fault codes and stored freeze-frame conditions may be erased.
 - 3.7.2. For all other malfunctions, the MI may be de-activated after three subsequent sequential driving cycles during which the monitoring system responsible for activating the MI ceases to detect the malfunction and if no other malfunction has been identified that would independently activate the MI.
- 3.8. Erasing a fault code
 - 3.8.1. The OBD system may erase a fault code and the distance travelled and freeze-frame information if the same fault is not re-registered in at least 40 engine warm-up cycles.
 - 3.8.2. Stored faults shall not be erased by disconnection of the on-board computer from the vehicle power supply or by disconnection or failure of the vehicle battery or batteries.
- 3.9. Bi-fuelled gas vehicles.

In general, for bi-fuelled gas vehicles for each of the fuel types (petrol and (NG/biomethane)/LPG)) all the OBD requirements as for a mono-fuelled vehicle are applicable. To this end one of the following two options in paragraphs 3.9.1. or 3.9.2. or any combination thereof shall be used.

- 3.9.1. One OBD system for both fuel types.
- 3.9.1.1. The following procedures shall be executed for each diagnostic in a single OBD system for operation on petrol and on (NG/biomethane)/LPG, either independent of the fuel currently in use or fuel type specific:
- (a) Activation of malfunction indicator (MI) (see paragraph 3.5.);
 - (b) Fault code storage (see paragraph 3.6.);
 - (c) Extinguishing the MI (see paragraph 3.7.);
 - (d) Erasing a fault code (see paragraph 3.8.).
- For components or systems to be monitored, either separate diagnostics for each fuel type can be used or a common diagnostic.
- 3.9.1.2. The OBD system can reside in either one or more computers.
- 3.9.2. Two separate OBD systems, one for each fuel type.
- 3.9.2.1. The following procedures shall be executed independently of each other when the vehicle is operated on petrol or on (NG/biomethane)/LPG:
- (a) Activation of malfunction indicator (MI) (see paragraph 3.5.);
 - (b) Fault code storage (see paragraph 3.6.);
 - (c) Extinguishing the MI (see paragraph 3.7.);
 - (d) Erasing a fault code (see paragraph 3.8.).
- 3.9.2.2. The separate OBD systems can reside in either one or more computers.
- 3.9.3. Specific requirements regarding the transmission of diagnostic signals from bi-fuelled gas vehicles.
- 3.9.3.1. On a request from a diagnostic scan tool, the diagnostic signals shall be transmitted on one or more source addresses. The use of source addresses is set-out in ISO 15031-5:2011.
- 3.9.3.2. Identification of fuel specific information can be realized:
- (a) By use of source addresses; and/or
 - (b) By use of a fuel select switch; and/or
 - (c) By use of fuel specific fault codes.
- 3.9.4. Regarding the status code (as described in paragraph 3.6.), one of the following two options has to be used, if one or more of the diagnostics reporting readiness is fuel type specific:
- (a) The status code is fuel specific, i.e. use of two status codes, one for each fuel type;
 - (b) The status code shall indicate fully evaluated control systems for both fuel types (petrol and (NG/biomethane)/LPG)) when the control systems are fully

evaluated for one of the fuel types.

If none of the diagnostics reporting readiness is fuel type specific, then only one status code has to be supported.

4. Requirements relating to the type-approval of on-board diagnostic systems.

4.1. A manufacturer may request to the authority that an OBD system be accepted for type-approval even though the system contains one or more deficiencies such that the specific requirements of this annex are not fully met.

4.2. In considering the request, the authority shall determine whether compliance with the requirements of this annex is infeasible or unreasonable.

The approval authority shall take into consideration data from the manufacturer that details such factors as, but not limited to, technical feasibility, lead time and production cycles including phase-in or phase-out of engines or vehicle designs and programmed upgrades of computers, the extent to which the resultant OBD system will be effective in complying with the requirements of this Regulation and that the manufacturer has demonstrated an acceptable level of effort towards compliance with the requirements of this Regulation.

4.2.1. The approval authority shall not accept any deficiency request that includes the complete lack of a required diagnostic monitor.

4.2.2. The approval authority shall not accept any deficiency request that does not respect the OBD threshold in Annex VI (B) of Regulation (EU) No 168/2013

4.3. In determining the identified order of deficiencies, deficiencies relating to paragraphs 3.3.3.1., 3.3.3.2. and 3.3.3.3. for positive-ignition engines and paragraphs 3.3.4.1., 3.3.4.2. and 3.3.4.3. for compression-ignition engines shall be identified first.

4.4. Prior to or at the time of type-approval, no deficiency shall be granted in respect of the requirements of paragraph 6.5., except paragraph 3.11. of appendix 1.

4.5. Deficiency period

4.5.1. A deficiency may be carried-over for a period of two years after the date of type-approval of the vehicle type unless it can be adequately demonstrated that substantial vehicle hardware modifications and additional lead-time beyond two years would be necessary to correct the deficiency. In such a case, the deficiency may be carried-over for a period not exceeding three years.

4.5.2. A manufacturer may request that the approval authority grant a deficiency retrospectively when such a deficiency is discovered after the original type-approval. In this case, the deficiency may be carried-over for a period of two years after the date of notification to the administrative department unless it can be adequately demonstrated that substantial vehicle hardware modifications and additional lead-time beyond two years would be necessary to correct the deficiency. In such a case, the deficiency may be carried-over for a period not exceeding three years.

- 4.6. The approval authority shall notify its decision in granting a deficiency request to all other Member States.

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Appendix 1
Functional aspects of on-board diagnostic (OBD) systems

1. Introduction

The on-board diagnostic systems fitted on L-category vehicles shall comply with the detailed information as well as functional requirements and verification test procedures of this appendix in order to harmonise the systems and to verify if the system is capable of meeting the requirements set-out in Article 19 of Regulation (EU) No 168/2013.

2. On-board diagnostic environmental performance and functional verification testing

2.1. The on-board diagnostic environmental system performance and functional capabilities shall be verified and demonstrated to the approval authority by performing the Type VIII test procedure as laid down in Annex VII of Regulation (EU) No 168/2013 on environmental and propulsion performance requirements

3. Diagnostic signals

3.1. Upon determination of the first malfunction of any component or system, "freeze-frame" engine conditions present at the time shall be stored in computer memory. Stored engine conditions shall include, but are not limited to calculated load value, engine speed, fuel trim value(s) (if available), fuel pressure (if available), vehicle speed (if available), coolant temperature, intake manifold pressure (if available), closed- or open-loop operation (if available) and the fault code which caused the data to be stored.

3.1.1. The manufacturer shall choose the most appropriate set of conditions facilitating effective and efficient repairs for freeze-frame storage. Only one frame of data is required. Manufacturers may choose to store additional frames provided that at least the required frame can be read by a generic scan tool meeting the specifications of paragraphs 3.9. and 3.10. If the fault code causing the conditions to be stored is erased in accordance with paragraph 3.7. of Annex X, the stored engine conditions may also be erased.

3.1.2. Should a subsequent fuel system or misfire malfunction occur, any previously stored freeze-frame conditions shall be replaced by the fuel system or misfire conditions (whichever occurs first).

3.2. If available, the following signals in addition to the required freeze-frame information shall be made available on demand through the serial port on the standardised data link connector, if the information is available to the on-board computer or can be determined using information available to the on-board computer: diagnostic trouble codes, engine coolant temperature, fuel control system status (closed-loop, open-loop, other), fuel trim, ignition timing advance, intake air temperature, manifold air pressure, air flow rate, engine speed, throttle position sensor output value, secondary air status (upstream, downstream or atmosphere), calculated load value, vehicle speed, the position of the antilock brake system switch (on/off), the activated default mode(s) and fuel pressure.

The signals shall be provided in standard units based on the specifications given in paragraph 3.7. Actual signals shall be clearly identified separately from default value or limp-home signals.

- 3.3. For all control systems for which specific on-board evaluation tests are conducted (catalyst, oxygen sensor, etc.), except if applicable misfire detection, fuel system monitoring and comprehensive component monitoring, the results of the most recent test performed by the vehicle and the limits to which the system is compared shall be made available through the serial data port on the standardised data link connector according to the specifications given in paragraph 3.7. For the monitored components and systems excepted above, a pass/fail indication for the most recent test results shall be available through the data link connector.

All data required to be stored in relation to OBD in-use performance according to the provisions of paragraph 4.6. of this appendix shall be available through the serial data port on the standardized data link connector according to the specifications given in paragraph 3.7.

- 3.4. The OBD requirements to which the vehicle is certified (i.e. Annex X or the alternative requirements specified in paragraph 5.) and the major control systems monitored by the OBD system consistent with paragraph 3.10. shall be available through the serial data port on the standardised data link connector according to the specifications given in paragraph 3.7. of this Appendix.

- 3.5. The software identification and calibration verification numbers shall be made available through the serial port on the standardised data link connector. Both numbers shall be provided in a standardised format.

- 3.6. The diagnostic system is not required to evaluate components during malfunction if such evaluation would result in a risk to functional safety or component failure.

- 3.7. The diagnostic system shall provide for standardised and unrestricted access and conform with the following ISO standards and/or SAE specification:

- 3.8. One of the following standards with the restrictions as described shall be used as the on board to off-board communications link:

- ISO 9141-2:1994/Amd 1:1996: "Road Vehicles – Diagnostic Systems – Part 2: CARB requirements for interchange of digital information";
- SAE J1850: March 1998 "Class B Data Communication Network Interface. Emission related messages shall use the cyclic redundancy check and the three-byte header and not use inter byte separation or checksums";
- ISO 14230-4:2000: "Road Vehicles – Keyword protocol 2000 for diagnostic systems – Part 4: Requirements for emission-related systems";
- ISO 15765-4:2011: "Road vehicles – Diagnostics on Controller Area Network (CAN) – Part 4: Requirements for emissions-related systems", dated 1 November 2001.

- 3.9. Test equipment and diagnostic tools needed to communicate with OBD systems

shall meet or exceed the functional specification given in ISO 15031-4:2005: "Road vehicles – Communication between vehicle and external test equipment for emissions-related diagnostics – Part 4: External test equipment" or

- 3.10. Basic diagnostic data, (as specified in paragraph 6.5.1.) and bi directional control information shall be provided using the format and units described in ISO 15031-5:2011 "Road vehicles – Communication between vehicle and external test equipment for emissions-related diagnostics – Part 5: Emissions-related diagnostic services", and shall be available using a diagnostic tool meeting the requirements of ISO 15031-4:2005.
- 3.10.1. The vehicle manufacturer shall provide to the approval authority the details of any diagnostic data, e.g. PID's, OBD monitor Id's, Test Id's not specified in ISO 15031-5:2011 but related to this Regulation.
- 3.11. When a fault is registered, the manufacturer shall identify the fault using an appropriate fault code consistent with those given in Section 6.3. of ISO 15031-6:2010 "Road vehicles – Communication between vehicle and external test equipment for emissions-related diagnostics – Part 6: Diagnostic trouble code definitions", relating to "emission related system diagnostic trouble codes". If such identification is not possible, the manufacturer may use diagnostic trouble codes according to Sections 5.3. and 5.6. of ISO DIS 15031-6:2010. Alternatively fault codes may be compiled and reported according to ISO14229:2006. The fault codes shall be fully accessible by standardised diagnostic equipment complying with the provisions of paragraph 3.9..

The vehicle manufacturer shall provide to a national standardisation body the details of any emission-related diagnostic data, e.g. PID's, OBD monitor Id's, Test Id's not specified in ISO 15031-5:2011 or ISO14229:2006, but related to this Regulation.
- 3.12. The connection interface between the vehicle and the diagnostic tester shall be standardised and shall meet all the requirements of ISO DIS 15031-3:2004 "Road vehicles – Communication between vehicle and external test equipment for emissions-related diagnostics – Part 3: Diagnostic connector and related electrical circuits: specification and use". The preferred installation position is under the seating position. Any other position of the diagnostic connector shall be subject to agreement of the approval authority such that it is readily accessible by service personnel but protected from tampering by non-qualified personnel. The position of the connection interface shall be clearly indicated in the user manual.
- 3.13. At the request of the vehicle manufacturer, an alternative connection interface may be used. Where an alternative connection interface is used, the vehicle manufacturer shall provide an adapter enabling connection to a generic scan tool. Such an adapter shall be provided in a non-discriminating manner to all independent operators.

4. In-use performance

- 4.1. General Requirements

- 4.1.1. Each monitor of the OBD system shall be executed at least once per driving cycle in which the monitoring conditions as specified in paragraph 3.2. of Annex X are met. Manufacturers shall not use the calculated ratio (or any element thereof) or any other indication of monitor frequency as a monitoring condition for any monitor.
- 4.1.2. The in-use performance ratio (IUPR) of a specific monitor M of the OBD systems and in-use performance of pollution control devices shall be:
Equation Ap1-1:
$$\text{IUPRM} = \text{NumeratorM} / \text{DenominatorM}$$
- 4.1.3. Comparison of Numerator and Denominator gives an indication of how often a specific monitor is operating relative to vehicle operation. To ensure all manufacturers are tracking IUPRM in the same manner, detailed requirements are given for defining and incrementing these counters.
- 4.1.4. If, according to the requirements of this annex, the vehicle is equipped with a specific monitor M, IUPRM shall be greater or equal 0.1 for all monitors M.
- 4.1.5. The requirements of this paragraph are deemed to be met for a particular monitor M, if for all vehicles of a particular vehicle and propulsion family manufactured in a particular calendar year the following statistical conditions hold:
- (a) The average IUPRM is equal or above the minimum value applicable to the monitor;
 - (b) More than 50 per cent of all vehicles have an IUPRM equal or above the minimum value applicable to the monitor.
- 4.1.6. The manufacturer shall demonstrate to the approval authority that these statistical conditions are satisfied for vehicles manufactured in a given calendar year for all monitors required to be reported by the OBD system according to paragraph 4.6. of this appendix not later than 18 months after the end of a calendar year. For this purpose, statistical tests shall be used which implement recognised statistical principles and confidence levels.
- 4.1.7. For demonstration purposes of this paragraph the manufacturer may group vehicles within a vehicle and propulsion family by any other successive and non-overlapping 12 month manufacturing periods instead of calendar years. For establishing the test sample of vehicles at least the selection criteria of Appendix 3 paragraph 2. shall be applied. For the entire test sample of vehicles the manufacturer shall report to the approval authority all of the in-use performance data to be reported by the OBD system according to paragraph 4.6. of this appendix. Upon request, the approval authority which grants the approval shall make these data and the results of the statistical evaluation available to other approval authorities.
- 4.1.8. The approval authority and the technical service may pursue further tests on vehicles or collect appropriate data recorded by vehicles to verify compliance with the requirements of this annex.

- 4.1.9. In-use performance related data to be stored and reported by a vehicle's OBD system shall be made readily available by the manufacturer to national authorities and independent operators without any encryption.
- 4.2. NumeratorM
- 4.2.1. The numerator of a specific monitor is a counter measuring the number of times a vehicle has been operated such that all monitoring conditions necessary for the specific monitor to detect a malfunction in order to warn the driver, as they have been implemented by the manufacturer, have been encountered. The numerator shall not be incremented more than once per driving cycle, unless there is reasoned technical justification.
- 4.3. DenominatorM
- 4.3.1. The purpose of the denominator is to provide a counter indicating the number of vehicle driving events, taking into account special conditions for a specific monitor. The denominator shall be incremented at least once per driving cycle, if during this driving cycle such conditions are met and the general denominator is incremented as specified in paragraph 4.5. unless the denominator is disabled according to paragraph 4.7. of this appendix.
- 4.3.2. In addition to the requirements of paragraph 4.3.1.:
- Secondary air system monitor denominator(s) shall be incremented if the commanded "on" operation of the secondary air system occurs for a time greater than or equal to 10 seconds. For purposes of determining this commanded "on" time, the OBD system shall not include time during intrusive operation of the secondary air system solely for the purposes of monitoring.
- Denominators of monitors of systems only active during cold start shall be incremented if the component or strategy is commanded "on" for a time greater than or equal to 10 seconds.
- The denominator(s) for monitors of Variable Valve Timing (VVT) and/or control systems shall be incremented if the component is commanded to function (e.g., commanded "on", "open", "closed", "locked", etc.) on two or more occasions during the driving cycle or for a time greater than or equal to 10 seconds, whichever occurs first.
- For the following monitors, the denominator(s) shall be incremented by one if, in addition to meeting the requirements of this paragraph on at least one driving cycle, at least 800 cumulative kilometres of vehicle operation have been experienced since the last time the denominator was incremented:
- (i) Diesel oxidation catalyst;
 - (ii) Diesel particulate filter.
- 4.3.3. For hybrid vehicles, vehicles that employ alternative engine start hardware or strategies (e.g. integrated starter and generators), or alternative fuel vehicles (e.g. dedicated, bi-fuel, or dual-fuel applications), the manufacturer may request the approval of the approval authority to use alternative criteria to those set forth in

this paragraph for incrementing the denominator. In general, the approval authority shall not approve alternative criteria for vehicles that only employ engine shut off at or near idle/vehicle stop conditions. Approval by the approval authority of the alternative criteria shall be based on the equivalence of the alternative criteria to determine the amount of vehicle operation relative to the measure of conventional vehicle operation in accordance with the criteria in this paragraph.

4.4. Ignition Cycle Counter

4.4.1. The ignition cycle counter indicates the number of ignition cycles a vehicle has experienced. The ignition cycle counter may not be incremented more than once per driving cycle.

4.5. General Denominator

4.5.1. The general denominator is a counter measuring the number of times a vehicle has been operated. It shall be incremented within 10 seconds, if and only if, the following criteria are satisfied on a single driving cycle:

(a) Cumulative time since engine start is greater than or equal to 600 seconds while at an elevation of less than 2,440 m above sea level and at an ambient temperature of greater than or equal to 266.2 K (-7° C);

(b) Cumulative vehicle operation at or above 25 km/h occurs for greater than or equal to 300 seconds while at an elevation of less than 2,440 m above sea level and at an ambient temperature of greater than or equal to 266.2 K (-7 °C);

(c) Continuous vehicle operation at idle (i.e. accelerator pedal released by driver and vehicle speed less than or equal to 1.6 km/h) for greater than or equal to 30 seconds while at an elevation of less than 2,440 m above sea level and at an ambient temperature of greater than or equal to 266.2 K (-7 °C).

4.6. Reporting and increasing counters

4.6.1. The OBD system shall report in accordance with the ISO 15031-5:2011 specifications the ignition cycle counter and general denominator as well as separate numerators and denominators for the following monitors, if their presence on the vehicle is required by this annex:

(a) Catalysts (each bank to be reported separately);

(b) Oxygen/exhaust gas sensors, including secondary oxygen sensors (each sensor to be reported separately);

(c) Evaporative system;

(d) EGR system;

(e) VVT system;

(f) Secondary air system;

(g) Particulate filter;

(h) NO_x after-treatment system (e.g. NO_x adsorber, NO_x reagent/ catalyst system);

(i) Boost pressure control system.

- 4.6.2. For specific components or systems that have multiple monitors, which are required to be reported by this paragraph (e.g. oxygen sensor bank 1 may have multiple monitors for sensor response or other sensor characteristics), the OBD system shall separately track numerators and denominators for each of the specific monitors and report only the corresponding numerator and denominator for the specific monitor that has the lowest numerical ratio. If two or more specific monitors have identical ratios, the corresponding numerator and denominator for the specific monitor that has the highest denominator shall be reported for the specific component.
- 4.6.3. All counters, when incremented, shall be incremented by an integer of one.
- 4.6.4. The minimum value of each counter is 0, the maximum value shall not be less than 65,535, notwithstanding any other requirements on standardised storage and reporting of the OBD system.
- 4.6.5. If either the numerator or denominator for a specific monitor reaches its maximum value, both counters for that specific monitor shall be divided by two before being incremented again according to the provisions set in paragraphs 4.2 and 4.3. If the ignition cycle counter or the general denominator reaches its maximum value, the respective counter shall change to zero at its next increment according to the provisions set in paragraphs 4.4. and 4.5., respectively.
- 4.6.6. Each counter shall be reset to zero only when a non-volatile memory reset occurs (e.g. reprogramming event, etc.) or, if the numbers are stored in keep-alive memory (KAM), when KAM is lost due to an interruption in electrical power to the control module (e.g. battery disconnect, etc.).
- 4.6.7. The manufacturer shall take measures to ensure that the values of numerator and denominator cannot be reset or modified, except in cases provided for explicitly in this paragraph.
- 4.7. Disablement of Numerators and Denominators and of the General Denominator
- 4.7.1. Within 10 seconds of a malfunction being detected, which disables a monitor required to meet the monitoring conditions of this annex (i.e. a pending or confirmed code is stored), the OBD system shall disable further incrementing of the corresponding numerator and denominator for each monitor that is disabled. When the malfunction is no longer detected (i.e., the pending code is erased through self-clearing or through a scan tool command), incrementing of all corresponding numerators and denominators shall resume within 10 seconds.
- 4.7.2. Within 10 seconds of the start of a power take-off operation (PTO) that disables a monitor required to meet the monitoring conditions of this annex, the OBD system shall disable further incrementing of the corresponding numerator and denominator for each monitor that is disabled. When the PTO operation ends, incrementing of all corresponding numerators and denominators shall resume within 10 seconds.
- 4.7.3. The OBD system shall disable further incrementing of the numerator and

denominator of a specific monitor within 10 seconds, if a malfunction of any component used to determine the criteria within the definition of the specific monitor's denominator (i.e. vehicle speed, ambient temperature, elevation, idle operation, engine cold start, or time of operation) has been detected and the corresponding pending fault code has been stored. Incrementing of the numerator and denominator shall resume within 10 seconds when the malfunction is no longer present (e.g. pending code erased through self-clearing or by a scan tool command).

- 4.7.4. The OBD system shall disable further incrementing of the general denominator within 10 seconds, if a malfunction has been detected of any component used to determine whether the criteria in paragraph 3.5. are satisfied (i.e. vehicle speed, ambient temperature, elevation, idle operation, or time of operation) and the corresponding pending fault code has been stored. The general denominator may not be disabled from incrementing for any other condition. Incrementing of the general denominator shall resume within 10 seconds when the malfunction is no longer present (e.g., pending code erased through self-clearing or by a scan tool command).

5. **Access to OBD information**

- 5.1. Applications for type-approval or amendment of a type-approval shall be accompanied by the relevant information concerning the vehicle OBD system. This relevant information shall enable manufacturers of replacement or retrofit components to make the parts they manufacture compatible with the vehicle OBD system with a view to fault-free operation assuring the vehicle user against malfunctions. Similarly, such relevant information shall enable the manufacturers of diagnostic tools and test equipment to make tools and equipment that provide for effective and accurate diagnosis of vehicle emission control systems.
- 5.2. Upon request, the vehicle manufacturer shall make appendix 1 of annex 2 containing the relevant information on the OBD system available to any interested components, diagnostic tools or test equipment manufacturer on a non-discriminatory basis:
- 5.2.1. A description of the type and number of the preconditioning cycles used for the original type-approval of the vehicle;
- 5.2.2. A description of the type of the OBD demonstration cycle used for the original type approval of the vehicle for the component monitored by the OBD system;
- 5.2.3. A comprehensive document describing all sensed components with the strategy for fault detection and MI activation (fixed number of driving cycles or statistical method), including a list of relevant secondary sensed parameters for each component monitored by the OBD system and a list of all OBD output codes and format used (with an explanation of each) associated with individual emission related power-train components and individual non-emission related components, where monitoring of the component is used to determine MI activation. In particular, a comprehensive explanation for the data given in service \$ 05 Test ID \$ 21 to FF and the data given in service \$ 06 shall be provided. In the case of

vehicle types that use a communication link in accordance with ISO 15765-4 «Road vehicles — Diagnostics on Controller Area Network (CAN) — Part 4: Requirements for emissions-related systems», a comprehensive explanation for the data given in service \$ 06 Test ID \$ 00 to FF, for each OBD monitor ID supported, shall be provided.

5.2.4. This information may be provided in the form of a table, as follows:

Component	Fault code	Monitoring strategy	Fault detection criteria	MI activation criteria	Secondary parameters	Pre conditioning	Demonstration test
Catalyst	P0420	Oxygen sensor 1 and 2 signals	Difference between sensor 1 and sensor 2 signals	3 rd cycle	Engine speed, engine load, A/F mode, catalyst temperature	Two Type 1 cycles	Type 1

Figure 3-1: Template OBD information list

5.2.5. If an approval authority receives a request from any interested components, diagnostic tools or test equipment manufacturer for information on the OBD system of a vehicle that has been type-approved to a previous version of Regulation,

(a) The approval authority shall, within 30 days, request the manufacturer of the vehicle in question the type to make available the information required in paragraph 4.2.12.2.7.6. of Annex 1. The requirement of the second section of paragraph 4.2.12.2.7.6. is not applicable;

(b) The manufacturer shall submit this information to the approval authority within two months of the request;

(c) The approval authority shall transmit this information to the other approval authorities of the Member States and the approval authority which granted the original type-approval shall attach this information to Annex 1 of the vehicle type-approval information.

5.2.6. Information can only be requested for replacement or service components that are subject to type-approval, or for components that form part of a system that is subject to type-approval.

5.2.7. The request for information shall identify the exact specification of the vehicle model for which the information is required. It shall confirm that the information is required for the development of replacement or retrofit parts or components or diagnostic tools or test equipment.

6. Information required for the manufacture of diagnostic tools

6.1. In order to facilitate the provision of generic diagnostic tools for multi-make repairers, vehicle manufacturers shall make available the information referred to in the points below through their repair information web-sites.

6.2. This information shall include all diagnostic tool functions and all the links to repair information and troubleshooting instructions. The access to this information may be subject to the payment of a reasonable fee.

6.2.1. Communication Protocol Information

The following information shall be required indexed against vehicle make, model and variant, or other workable definition such as VIN or vehicle and systems identification:

6.2.1.1. Any additional protocol information system necessary to enable complete diagnostics in addition to the standards prescribed in Annex xx, including any additional hardware or software protocol information, parameter identification, transfer functions, “keep alive” requirements, or error conditions;

6.2.1.2. Details of how to obtain and interpret all fault codes not in accordance with the standards prescribed in Annex xx;

6.2.1.3. A list of all available live data parameters including scaling and access information;

6.2.1.4. A list of all available functional tests including device activation or control and the means to implement them;

6.2.1.5. Details of how to obtain all component and status information, time stamps, pending DTC and freeze frames;

6.2.1.6. Resetting adaptive learning parameters, variant coding and replacement component setup, and customer preferences;

6.2.1.7. PCU / ECU identification and variant coding;

6.2.1.8. Details of how to reset service lights;

6.2.1.9. Location of diagnostic connector and connector details;

6.2.1.10. Engine code identification.

6.2.2. Test and diagnosis of OBD monitored components.

The following information shall be required:

6.2.2.1. A description of tests to confirm its functionality, at the component or in the harness;

6.2.2.2. Test procedure including test parameters and component information;

6.2.2.3. Connection details including minimum and maximum input and output and driving and loading values;

6.2.2.4. Values expected under certain driving conditions including idling;

- 6.2.2.5. Electrical values for the component in its static and dynamic states;
- 6.2.2.6. Failure mode values for each of the above scenarios;
- 6.2.2.7. Failure mode diagnostic sequences including fault trees and guided diagnostics elimination;
- 6.2.3. Data required to perform the repair
The following information shall be required:
 - 6.2.3.1. ECU and component initialisation (in the event of replacements being fitted);
 - 6.2.3.2. Initialisation of new or replacement ECU's where relevant using pass-through (re-) programming techniques.

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ANNEX XIII
Requirements on passenger handholds and footrests

1. General requirements

- 1.1. Concerning vehicles where provisions are made for the carriage of one or more passengers and the seating positions meant for those passengers are not equipped with safety belts, the seating positions in question shall be fitted with a passenger handhold system, which shall consist of either a strap or one or two hand grips bars.
- 1.1.1. Handhold straps shall be fitted close to the seating position for which it is meant and in such a way that it can easily be used by the passenger. In addition, the handhold strap shall be symmetrical with respect to the longitudinal median plane of the seating position in question. The strap and its attachments shall be able to withstand, without snapping, a vertical traction force of 2000 N applied statically to the centre of the surface of the strap at a maximum pressure of 2.0 MPa.
- 1.1.2. Single hand grip bars shall be fitted close to the seating position for which it is meant and in such a way that it can easily be used by the passenger. In addition, the single hand grip bar shall be symmetrical with respect to the longitudinal median plane of the seating position in question. The single hand grip bar and its attachments shall be able to withstand, without snapping, a vertical traction force of 2000 N applied statically to the centre of the surface of the hand grip bar at a maximum pressure of 2.0 MPa.
- 1.1.3. Two hand grip bars shall be fitted close to the seating position for which it is meant and in such a way that it can easily be used by the passenger. In addition, the two hand grip bars shall be symmetrical with respect to each other and the longitudinal median plane of the seating position in question. The two hand grip bars shall each be able to withstand simultaneously, without snapping, a vertical traction force of 1000 N applied statically to the centre of the surface of each hand grip bar at a maximum pressure of 1.0 MPa.
- 1.1.4. Any design features of the vehicle which may be confused with the designated passenger handhold system shall not be permitted, unless these also meet the requirements of paragraphs 1.1.1. to 1.1.3.
- 1.2. All seating positions of the vehicle shall be fitted with either designated footrests or a floor or floor boards on which both of the rider's, driver's or passengers' feet can rest.
- 1.2.1. The vehicle's floor, each designated floor board and each designated footrest shall be capable of withstanding, without any resulting permanent deformation which is harmful to its function, a vertical compression force of 1700 N applied statically to any point on the floor or floor board, or 15 mm from the end of the footrest at a maximum pressure of 2.0 MPa.
- 1.2.2. The space provided by each designated footrest, including the space on the floor or floor board, shall be sufficient as to enable a foot having a length of at least 300 mm and a width of at least 110 mm to be placed safely without hampering the

vehicle operator's feet. Footrests shall be located in such a way that no direct contact between the foot/leg and rotating parts (e.g. tyres) of the vehicle is possible when in use.

- 1.2.3. Any design features of the vehicle which may be confused with the designated footrests, floor boards or vehicle floor shall not be permitted, unless these also meet the requirements of paragraphs 1.2.1. to 1.2.2.

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ANNEX XIV
Requirements on registration plate space

1. General requirements

- 1.1. Vehicles shall be equipped with a space for mounting and the fixing of rear registration plates.
- 1.2. Vehicles of category L6e and L7e shall in addition be equipped with a space for mounting and the fixing of front registration plates.
 - 1.2.1. Vehicles of category L1e, L2e, L3e, L4e and L5e are deemed unsuitable for mounting and the fixing of front registration plates and shall therefore not be equipped with such provisions.
- 1.3. Shape and dimensions of the space for mounting a registration plate.
 - 1.3.1. The space for mounting shall comprise of a rectangular area with the following minimum dimensions:
For vehicles of categories L1e, L2e and L6e:
either
width: 100 mm
height: 175 mm
or
width: 145 mm
height: 125 mm
For vehicles of categories L3e, L4e, L5e and L7e:
width: 210 mm
height: 150 mm
- 1.4. Mounting and fixing of a rear registration plate on vehicles of category L1e, L2e, L3e, L4e and L5e.
 - 1.4.1. The space for mounting a rear registration plate shall be such that the registration plate, after fixing according to the manufacturer's instructions, shall have the following characteristics:
 - 1.4.1.1. Location of the space for mounting a rear registration plate:
 - 1.4.1.1.1. The space for mounting a rear registration plate shall be located at the rear of the vehicle in such a manner that a plate with the relevant dimensions as provided in paragraph 1.3.1. can be positioned entirely within the two parallel longitudinal vertical planes passing through the outer extremities of the vehicle, not taking into account any rear-view mirrors, and the designated rear registration plate space itself shall not form the widest point of the vehicle.
 - 1.4.1.2. The plate shall be perpendicular to the longitudinal median plane of the vehicle.
 - 1.4.1.3. Position of the plate in relation to the vertical transverse plane:

- 1.4.1.3.1. The plate may be inclined to the vertical at not less than -15° and not more than 30° .
- 1.4.1.4. Height of the plate from the ground surface:
- 1.4.1.4.1. The height of the lower edge of the plate from the ground surface shall not be less than 0.20 m above the ground or less than the radius of any rear wheel above the ground if that is less than 0.20 m.
- 1.4.1.4.2. The height of the upper edge of the plate from the ground surface shall not exceed 1.50 m.
- 1.4.1.5. Geometrical visibility:
- 1.4.1.5.1. The plate shall be visible in the whole space included within the following four planes:
- the two vertical planes touching the two lateral edges of the plate and forming an angle measured outwards of 30° in relation to the longitudinal median plane of the vehicle;
 - the plane touching the upper edge of the plate and forming an angle measured upwards of 15° with the horizontal;
 - the horizontal plane through the lower edge of the plate.
- 1.4.1.5.2. Any structural element, even when fully transparent, shall not be located within the space described above.
- 1.5. Mounting and fixing of a front and rear registration plate on vehicles of category L6e and L7e
- 1.5.1. The space for mounting a front or rear registration plate shall comprise of a flat or virtually flat rectangular surface.
- 1.5.2. The surface to be covered by a front or rear registration plate may have holes or gaps, however, the width of the hole or gap shall not exceed 40 mm without having to take into account its length (i.e. a gap or slot shall never be wider than 40 mm, but may be longer than 40 mm).
- 1.5.3. The surface to be covered by a front or rear registration plate may have protrusions, provided that these do not project more than 5.0 mm in relation to the nominal surface. Patches of very soft materials, such as foam or felt for the purpose of the elimination of vibration of the registration plate, shall not be taken into account.
- 1.5.4. The space for mounting a front or rear registration plate shall be such that the registration plate, after fixing according to the manufacturer's instructions, shall have the following characteristics:
- 1.5.4.1. Location of the space for mounting a front or rear registration plate:

- 1.5.4.1.1. The space for mounting a front registration plate shall be located at the front of the vehicle in such a manner that a plate with the relevant dimensions as provided in paragraph 1.3.1. can be positioned entirely within the two parallel longitudinal vertical planes passing through the outer extremities of the vehicle, not taking into account any rear-view mirrors, and the designated front registration plate space itself shall not form the widest point of the vehicle.
- 1.5.4.1.2. The space for mounting a rear registration plate shall be located at the rear of the vehicle in such a manner that a plate with the relevant dimensions as provided in paragraph 1.3.1. can be positioned entirely within the two parallel longitudinal vertical planes passing through the outer extremities of the vehicle, not taking into account any rear-view mirrors, and the designated rear registration plate space itself shall not form the widest point of the vehicle.
- 1.5.4.1.3. The front and rear registration plates shall be perpendicular to the longitudinal median plane of the vehicle.
- 1.5.4.2. Position of the front and rear plate in relation to the vertical transverse plane:
- 1.5.4.2.1. The plate may be inclined to the vertical at not less than -15° and not more than 30° .
- 1.5.4.3. Height of the front and rear plate from the ground surface:
- 1.5.4.3.1. The height of the lower edge of the plate from the ground surface shall not be less than 0.20 m above the ground or less than the radius of any front wheel above the ground if that is less than 0.20 m.
- 1.5.4.3.2. The height of the upper edge of the plate from the ground surface shall not exceed 1.50 m.
- 1.5.4.4. Geometrical visibility:
- 1.5.4.4.1. The front as well as the rear plate shall be visible in the whole space included within the following four planes:
- the two vertical planes touching the two lateral edges of the plate and forming an angle measured outwards of 30° in relation to the longitudinal median plane of the vehicle;
 - the plane touching the upper edge of the plate and forming an angle measured upwards of 15° with the horizontal;
 - the horizontal plane through the lower edge of the plate.
- The front plate shall be visible towards the front of the vehicle and the rear plate shall be visible towards the rear of the vehicle.
- 1.5.4.4.2. Any structural element, even when fully transparent, shall not be located within the space described above.
- 1.5.4.5. The space between the edges of the mounted and fixed front or rear registration plate and the actual surface of the front or rear registration plate space shall not

exceed 5.0 mm along the complete outline of the registration plate.

- 1.5.4.5.1. The prescribed maximum space may locally be exceeded in the case it is measured at a hole or gap within the surface of patterned mesh or between the parallel bars of the surface of grille.
- 1.6. Other requirements
 - 1.6.1. The presence of a registration plate may not form the basis or part of the basis to attach, mount or clip any other vehicle part, component or device onto it (e.g. it is not permitted to affix a lighting device support onto the registration plate).
 - 1.6.2. No vehicle part, component or device shall become loosened or detached as a result of removal of the registration plate.
 - 1.6.3. When a registration plate is fixed, its visibility shall not be reduced under normal conditions of use, due to in particular vibrations and dynamic forces such as driving wind forces.
 - 1.6.4. It is not permitted to provide a registration plate mounting location which can in itself be made to easily pivot up and/or down beyond the angles as laid down in paragraphs 1.4.1.3.1. and 1.5.4.2.1. in relation to the vehicle structure in normal driving condition (i.e. with doors or access panels closed).
 - 1.6.5. If the vehicle has the tendency to lean, a mounted registration plate of the applicable maximum dimensions which is not located in the longitudinal median plane of the vehicle shall not be the limiting factor of the maximum lean angle.

2. Test procedure

- 2.1. Determination of the vertical inclination and height of the registration plate from the ground surface.
 - 2.1.1. The vehicle shall be placed on a horizontal surface and, if necessary, kept in an upright position. The steered wheel(s) shall be pointed in the straight ahead position and the vehicle's mass shall be adjusted to that of the manufacturer's declared mass in running order before the measurements are made.
 - 2.1.2. If the vehicle is equipped with hydro pneumatic, hydraulic or pneumatic suspension or another device which may be adjusted in relation to load, it shall be tested with the suspension or device in the normal running condition, as specified by the vehicle manufacturer.
 - 2.1.3. If the primary and visible side of the registration plate is facing downwards, the measurement result concerning the inclination is expressed in minus.
- 2.2. Projection measurements shall be made perpendicularly and directly towards the nominal surface to be covered by the registration plate.
- 2.3. Measurement of the space between the edge of the mounted and fixed registration plate and the actual surface shall be made perpendicularly and directly towards the

actual surface to be covered by the registration plate.

2.4. The registration plate used for checking conformity shall have the following size:

- for vehicles of category L1e, L2e and L6e: one of the two sizes as specified in paragraph 1.3.1., as specified by the vehicle manufacturer;
- for vehicles of categories L3e, L4e, L5e and L7e the size as specified in paragraph 1.3.1.

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ANNEX XV

Requirements on access to repair and maintenance information

1. Purpose

- 1.1. Access to information means the availability of all vehicle OBD and vehicle repair and maintenance information, required for the inspection, diagnosis, servicing or repair of the vehicle.
- 1.1. When applying for EU type approval or national type approval, the manufacturer shall provide the approval authority with proof of compliance with this Regulation relating to access to vehicle repair and maintenance information and to the information referred to in paragraph 2(6).

2. Access to vehicle OBD and vehicle repair and maintenance information

- 2.1. The approval authorities shall only grant type-approval after receiving from the manufacturer a Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information.
- 2.2. The Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information shall serve as the proof of compliance with Chapter XV of Regulation (EU) No 168/2013.
- 2.3. The Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information shall be drawn up in accordance with the model set out Annex III of Regulation (EU) No [xxx/2013].
- 2.4. The manufacturer shall make available to interested parties the following information:
 - 2.4.1. relevant information to enable the development of replacement components which are critical to the correct functioning of the OBD system;
 - 2.4.2. information to enable the development of generic diagnostic tools.
- 2.5. For the purposes of point 2.10.1., the development of replacement components shall not be restricted by any of the following:
 - 2.5.1. the unavailability of pertinent information;
 - 2.5.2. the technical requirements relating to malfunction indication strategies if the OBD thresholds are exceeded or if the OBD system is unable to fulfil the basic OBD monitoring requirements of this Regulation;
 - 2.5.3. specific modifications to the handling of OBD information to deal independently with vehicle operation on liquid or on gaseous fuels;
 - 2.5.4. the type-approval of gaseous-fuelled vehicles that contain a limited number of minor deficiencies.

- 2.6. For the purposes of point 2.10.2., where manufacturers use diagnostic and test tools in accordance with ISO 22900-2:2009 regarding Modular Vehicle Communication Interface (MVICI) and ISO 22901-2:2011 on Open Diagnostic Data Exchange (ODX) in their franchised networks, the ODX files shall be accessible to independent operators via the website of the manufacturer.
- 2.7. In addition to time-based access set-out in Article 59 of Regulation (EU) No 168/2013], manufacturers may offer transaction-based access, for which fees are charged per transaction and not based on the time for which access is granted. Where both access systems are offered by manufacturers, independent repairers shall choose a preferred access system, either time-based or transaction-based.
- 2.8. The manufacturer shall ensure that the technical requirements set-out in appendix 1 regarding access to vehicle OBD and vehicle repair and maintenance information shall be complied with.

3 Multi-stage type-approval

- 3.1. In the case of multi-stage type-approval, as defined in Article 25 of Regulation (EU) No 168/2013, the final manufacturer shall be responsible for providing access to vehicle OBD and vehicle repair and maintenance information regarding its own manufacturing stage(s) and the link to the previous stage(s).
- 3.2. In addition, the final manufacturer shall on its website provide independent operators with the following information:
 - 3.2.1. website address of the manufacturer(s) responsible for the previous stage(s);
 - 3.2.2. name and address of all the manufacturers responsible for the previous stage(s);
 - 3.2.3. type-approval number(s) of the previous stage(s);
 - 3.2.4. the engine number.
- 3.3. Each manufacturer responsible for a particular stage or stages of type-approval shall be responsible for providing through his website access to vehicle OBD and vehicle repair and maintenance information regarding the stage(s) of type-approval for which he is responsible and the link to the previous stage(s).
- 3.4. The manufacturer responsible for a particular stage or stages of type-approval shall provide the following information to the manufacturer responsible for the next stage:
 - 3.4.1. the Certificate of Conformity relating to the stage(s) for which he is responsible;
 - 3.4.2. the Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information, including its appendices;
 - 3.4.3. the type-approval number corresponding to the stage(s) for which he is responsible;

- 3.4.4. the documents referred to in points 3.4.1., 3.4.2. and 3.4.3. as provided by the manufacturer(s) involved in the previous stage(s).
- 3.5. Each manufacturer shall authorise the manufacturer responsible for the next stage to pass the documents provided to the manufacturers responsible for any subsequent stages and the final stage.
- 3.6. In addition, on a contractual basis, the manufacturer responsible for a particular stage or stages of type-approval shall:
- 3.6.1. provide the manufacturer responsible for the next stage with access to vehicle OBD and vehicle repair and maintenance information and interface information corresponding to the particular stage(s) for which he is responsible;
- 3.6.2. provide, at the request of a manufacturer responsible for a subsequent stage of type-approval, with access to vehicle OBD and vehicle repair and maintenance information and interface information corresponding to the particular stage(s) for which he is responsible.
- 3.7. A manufacturer, including a final manufacturer, may only charge fees in accordance with Article 2f concerning the particular stage(s) for which he is responsible.
- 3.8. A manufacturer, including a final manufacturer, shall not charge fees for providing information relating to the website address or contact details of any other manufacturer.

4. Customer adaptations

- 4.1. By derogation from point 2.10, if the number of systems, components or separate technical units subject to a specific customer adaptation is lower than a total of [250 units] produced worldwide, repair and maintenance information for the customer adaptation shall be provided in a readily accessible and prompt manner, and in a manner which is non-discriminatory compared to the provisions given or access granted to authorised dealers and repairers.
- 4.2. For the servicing and reprogramming of the electronic control units relating to the customer adaptation, the manufacturer shall make the respective proprietary specialist diagnostic tool or test equipment available to independent operators as provided to authorised repairers.
- 4.3. The customer adaptations shall be listed on the manufacturer's repair and maintenance information website and mentioned in the Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information at the time of type-approval.
- 4.4. Until [31 December 2020], if the number of systems, components or separate technical units subject to a specific customer adaptation is higher than [250 units] worldwide, the manufacturer may derogate from the obligation under point 2.10. to provide access to vehicle OBD and vehicle repair and maintenance information using a standardised format. Where the manufacturer makes use of such

derogation, he shall provide access to vehicle OBD and vehicle repair and maintenance information in a readily accessible and prompt manner, and in a manner which is non-discriminatory compared to the provisions given or access granted to authorised dealers and repairers.

- 4.5. Manufacturers shall make the proprietary specialist diagnostic tool or test equipment to service the customer-adapted systems, components or technical units available to independent operators via sale and rent.
- 4.6. The manufacturer shall mention in the Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information at the time of type-approval the customer adaptations for which the obligation under point 2.10 to provide access to vehicle OBD and vehicle repair and maintenance information using a standardised format is derogated from and any electronic control unit related to them.
- 4.7. Those customer adaptations and any electronic control unit related to them shall also be listed on the manufacturer's repair and maintenance information website.

5. Small volume manufacturers

- 5.1. By derogation from point 2.10, manufacturers whose world-wide annual production of a type of vehicle, system, component or separate technical unit subject to this Regulation is less than [250 units], shall provide access to repair and maintenance information in a readily accessible and prompt manner, and in a manner which is non-discriminatory compared to the provisions given or access granted to authorised dealers and repairers.
- 5.2. The vehicle, system, component and separate technical unit subject to paragraph 1 shall be listed on the manufacturer's repair and maintenance information website.
- 5.3. The approval authority shall inform the Commission of each type-approval granted to small volume manufacturers.

6. Carry-over systems

- 6.1. Until [31 December 2020], with respect to the carry-over systems listed in Annex xx the manufacturer may derogate from the obligation to reprogram the electronic control units in accordance with the standards mentioned in Annex xx.
- 6.2. Such a derogation shall be indicated on the Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information at the time of type-approval.
- 6.3. The systems for which a manufacturer derogates from the obligation to reprogram the electronic control units in accordance with the standards mentioned in Annex xx shall be listed on its repair and maintenance information website.
- 6.4. For the servicing and reprogramming of the electronic control units in the carry-over systems for which the manufacturer derogates from the obligation to reprogram the electronic control units in accordance with the standards mentioned in Annex xx, manufacturers shall ensure that the respective proprietary tool or

equipment can be purchased or rented by independent operators.

7. Compliance with the obligations regarding access to vehicle OBD and vehicle repair and maintenance information

- 7.1. An approval authority may, at any time, whether on its own initiative, on the basis of a complaint, or on the basis of an assessment by a technical service, check the compliance of the manufacturer's obligations with Regulation (EU) No 168/2013, this Regulation, and the terms of the Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information.
- 7.2. Where an approval authority finds that the manufacturer has failed to comply with his obligations regarding access to vehicle OBD and vehicle repair and maintenance information, the approval authority which granted the relevant type-approval shall take appropriate measures to remedy the situation.
- 7.3. Those measures may include withdrawal or suspension of type-approval, fines, or other measures adopted in accordance with Regulation (EU) No 168/2013.
- 7.4. The approval authority shall proceed to an audit in order to verify compliance by the manufacturer with the obligations concerning access to vehicle OBD and vehicle repair and maintenance information, if an independent operator or a trade association representing independent operators files a complaint to the approval authority.
- 7.5. When carrying out the audit, the approval authority may ask a technical service or any other independent expert to carry out an assessment to verify whether these obligations are met.
- 7.6. In order to receive an EU type-approval of a propulsion performance family as a separate technical unit, EU type approval of a vehicle with an approved engine system with regard to on-board diagnostic fault codes shall diagnostics and vehicle repair and maintenance information, or an EC type-approval of a vehicle with regard to on-board diagnostics and vehicle repair and maintenance information the manufacturer shall, in accordance with the provisions of Annex xx, demonstrate that the vehicles or engine systems are subject to the tests and comply with the requirements set out in Articles x and y and in Annexes xx to yy and xx. The manufacturer shall also ensure compliance with the specifications of reference fuels set out in Annex I of Regulation (EU) No [xxx/2013].';
- 7.7. If the vehicle OBD and vehicle repair and maintenance information is not available, or does not conform to Article x of Regulation (EU) No [xxx/2013], Article xx and, where relevant, Articles yy, zz and aa of this Regulation, and Annex XVII to this Regulation, when the application for type-approval is made, the manufacturer shall provide that information within six months of the date set out in Article 82(2) of Regulation (EU) No 168/2013 or within six months of the date of type-approval, whichever date is later.
- 7.8. The obligations to provide information within the dates referred to in paragraph xx shall apply only if, following type-approval, the vehicle is placed on the market.

- 7.9. Where the vehicle is placed on the market more than six months after type-approval, the information shall be provided on the date on which the vehicle is placed on the market.
- 7.10. The approval authority may presume that the manufacturer has put in place satisfactory arrangements and procedures with regard to access to vehicle OBD and vehicle repair and maintenance information, on the basis of a completed Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information, providing that no complaint was made, and that the manufacturer provides the certificate within the periods referred to in paragraph xx.
- 7.11. If the certificate of compliance is not provided within that period, the approval authority shall take appropriate measures to ensure compliance.

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Appendix 1

Access to vehicle OBD and vehicle repair and maintenance information

1. Introduction

- 1.1. This Appendix lays down technical requirements for the accessibility of vehicle OBD and vehicle repair and maintenance information.

2. Requirements

- 2.1. The manufacturer shall provide vehicle repair and maintenance information using only open text and graphic formats or formats which can be viewed and printed using only standard software plug-ins that are freely available, easy to install, in the same format as made available to authorised repairers and which run under computer operating systems commonly in use.
- 2.1.1. Vehicle OBD and vehicle repair and maintenance information available through websites may follow for the purpose of paragraph 1 the technical specifications of OASIS Document SC2-D5, Format of Automotive Repair Information, version 1.0, 28 May 2003²² and of Sections 3.2, 3.5, (excluding 3.5.2), 3.6, 3.7 and 3.8 of OASIS Document SC1-D2, Autorepair Requirements Specification version 6.1, dated 10-01-2003²³.
- 2.1.2. Where possible, keywords in the meta data shall conform to ISO 15031-2:2010. Such information shall be always available, except as required for web-site maintenance purposes. Those requiring the right to duplicate or re-publish the information should negotiate directly with the manufacturer concerned. Information for training material shall also be available, but may be presented through other media than web-sites.
- 2.2. Information on all parts of the vehicle, with which the vehicle, as identified by the vehicle identification number (VIN) and any additional criteria such as wheelbase, engine output, trim level or options, is equipped by the vehicle manufacturer and which can be replaced by spare parts offered by the vehicle manufacturer to its authorised repairers or dealers or third parties by means of reference to original equipment (OE) parts number, may be made available in a database easily accessible to independent operators or be provided in the same accessible format as provided to the authorised repairer network.
- 2.3. This database or alternative accessible format shall comprise the VIN, Original Equipment (OE) parts numbers, OE naming of the parts, validity attributes (valid-from and valid-to dates), fitting attributes and where applicable structuring characteristics.
- 2.3. The information on the database or available in another accessible format shall be regularly updated. The updates shall include in particular all modifications to individual vehicles after their production if this information is available to authorised dealers.
- 2.4. Access to vehicle security features used by authorised dealers and repair shops shall be made available to independent operators under protection of security

technology according to the following requirements:

- 2.4.1. data shall be exchanged ensuring confidentiality, integrity and protection against replay;
- 2.4.2. the standard https//ssl-tls (RFC4346) shall be used;
- 2.4.3. security certificates in accordance with ISO 20828:2006 shall be used for mutual authentication of independent operators and manufacturers;
- 2.4.4. the independent operator's private key shall be protected by secure hardware.
- 2.5. The Forum on Access to Vehicle Information provided for by Article 60 of Regulation (EU) No 168/2013 will specify the parameters for fulfilling these requirements according to the state-of-the-art.
- 2.6. The independent operator shall be approved and authorised for this purpose on the basis of documents demonstrating that they pursue a legitimate business activity and have not been convicted of relevant criminal activity.
- 2.8. Reprogramming of control units shall be conducted in accordance with either ISO 22900-2 or SAE J2534 or TMC RP1210B using non-proprietary hardware. Ethernet, serial cable or Local Area Network (LAN) interface and alternative media like Compact Disc (CD), Digital Versatile Disc (DVD) or solid state memory device for infotainment systems (e.g. navigation systems, telephone) may also be used, but on the condition that no proprietary communication software (e.g. drivers or plug-ins) and hardware is required. For the validation of the compatibility of the manufacturer-specific application and the vehicle communication interfaces (VCI) complying to ISO 22900-2 or SAE J2534 or TMC RP1210B, the manufacturer shall offer either a validation of independently developed VCIs or the information, and loan of any special hardware, required for a VCI manufacturer to conduct such validation himself. The conditions of Article 59 of Regulation (EU) No 168/2013 shall apply to fees for such validation or information and hardware.
- 2.9. All on-board diagnostic fault codes shall be consistent with paragraph 6.5.3.4. of appendix 1 to Annex XII.
- 2.10. For access to any vehicle OBD and vehicle repair and maintenance information other than that relating to secure areas of the vehicle, registration requirements for use of the manufacturer's web site by an independent operator shall require only such information as is necessary to confirm how payment for the information is to be made.
- 2.11. For information concerning access to secure areas of the vehicle, the independent operator shall present a certificate in accordance with ISO 20828:2006 to identify himself and the organisation to which he belongs. The manufacturer shall respond with his own certificate in accordance with ISO 20828:2006 to confirm to the independent operator that he is accessing a legitimate site of the intended manufacturer. Both parties shall keep a log of any such transactions indicating the

vehicles and changes made to them under this provision.

- 2.12. In the event that vehicle OBD and vehicle repair and maintenance information available on a manufacturer's website does not contain specific relevant information to permit the proper design and manufacture of alternative fuels retrofit systems, then any interested alternative fuels retrofit system manufacturer shall be able to access the information required in paragraphs 0, 2, and 3 of Appendix 3 to Annex 1 by contacting the manufacturer directly with such a request. Contact details for that purpose shall be clearly indicated on the manufacturer's website and the information shall be provided within 30 days. Such information need only be provided for alternative fuels retrofit systems that are subject to UNECE regulation 115 or for alternative fuels retrofit components that form part of systems subject to UNECE regulation 115, and need only be provided in response to a request that clearly specifies the exact specification of the vehicle model for which the information is required and that specifically confirms that the information is required for the development of alternative fuels retrofit systems or components subject to UNECE regulation 115.
- 2.13. Manufacturers shall indicate in their repair information websites the type-approval number by model.
- 2.14. Manufacturers shall establish fees for hourly, daily, monthly, annual and per-transaction access to their repair and maintenance information websites, which are reasonable and proportionate.

ANNEX XVI
Requirements on stands

1. General requirements
 - 1.1. Vehicles of category L1e and L3e shall be fitted with at least one stand.
 - 1.1.1. Each stand which is fitted to the vehicle shall enable the vehicle to meet the performance requirements as laid down in paragraphs 2. to 2.5.2., without being held or supported by a person or any other external means.
 - 1.1.2. Vehicles fitted with twinned wheels may not need to be fitted with a stand provided that the performance requirements as set out in paragraphs 3. to 3.2.5. and 3.4. to 3.4.2.1. are met.
 - 1.1.3. Prop stands fitted to vehicles of category L1e with a mass in running order of less than 35 kg are exempted from the requirements as laid down in paragraphs 2.3.3. to 2.3.4. and 2.5.2.
 - 1.2. Vehicles of category L4e shall be fitted with at least one stand under the following conditions:
 - 1.2.1. If the side-car can be detached from the motorcycle with the aim that the motorcycle can be used without it, the motorcycle itself shall fulfil the requirements for solo motorcycles as specified in paragraphs 1.1. to 1.1.2.
2. Specific requirements
 - 2.1. A stand shall either be a prop stand or centre stand.
 - 2.2. Where the stand swivels below or about the lower part of the vehicle, the free end of the stand shall swing to the rear of the vehicle in order to attain the not-in-use position.
 - 2.3. Specific requirements for prop stands
 - 2.3.1. A prop stand shall be able to support the vehicle in such a way as to provide its lateral stability whether the vehicle is on a horizontal supporting surface or on a slope. The prop stands shall in addition prevent deeper leaning or being moved too easily into an upright position to such extents where the stationary vehicle becomes unstable and can fall or tip over.
 - 2.3.2. A prop stand shall be able to support the vehicle in such a way as to maintain full stability when the vehicle is parked on a slope. This requirement is checked in accordance with the procedures and performance requirements as laid down in paragraphs 3. to 3.2.5. and 3.4. to 3.4.2.1.
 - 2.3.3. A prop stand shall be able to swing back automatically into the not-in-use position under the following conditions:
 - when the vehicle is returned to its normal upright driving position; or

- when the vehicle starts to move forward as a result of deliberate action by the driver, while in its normal upright driving position.
- 2.3.4. The requirements as set out in paragraph 2.3.3. are not applicable if the vehicle is designed in such a way that it cannot be propelled when the prop stand is in the in-use position.
- 2.3.5. A prop stand shall be designed and constructed in such a way that it does not swing back automatically if the vehicle is being leaned down in order to bring the free end of the prop stand into contact with the ground surface.
- 2.3.6. A prop stand shall be designed and constructed in such a way that it does not swing back automatically if the angle of lean is altered unexpectedly or unintentionally (e.g. if the vehicle is pushed lightly by a third party or if the vehicle is subjected to a gust of wind arising from the passage of a large vehicle) under the following conditions:
- when the vehicle is left unattended in its parked position; and
 - when the prop stand is in the in-use position.
- Compliance with this requirement shall be checked in accordance with the procedure as laid down in paragraphs 3.3. to 3.3.2.
- 2.4. Specific requirements for centre stands
- 2.4.1. A centre stand shall be able to support the vehicle, with either one or both wheels in contact with the ground surface or without any of the wheels being in contact with the ground surface, as to provide its lateral stability whether the vehicle is on a horizontal supporting surface or on a slope.
- 2.4.2. A centre stand shall be able to support the vehicle in such a way as to maintain full stability when the vehicle is parked on a slope. This requirement is checked in accordance with the procedures and performance requirements as laid down in paragraphs 3. to 3.2.5. and 3.4. to 3.4.2.2.
- 2.4.3. A centre stand shall be able to swing back automatically into the not-in-use position when the vehicle is moved forward purposely in such a way as to raise the centre stand from the ground surface.
- 2.4.4. The requirement as set out in paragraph 2.4.3. is not applicable if the vehicle is designed in such a way that it cannot be propelled when the centre stand is in the in-use position.
- 2.5. Stand retention systems.
- 2.5.1. Stands shall be provided with a retention system which holds them in the not-in-use position.
- 2.5.2. A retention system shall consist of either:
- Two independent devices such as two separate springs or one spring and one other retaining device; or

- A single device which shall be able to operate without failing for at least
 - 10000 normal-use cycles if the vehicle has been fitted with two stands; or
 - 15000 normal-use cycles if the vehicle is fitted with only one stand.
3. Test procedure.
- 3.1. Test surface specifications.
- 3.1.1. A testing platform shall be used for carrying out the tests and it shall be so designed that it can assume a position as to simulate the longitudinal and transverse tilts.
- 3.1.2. The testing platform shall be flat, rectangular and of sufficient dimensions to fully support the vehicle in the parked position while simulating the longitudinal tilt as well as the transverse tilt. It shall furthermore not expose any perceptible flexing or deformation during the tests.
- 3.1.3. The surface of the testing platform shall be clean and dry and shall have sufficient roughness and friction to prevent the vehicle's tyres from sliding on the surface during the tests.
- 3.2. Vehicle preparation (valid for all tests).
- 3.2.1. The vehicle's mass shall be adjusted to that of the manufacturer's declared mass in running order, without the rider, plus the mass of propulsion batteries, if any.
- 3.2.2. The vehicle's tyre pressures shall be adjusted to the manufacturer's specified values.
- 3.2.3. The vehicle's transmission shall be put in the 'park' position in case of an automatic transmission and if available, or the 'neutral' position in all other cases.
- 3.2.4. If the vehicle is fitted with a parking brake, it shall be engaged.
- 3.2.5. The vehicle's steering system shall be put in the locked position. If there is more than one position in which the steering can be locked, the vehicle shall undergo the following tests with the steering system locked in each available position.
- 3.3. Test of stability of a vehicle fitted with a prop stand on a horizontal ground surface.
- 3.3.1. The vehicle is parked on the horizontal testing platform with the prop stand in the in-use position.
- 3.3.2. The vehicle shall be manipulated in such a way as to increase by 3.0° the angle between the displaced longitudinal median plane of the vehicle (i.e. as the vehicle is parked and leaning the longitudinal median plane is displaced and thus no longer vertical) and the horizontal surface by pushing and moving the vehicle into a more vertical position.

- 3.4. Test of stability of a vehicle parked on an inclined surface.
- 3.4.1. The vehicle is parked on the horizontal testing platform.
- 3.4.1.1. The vehicle's stand shall be in the in-use position. If the vehicle is fitted with more than one stand, each stand shall be assessed separately by repeating all prescribed tests.
- 3.4.1.2. If the vehicle is fitted with twinned wheels and not fitted with a stand, compliance with paragraph 1.1.2. may be demonstrated by performing the tests without the presence of a stand in the in-use position.
- 3.4.2. The testing platform shall be shifted or rotated to achieve the minimum prescribed inclination in relation to the transverse tilt toward the left and towards the right of the vehicle, as well as the longitudinal tilt upstream and downstream of the vehicle. These four tilt orientations shall be carried out separately, always starting from a horizontal position. The vehicle shall remain stable when the testing platform is being brought into the inclined position or the vehicle may be moved into position after the testing platform has been set into the inclined position.
- 3.4.3.

Tilt	Prop stand		Centre stand	
	Moped	Motorcycle	Moped	Motorcycle
Transverse tilt (to the left)	5 %	6 %	6 %	8 %
Transverse tilt (to the right)	5 %	6 %	6 %	8 %
Lateral tilt (downstream)	5 %	6 %	6 %	8 %
Lateral tilt (upstream)	6 %	8 %	12 %	14 %

Table 14-1: tilt requirements prop and centre stands (refer also to figures 14-1 to 14-3).

3.4.3.1.

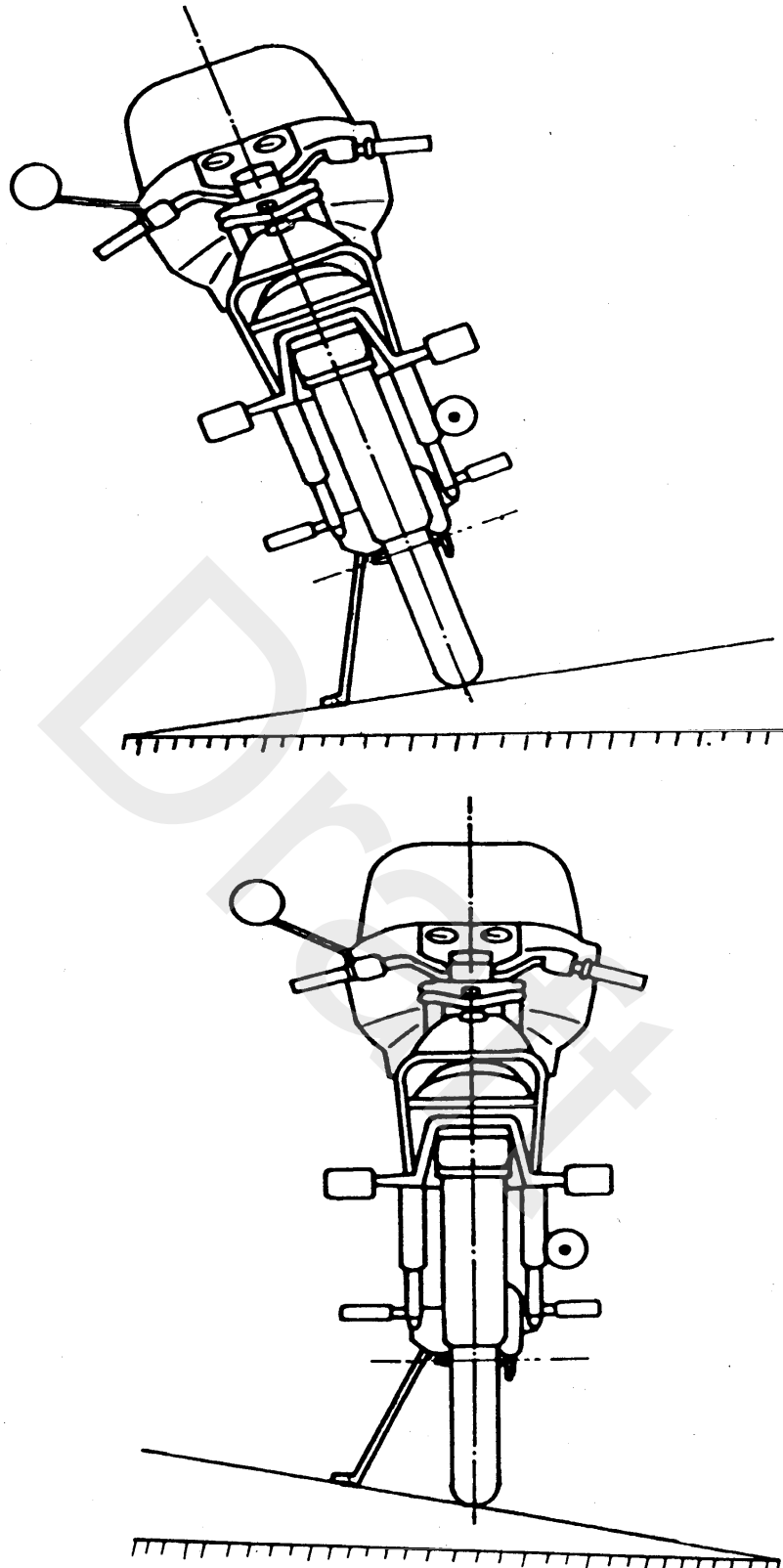


Figure 14-1: Transverse tilt to the left and right (prop stand)

3.4.3.2.

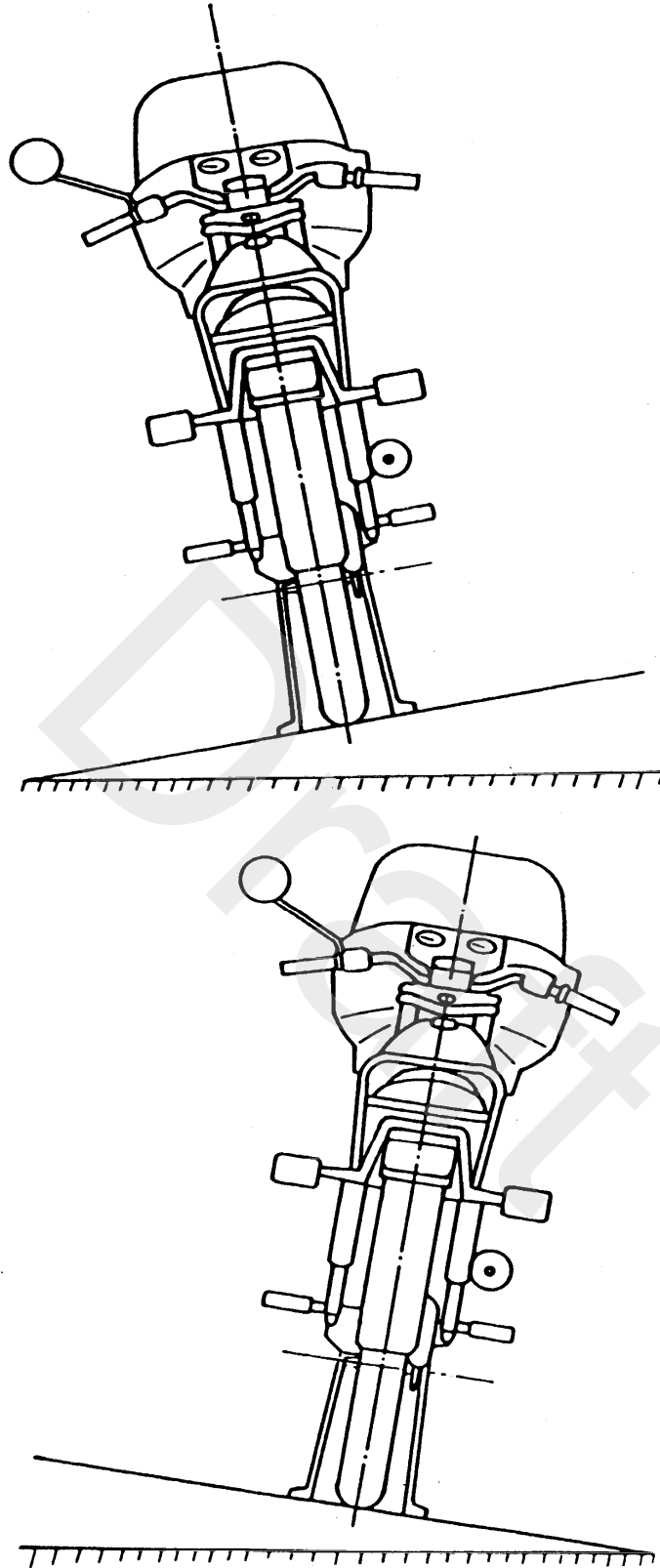


Figure 14-2: Transverse tilt to the left and right (centre stand)

3.4.3.3.

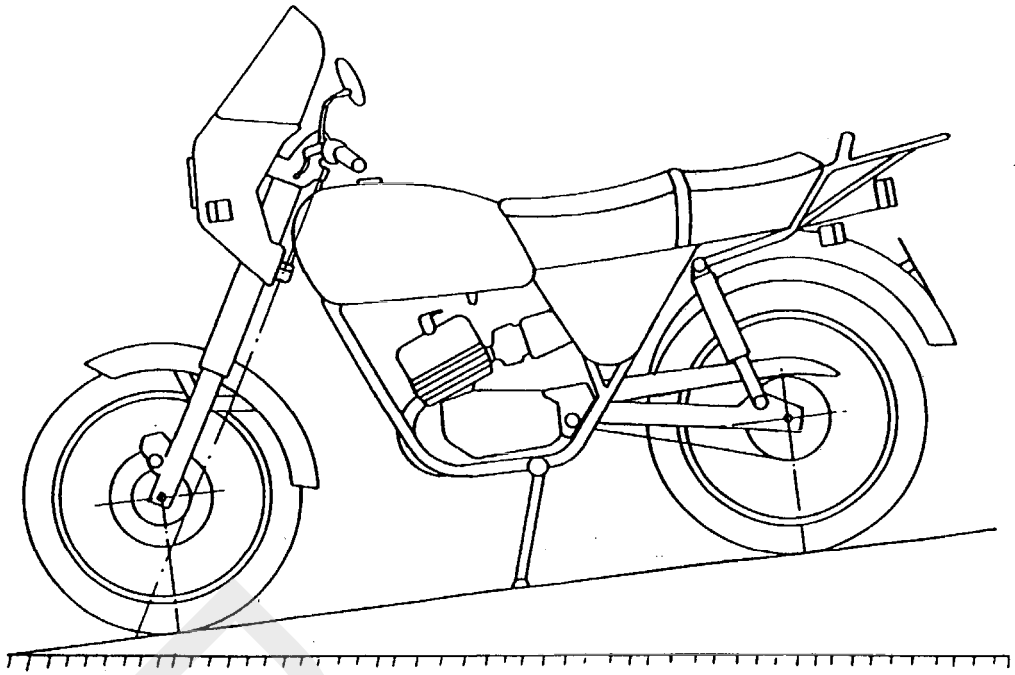


Figure 14-3: Lateral tilt downstream

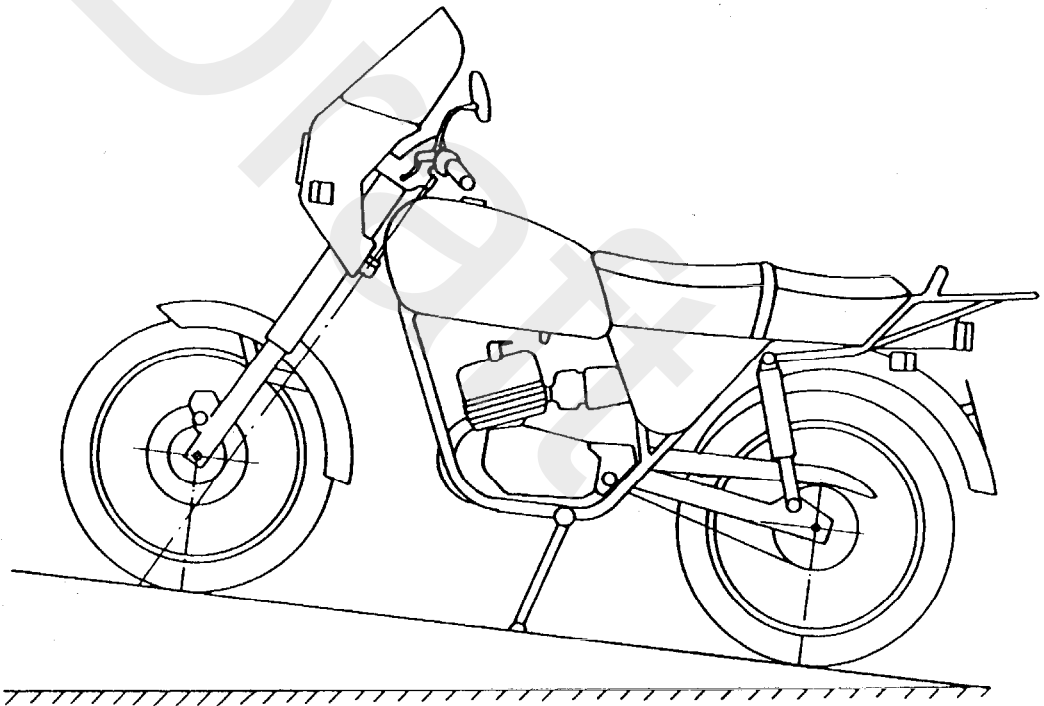


Figure 14-4: Lateral tilt upstream

- 3.4.2.2. Where a vehicle on a tilted testing platform is resting on its centre stand and just one wheel and when this vehicle may be maintained in a position with either the centre stand and the front wheel in contact with the testing platform or the centre stand and the rear wheel in contact with the testing platform, the tests as described in paragraphs 3.4.2. to 3.4.2.1. shall be conducted solely with the vehicle resting on the centre stand and its rear wheel in contact with the testing platform.

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ANNEX XVII

Performance standards and assessment of technical services

1. General requirements

- 1.1. Technical Services shall demonstrate appropriate skills, specific technical knowledge and proven experience in the specific fields of competence covered by Chapter XVI of Regulation (EU) No 168/2013 and Appendices 1 and 2 to Annex V to Directive 2007/46/EC.
- 1.2. Standards with which technical services of the different categories set out in Article 63 of Regulation (EU) No 168/2013 shall comply.
 - 1.2.1. The different categories of technical services shall comply with the standards set-out in Appendix 1 to Annex V to Directive 2007/46/EC
 - 1.2.2. The reference to Article 41 of Directive 2007/46/EC in that Appendix shall be construed as reference to Article 63 of Regulation (EU) No 168/2013.
 - 1.2.2. The reference to Annex IV of Directive 2007/46/EC in that Appendix shall be construed as reference to Annex II to Regulation (EU) No 168/2013.
- 1.3. Procedure for the assessment of the technical services
 - 1.3.1. The technical services are assessed in accordance with the procedure set-out in Appendix 2 to Annex V to Directive 2007/46/EC
 - 1.3.2. References to Article 42 of Directive 2007/46/EC in Appendix 1 to Annex V to Directive 2007/46/EC shall be construed as references to Article 66 of Regulation (EU) No 168/2013.