

## RISK MITIGATION MEASURES FOR ANTICOAGULANT RODENTICIDES

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INITIAL REPORT: STATE-OF-THE-ART REPORT ON THE USE OF  
ANTICOAGULANT RODENTICIDES AS BIOCIDAL PRODUCTS IN THE EU AND  
BEYOND

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## 1. Executive summary

Rodent pest control worldwide relies largely on the use of anti-vitamin K (AVK) anticoagulants. AVKs have considerably changed our practice and perspectives for rodent control. The delayed action of these compounds, with mortality occurring several days after bait consumption, makes them particularly effective against neophobic species such as the Norway rat (*Rattus norvegicus*). The intensive use of these compounds has been rapidly followed by the selection of resistant strains in Norway rats, roof rats (*Rattus rattus*) and house mice (*Mus musculus* and *M. domesticus*).

Alternatives to AVK are limited today. Alpha-chloralose has been registered as a biocidal product against mice only. Cholecalciferol has been recently submitted as an active substance to the EU. Because of its delayed action, it can overcome neophobia and bait aversion. Old compounds (zinc phosphide, sodium selenite, bromethalin) all may have some interest but also have major drawbacks (either in terms of efficacy or in terms of toxicity to non-target species). Methemoglobin-forming compounds are currently being investigated as rodenticides but usually act too fast to be good rodenticides. AVKs are also being reconsidered with modern tools in order to separate their activity and their persistence. As of today, there is no evidence that chemical alternatives to AVK will be available in the next 5 years, (no results anticipated before 2020).

Because chemical control of rodents relies almost exclusively on AVK, many resistant strains have been selected. These resistant strains have developed specific genetic traits either via a modification of the VKOR enzyme involved in the catalytic cycle of vitamin K or via metabolic profile modification via induction and over expression of selected CYP450 isoforms. The most widely spread resistance mechanism appears to be related to VKOR alteration and specifically Single Nucleotide Polymorphism (i.e. a single mutation in the DNA sequence) in the *vkorc1* gene, at least in rats and mice. A lot of work still needs to be conducted on these mutations to determine precisely the level of resistance conferred by each SNP. Resistant strains are present in most western European countries and information is lacking about central, eastern and southern parts of Europe. Other countries in the world also have detected mutated strains. Resistance testing can be done either via *in vivo* tests (BCR for instance) or by *in vitro* identification of the mutations. Because of its simplicity and lower cost, the latter appears to be the most promising tool, provided information is obtained on the level of resistance associated with each mutation. This technique could be used to monitor AVK resistance in all EU countries, with GIS mapping and dedicated institutions to collect this information.

Alternatives to chemical rodenticides are limited so far. Trapping can be effective but is time-consuming. Ultrasounds, repellents or attractants are of limited interest, because rodents may become habituated. Some interesting areas of development include pheromones or fertility control programs for instance. Such alternatives, however, are still being investigated and should not be commercially available in the next few years. Integrated rodent management and resistance management are important issues and should be considered in all circumstances. Several guidelines (from RRAG, RRAC, ECPR-R) are available which set out resistance management strategies, aimed both at preventing the selection of resistance and the removal of resistant infestations once they are established. Two guiding principles emerge. The first is the requirement to monitor rodent infestations for resistance. The second is that use of anticoagulant active substances that are resisted by rodent infestations should cease at resistance foci and effective alternatives should be used.

Non-target poisoning is commonly described in many species. Human accidental poisoning is benign in most instances and requires no further investigation from poison control centers. Medical advice and long-term data can be obtained from human poison control center databases. Domestic animal poisoning is commonly described and may be severe in many pets. Some countries have public/private reporting of poisoning, but information is poorly accessible. AVK exposure in wildlife has been recognised worldwide and in Europe especially. Monitoring schemes and reporting systems exist for several countries and long-term monitoring data can be obtained in some countries. The actual impact of biocidal products versus agricultural ones is difficult to determine, since this information is usually lacking in the databases. Available data suggest that accidental poisoning rarely occurs when products are used correctly. This is an area of further investigation.

In Europe, today, there is no common standard to define a trained Pest Control Operator (PCO) for the application of rodenticides. Pest Control Operators (PCOs) have been working for several years on the definition of a professional standard for their group (guidelines for training, certification and control), which should be made available across Europe in 2014. This is an important step in the process of defining categories of users and adapted risk mitigation measures.

## 2. Introduction

Control of rodent pests worldwide relies heavily on the use of rodenticides. In the 40s, O'Connor, J. (1948) isolated and first suggested the use of dicoumarol (a naturally occurring substance responsible for the "sweet clover disease" in cattle) as a rodenticide. In the early 50s, anticoagulant rodenticides (warfarin and later indanedione derivatives) replaced the acute poisons with great success. The delayed mode of action is the key for anticoagulant rodenticides' success.

It is well known that Norway rats (*Rattus norvegicus*) are very suspicious to new, unfamiliar items in their environment (neophobia). Neophobia may extend to baits, bait boxes and traps when first introduced in the rat environment and may eat only a small, non-lethal dose of a new bait. If they survive, they learn to avoid the bait. This phenomenon is also known as food aversion (Lund, M. 1972). Some studies noted also food neophobia and food aversion in house mice populations (Humphries *et al.*, 2000), in contrast to other house mouse studies (Bonney, 2008).

The delayed effect of anticoagulant rodenticides prevents rodents from associating the symptoms of toxicosis with the anticoagulant, which has caused it and therefore, bait shyness is unknown. Anticoagulants act by interrupting the vitamin K cycle in the liver microsomes (MacNicoll, 1986), preventing the reduction of vitamin K epoxide to vitamin K by vitamin K epoxide reductase (VKOR). Vitamin K is an essential co-factor in the activation of several vitamin K-dependent coagulation factors through which it plays an important role in blood coagulation (Oldenburg, 2008). When anticoagulants bind with VKOR, intoxication with anticoagulants will lead to deficiency of vitamin K and coagulation factors, causing coagulation disorders such as spontaneous bleeding and eventually death (Thijssen, 1995). The action of the first generation anticoagulants is said to be cumulative, they must be taken up repeatedly to have sufficiently prolonged effect cause death (Buckle, 1994). In the early 60s, massive use of these first generation Anti-Vitamine K (AVKs) was considered a great opportunity to reduce or even eradicate rat populations from many areas at that time, despite their behavioral traits. First-generation AVKs include warfarin, coumafuryl, coumachlor, coumatetralyl, diphacinone, pindone and chlorophacinone (Bentley, 1972).

Unfortunately, a first detection of a resistant strain of rats was reported in Scotland in 1958, followed by similar reports in other areas in Europe: Wales, Denmark, the Netherlands, and Germany (Lund, M. 1972). At the same time, (Brooks, J. & B. A. 1973) tested several strains of Norway rats from New York City and confirmed that warfarin resistance was also common among rat populations heavily treated with warfarin in the US. The World Health Organization (WHO) rapidly recognized this event and suggested guidelines for the rapid detection of resistant rodents based on feeding tests (Drummond, D. C. & Rennison, B. D. 1973). As a consequence, and in order to overcome the resistance phenomenon, newer AVKs were developed. These compounds are sufficiently active to permit the consumption of a lethal dose during a single feeding, which makes them even more effective against neophobic rodents. They are usually more toxic and more persistent in animal tissues. These second-generation AVKs include bromadiolone, difenacoum, brodifacoum, flocoumafen and difethialone (Redfern, R. & Gill, J. E. 1980; Petterino, C. & P. B. 2001). The discovery of the second generation compounds (Hadler, M. R. & Shadbolt, R. S. 1975) redressed the balance for several years but, resistance to the first generation anticoagulants brought with it a measure of cross-resistance to the second generation compounds and soon populations began to appear with reduced susceptibility to these more potent compounds (Greaves *et al.* 1982). Presently, anticoagulant-resistant commensal rodents, such as Norway rats (*Rattus norvegicus*), roof rats (*Rattus rattus*) and house mice (*Mus musculus* and *Mus domesticus*) occur in many countries throughout the world. A hierarchical resistance system was found with warfarin resistance at the base followed by coumatetralyl, cross-resistance to bromadiolone over difenacoum (Pelz *et al.*, 1995) and up to brodifacoum at the top. However resistance to the modern anticoagulants like difenacoum or brodifacoum has never become as widespread as that to the first generation compounds (Buckle *et al.* 1994) up to now. The number of fitness trade-offs associated with rodenticide resistance in commensal rodents, such as an enhanced vitamin K requirement in some resistant strains (Jacob *et al.* 2011), might play a role.

### 3. Objective 1-1-a: Research developments

#### 3.1. Summary

As of today, the chemical alternatives to anticoagulant rodenticides appear limited. Many older compounds (Zinc phosphide, bromethalin) will cause food-aversion because of their rapid action. Cholecalciferol appears as a potential candidate, provided new developments can overcome the stop-feed effect observed in rats (for instance micro-encapsulation ?). Furthermore, all these older compounds lack an effective antidote to control non-target species poisoning.

Among the newer classes of compounds, methaemoglobin forming compounds could provide a valuable alternative, being both active in rodents and quite humane. The only limitation so far appears to be the rapid action of most compounds available. Also, revisiting anticoagulants and working on the development of new compounds with both activity and poor persistence could also be an interesting alternative to existing compounds.

#### 3.2. Introduction

Rodenticides are presently the mainstay of all practical rodent control programs in both urban and agricultural environments, and this situation will remain for the foreseeable future (Buckle and Smith, 1994). These lethal chemical agents are considered to fall into two categories: the acute, or fast-acting compounds, and the chronic rodenticides, exclusively anticoagulants, with a relatively slow mode of action. Reference has also been made to a third group of compounds, the subacute rodenticides, which falls between these two in terms of effect (Buckle, 1985).

**Table 1:** Toxicity (acute oral LD50 in mg/kg<sup>-1</sup>) of some acute /subacute rodenticides and of some of the second-generation anticoagulants to warfarin-susceptible commensal rodents, from (Buckle, 1994).

Compound	<i>Mus musculus</i>	<i>Rattus norvegicus</i>	<i>Rattus rattus</i>
Acute/Subacute compound			
Alphachloralose	190-300	200-400	-
Bromethalin	5.3-8.1	2.0-2.5	6.6
Calciferol	23.7-42.5	43.6-56.0	-
Zinc phosphide	32.3-53.3	27.0-40.5	21.0
Anticoagulant compound			
Brodifacoum	0.4	0.22-0.27	0.65-0.73
Bromadiolone	1.75	1.1-1.8	-
Difenacoum	0.8	1.8	-
Difethialone	1.29	0.56	-
Flocoumafen	0.79-2.4	0.25-0.56	1.0-1.8

Rodent pest control worldwide relies largely on the use of anti-vitamin K (AVK) anticoagulants. AVKs have considerably changed the practice and perspectives for rodent control. The delayed action of these compounds, with mortality occurring several days after bait consumption, makes them particularly effective against neophobic species such as the Norway rat (*Rattus norvegicus*).

The intensive use of these compounds has been rapidly followed by the selection of resistant strains in Norway rats, roof rats (*Rattus rattus*) and house mice (*Mus musculus* and *Mus domesticus*). These resistant strains have developed specific genetic traits either via a modification of the VKOR enzyme involved in the catalytic cycle of vitamin K or via metabolic profile modification via induction and over expression of selected CYP450 isoforms (Berny, 2011). The most widely spread resistance mechanism appears to be related to VKOR alteration and specifically SNPs in *vkorc1* gene (Pelz *et al.*, 2005; Rost, 2009), see chapter 1.1.b.

In order to overcome resistance to first generation anticoagulants, second generation compounds can be successfully used. These compounds are more toxic and more persistent and, as a consequence, non-target poisoning is more common, especially secondary poisoning of predators and scavengers. This secondary poisoning issue has been raised to a new level by recent surveys showing that many different species (mammals and birds) contain detectable residues of AVKs in the liver, at low levels but still of concern (Albert *et al.* 2010, Christensen *et al.* 2012, Walker *et al.* , 2013). There are also high levels in the pancreas, and lower levels in other tissues, but this is usually not monitored. There is a growing need for rodenticides that are effective in use but less persistent than the second-generation anticoagulants, and less likely to be hazardous to non-target species (Eason, 2011).

The most prolific period of rodenticide development occurred between the 1940s and the 1980s. First generation anticoagulant rodenticides were developed in the 1940s, 50s and 60s, followed by calciferol, bromethalin and second generation anticoagulant rodenticides developed in the 1970's and 80's, partly to overcome resistance to the less potent anticoagulants (Buckle, 1994). During this period it was recognised that it was important to have more than one class of rodenticides, and this required alternatives to anticoagulants. The sustainable use of rodenticides is facilitated by the availability of active substances with different modes of action.

Rodenticide active substances being reviewed under the Biocidal Product Directive (BPD) are of two types, those used in baits and those applied as fumigants. The specialised fumigant active substances are carbon dioxide, hydrogen cyanide and aluminium phosphide. Carbon dioxide is a low toxicity fumigant that is primarily used to terminate animals in specialised live capture traps. Aluminium phosphide and hydrogen cyanide are high toxicity fumigants which cannot be used near buildings and housing. Gas-generating compounds require specific safety measures and/or apparatus for effective and safe use as biocides or in plant protection. These safety measures are different in many respects to those applied to rodenticidal bait and are not further discussed here. Among the compounds used in baits, the majority are anticoagulant rodenticides. The review of the Product Type (PT) 14 active substances has resulted in the removal from the biocide market of three non-anticoagulant rodenticides that were previously used, zinc phosphide, calciferol and bromethalin. This has led to a significant increase in reliance upon the anticoagulant rodenticides. Four non-anticoagulant PT 14 active substances have either completed BPD active substance review or remain in review: The above mentioned two fumigants and two substances used in baits, alphachloralose and powdered corn cob (European Chemical Industry Council; <http://www.cefic.org>). Zinc phosphide has been used as a rodenticide since the early 20<sup>th</sup> century and is currently registered in the EU for field use in plant protection but is not being supported for biocidal use.

### 3.3. Alternative baits to anticoagulants

The use of non-anticoagulant PT14 active substances is restricted to alphachloralose and powdered corn cob.

#### Alphachloralose

Alphachloralose is a narcotic with a rapid effect that is widely used against house mice, but not against Norway rats. It slows a number of essential metabolic processes, including brain activity, heart rate and respiration, inducing hypothermia and eventual death (Buckle, 1994). Symptoms of poisoning normally begin 5-20 minutes after consumption of bait, and may include loss of motor coordination and agitated wild or convulsive behaviour before prostration and torpor set in Prescott and Johnson (forthcoming). Despite the alarming appearance of the symptoms, alphachloralose is considered humane in view of its record as a human anaesthetic. The lethal effect is temperature dependent, and animals are likely to make a full recovery if they are kept warm. Alphachloralose is therefore more effective against small rodents (with a high surface area to volume ratio) that are housed in a cool environment (Buckle, 1994); so the warmth of a typical animal testing room may not be the best environment to assess efficacy of alphachloralose baits. Greaves (1968) reported laboratory tests showing apparently improved kill from bait feeding tests with encapsulated alphachloralose.

#### Powdered corn cob

In comparison with other PT 14 active substances, powdered corn is relatively new to the market. The active substance is a natural product, formulated from comminuted plant material and sweet molasses, containing high fractions of  $\alpha$ -cellulose. Powdered corn cob baits cause death to the rodent after 5-10 days by disrupting the digestive system, causing dehydration leading to reduced blood volume and blood pressure, tissue ischemia and circulatory shock. Efficacy data concerning powdered corn cob are rare. A study by Schmolz (2010) described the efficacy of baits containing cellulose or plaster. No-choice laboratory pen tests with house mice over a long period (14-21 days) with products containing cellulose resulted in high mortality, but numerous incidents of cannibalism

were observed and many deaths were attributed to starvation. In choice-tests conducted in pens bait consumption was low and all mice survived. No efficacy was found in any Norway rat trials.

### 3.4. Current and future developments of rodenticide active ingredients

It is important to retain and refine the use of rodent control tools for conservation, disease control and to develop new alternatives to anticoagulants (Eason, 2011). Product innovation needs to be stimulated to encourage alternatives to the current suite of rodenticides, as a number of these are associated with secondary poisoning or bioaccumulation or they are viewed as inhumane (Mason *et al.* 2003). Ideally alternatives to existing anticoagulants would combine limited persistence, availability of an antidote, humaneness and high efficacy, however this is a significant challenge. Companies and research institutes are seeking to retrieve and retain older alternatives and develop novel rodenticides. Their three pronged approach is firstly to improve the performance of older non-anticoagulant rodenticides, secondly to optimize the performance of 1st generation anticoagulants and to develop anticoagulants which are both active and not persistent and thirdly to identify new alternatives to anticoagulant (Eason, 2011).

The first approach might be to revive some of the better known non-anticoagulant compounds; such as the compounds listed below, which have been considered efficacious in a number of publications:

#### Zinc phosphide

Zinc phosphide was the most commonly used of the acute rodenticides. The mode of action is by the evolution of phosphine gas in the acid environment of the stomach, the gas entering the bloodstream and causing heart failure and damage to internal organs (Buckle, 1994). There is no specific antidote and the compound is toxic to other vertebrates. Little information is available on zinc phosphide from well-conducted trials, in either laboratory or the field. In the 1970s a few studies showed limited control levels (84%), even in Norway rats when pre-baiting was conducted (Hood, 1972; Rennison, 1976). A recently conducted study in New Zealand with an advanced formulation of microencapsulated zinc phosphide was 100% effective against caged rats (Eason, 2011). Zinc phosphide is the only remaining plant protection rodenticide available for field use in the EU. It is generally regarded as effective for controlling small field rodents such as common voles (*Microtus arvalis*) but palatability is low and conditioned aversion occurs (Jacob *et al.* , 2009).

#### Bromethalin

Bromethalin is formulated to serve as single-dose rodenticide that causes central nervous system depression and paralysis, leading to death in 2 to 4 days. Because it is slow-acting in comparison to zinc phosphide, bait shyness might not be as important for management success as in zinc phosphide and other compounds where symptoms of poisoning set in rapidly. Anorexia occurs after an effective dose has been consumed (Spaulding *et al.* 1985). No specific antidote is available but a symptomatic treatment has been described (Spaulding 1987). Bromethalin was developed for use against warfarin resistant rodents and is confirmed to be effective against many rodent species, including those strains resistant to anticoagulants (Jackson *et al.* 1986). However, no peer-reviewed independent assessments of the efficacy of bromethalin against commensal rodents have been published. In plant protection efficacy trials in alfalfa fields bromethalin baits were found to be more effective against common voles (*Microtus arvalis*) than zinc phosphide bait (Khalil *et al.*, 2007). Bromethalin is not registered as rodenticide for plant protection or biocidal use in the EU.

#### Calciferol

There are two forms of calciferol that are used as rodenticides: ergocalciferol (Vitamin D2) and cholecalciferol (Vitamin D3). The calciferols are single-dose or multiple-dose rodenticides that promote intestinal absorption of calcium and reabsorption of bone minerals, leading to a hypercalcaemia, osteomalacia and metastatic calcification of the blood vessels (Meehan, 1984). These symptoms are the basis of the rodenticidal properties of calciferols, and the immediate cause of death in small animals is the calcification of the blood vessels around the heart. Time to death is 3 to 4 days after ingestion of a lethal dose. No specific antidote is available. Similar to bromethalin, a symptomatic treatment has been described (Morrow, 2001).

In laboratory studies calciferol was found to cause a stop-feed effect in Norway rats, with female animals reducing food consumption by 80% and male animals halting food consumption completely within 24 hours; the calciferol also cause conditioned bait aversion or bait shyness in the rats (Prescott, 1992, Quy *et al.* 1995). Thus pre-baiting is important to obtain reasonable control in a field treatment (Quy *et al.* 1995). Calciferol baits do not



pose a major risk of secondary poisoning to non-target species (Eason *et al.* 2000), but caused a major problem with primary poisoning of small passerines that were found dead or dying on the first day of treatment during a fully monitored field trial in the UK (Quy *et al.* , 1995). It is believed that the birds had become accustomed to feeding inside the covered bait boxes during the 21-day pre-baiting phase of the treatment.

On a UK farm, a fully monitored field trial using ergocalciferol as the single active ingredient, treatment against an extensive Norway rat infestation achieved 69% control, after three weeks of pre-baiting (Quy *et al.* , 1995). A number of studies with cholecalciferol as the single active ingredient have also achieved good control of rodents (Eason, 2010).

A number of calciferol formulations also contain an anticoagulant active ingredient (typically warfarin or difenacoum). It is difficult to justify combining anticoagulant with calciferol, as the stop-feed effect of calciferol would be expected to reduce the effectiveness of the anticoagulant, particularly for the first generation anticoagulants that require repeat consumption of active ingredient over a number of days.

Cholecalciferol has been recently (2013) submitted for evaluation as a biocidal rodenticide in the EU.

#### Norbormide and alpha-chlorohydrin

In the past two chemicals showed selective toxicity to rats, norbormide and alpha-chlorohydrin. Both chemicals affect the blood circulatory system. Further research into the reasons for their selectivity to *Rattus* spp., particularly Norway rats, could result in the eventual production of more selective rodenticides that are less harmful for non-target species. The low palatability of norbormide and other selective chemicals, and the bait shyness caused, may be overcome by improved bait micro-encapsulation techniques (Lazarus, A. B. 1989). The use of norbormide in rodent control has virtually ceased (Buckle and Müller, 2003).

#### Flupropadine

Flupropadine was a promising subacute rodenticide extensively tested on UK farms for the control of house mice (Rowe *et al.*, 1985) and warfarin-resistant Norway rats (Buckle, 1985). Difficulties were encountered in elucidating the mode of action and its development was halted (Buckle, 1994).

#### Sodium selenite-based products

According to the chemical classification, sodium selenite, as a sodium salt and as selenious acid, is one of the most common forms of free selenium in nature. Sodium selenite ( $\text{Na}_2\text{SeO}_3$ ) was soon discovered to possess rodenticidal properties. Their use in Serbia is restricted to biocidal applications in buildings according to (Vuksa *et al.* , 2012). Selenium is claimed to be environmentally safe, posing no threat of secondary poisoning. The rodenticide is based on selenium (0.1 % sodium selenite). As mode of action the interaction of inorganic selenium with endogenous –SH groups is proposed (Vukša, 2007). In tests conducted in Serbia the new Se-based products achieved good efficacy against black rats and house mice in agricultural storage facilities; as well as cellulose products and cholecalciferol baits (Vukša, 2006). However, other studies demonstrated low palatability and low efficacy or efficacy probably related to starvation of test animals in non-choice food trials with this type of alternative products, as sodium selenite, cellulose or plaster baits (Schmolz, 2010). Hence efficacy is questionable. Sodium selenite is not registered as a rodenticide in the EU.

#### Anticoagulant compound plus synergist

The second approach of companies and research institutes is to optimize the performance of 1st generation anticoagulants. One option is the combination of an anticoagulant compound and an enhancer, like calciferols (ergocalciferol, vitamin D2 or cholecalciferol, vitamin D3). In the past ergocalciferol had been successfully combined with warfarin or difenacoum. Baits with warfarin plus ergocalciferol had been extensively tested for Norway rat and house mouse control and confirmed high efficacy (Rennison, 1974; Rowe *et al.* 1974). Cholecalciferol was added to first generation anticoagulants to overcome resistance in Norway rats and house mice (Greaves *et al.* 1974; Pospischil & Schnorbach, 1994). Greaves *et al.* (1974) found no synergistic effects of calciferol and warfarin, but elsewhere synergistic effects are evident and such combination baits have been confirmed as having high potency in resistant rats (Eason, 2010).

Anticoagulant rodenticides have also been potentiated by an antibiotic, most commonly by sulfaquinoxaline. The main assumption of this association is that the antibiotic/bacteriostatic agent suppresses intestinal/gut symbiotic microflora that represents a source of vitamin K. Thus the symbiotic bacteria are killed or their metabolism is

impaired and the production of vitamin K is reduced, an effect which logically contributes to the action of anticoagulants (Preusch, 1989). However, published evidence for a consistent synergistic effect of the addition of an antibiotic to anticoagulants baits is scarce. Antibiotic agents other than sulfaquinoxaline may also be used, for example co-trimoxazole, tetracycline, neomycin or metronidazole (Poche, 1999). Even three-component rodenticides, i.e. anticoagulant + antibiotic + vitamin D have been developed. Associations of a second-generation anticoagulant with an antibiotic and/or vitamin D are considered to be effective even against the most resistant strains of rodents (Merlet & Aribat, 2010), though some second generation anticoagulants are so toxic that no known resistant strain of rodents exists and even rodents resistant against any other derivatives are reliably exterminated by application of these most toxic anticoagulants. Environmental use of antibiotics remains questionable, however and is unlikely to be considered.

These conceivable revivals and developments may provide partial solutions and help provide products that break the cycle of rodenticide resistance. However to produce completely new rodenticides a new level of innovation is needed.

### Methaemoglobin-inducing compounds

A new class of active ingredients is represented by Methaemoglobin-inducing compounds that are being evaluated for use as vertebrate pesticides for the control of feral pigs, stoats, ferrets, brushtail possums and feral cats (Eason, 2011) with the aim of improving the humaneness of pest control. They target red blood cells and induce the formation of MetHb, which reduces the capacity of blood to carry oxygen to tissues and causes depressed consciousness, respiratory depression and leads to death. Rodents have previously been demonstrated to have a high MetHb reductase activity after treatment with sodium nitrite (Stolk and Smith, 1966) or *p*-aminopropiophenone (PAPP) (Scawin, 1984). PAPP, a methaemoglobinaemia inducer, shows humaneness and low risk of bioaccumulation. It has an antidote and is partially selective for species like cats and stoats, but is not toxic to rodents like the Norway rat.

A research project has recently been started by researchers in New-Zealand. Using PAPP as a lead compound, they have systematically designed novel derivatives, completed synthesis of >50 different compounds and tested their potential to be a PAPP-like rodenticide. Newly synthesized analogues of PAPP have shown promise during *in-vitro* and *in-vivo* testing as being more toxic in rodents. To date they have identified one compound that comes close to meeting the performance criteria for a candidate rodenticide and further designs will be synthesized and tested to see if a more potent analogue can be developed for rodent control. They are part way through a program of research, development and registration activity and further *in vitro* and *in-vivo* testing is scheduled over a period of 3 years on novel candidates as well as field trials (Eason, 2010). In a recent study, the animal welfare of rats poisoned with a lethal dose of a methaemoglobin-inducing compound (MetHb) has been assessed by Gibson (2011). The time to death from methaemoglobinaemia in rats is significantly shorter than that previously reported for anticoagulants (Littin, 2000), with no obvious signs of distress or pain. The events leading to death from methaemoglobinaemia are relatively more humane than those from anticoagulant intoxication, based on a reduced time to death, hypoxia-induced cerebral depression and absence of obvious signs of distress or pain. However, much remains to be done before a PAPP-like active substance may be available as a rodenticide

### Various chemicals

A Chinese patent suggests the use of a multiglycoside, extracted from *Tripterygium wilfordii*, may be known as a male fertility reducer and immune suppressor (patent WO 20070913121). Compounds from this plant have been suggested also as potential drugs for rheumatoid arthritis and as male contraceptives. Recent papers indicate that it has an effect on male rats and mice (Xiong *et al.* 2011). Questions remain with respect to potential endocrine effects in humans.

A patent suggests the use of *Yersinia* murine toxin polypeptide from *Yersinia pestis* as a rodenticide. No other publication could be found to support the safe use of this toxin as a potent rodenticide. There is ample evidence that *Yersinia pestis* and this toxin especially can kill rodents, the safe use of a purified (or genetically engineered) toxin has not been published yet. Some key questions would need to be addressed in order to use such a protein as a rodenticide: what is the level of safety for human professionally or accidentally exposed to such a compound? What are the environmental consequences of this compound? Is there a probability of rodents developing immune responses and tolerance?

### New AVKs

Another valid approach for developing new rodenticides would be, based on enzymatic and ecotoxicology evaluation, to develop AVK compounds with a strong affinity for the enzyme and a short residence time, in order to avoid secondary poisoning, but no recent advances have been published, although a patent for new AVK has been issued in 2011 (Berny, 2011). A better knowledge of the structure of the mammalian vkorc1 enzyme could help to design new AVKs. Recent determination by Li *et al.* (2004) of a three-dimensional structure of a bacterial homolog of vkorc1 was an important step in this regard (Hodroge *et al.*, 2011). In January 2013, the Noeramus® project has been granted a 4.6 million € financial support (France) to develop and promote new strategies for integrated rodent population management (Souloy, 2013).

## 4. Objective 1-1-b Resistance selection and monitoring

### 4.1. Summary

AVK resistance has been identified in all three commensal rodent species in Europe and appears to be widespread. Resistance as a major lack of efficacy of an AVK used correctly and under normal circumstances. Resistance has been described in all three commensal rodent species against 1<sup>st</sup> generation AVK and low-potency second generation products such as bromadiolone and difenacoum. There is no evidence of resistance to brodifacoum, difethialone or flocoumafen. The most common biological basis for resistance is related to Single Nucleotide Polymorphisms (mutations) of the VKORC1 gene involved in the vitamin K cycle. Each mutation needs first to be characterized in the given species to be considered as responsible for resistance or not. For this, other data from laboratory and field experiments are essential

Our virtual complete reliance on the use of anticoagulants for the chemical control of rodents in the EU, calls for improved schemes for resistance management. Although this may well involve the use of alternatives to anticoagulant rodenticides, it is extremely important to obtain a clear understanding of the geographical distribution of all the resistance mutations in rats and mice identified to date, and to ensure that only fully effective anticoagulants are used against them.

Identifying Vkorc1 mutations by genotyping and relating these mutations to phenotypic effects (either via *in vitro* or *in vivo* evaluation) appears as the most cost-effective and promising approach for general resistance monitoring strategies.

Based on the available information, the following measures could contribute to the control of AVK resistance in rodents

- conduct surveys for AVK resistance in MSs
- identify the link between mutations and practical resistance
- monitor and identify foci of resistance
- consider alternative strategies in places where AVK resistance has been identified (including change of active substance).

Evidence of resistance to AVK was clearly reported at the end of the 1950s (Boyle, C. M. 1960) and observed in several European countries shortly thereafter as reported by Lund, M. (1972). For years, the only evidence of resistance was based on feeding tests, as recommended by the World Health Organization (WHO) (Drummond, and Rennison, 1973). Feeding tests are still considered a good indicator of phenotypic resistance and recommended by the WHO in order to evaluate the resistance of a given population in live-trapped animals (WHO 1982). Basically, rodents are fed a diet made of wheat containing 250 mg/kg warfarin (6 days for Norway rat and 21 days for house mouse) and mortality is evaluated during a 28-day observation period. Phenotypic evaluation of resistance to AVK also relied on evaluation of coagulation (WHO 1982). The biochemical basis of resistance was eventually established in the 1990s when VKOR enzymatic activity could be assessed in liver microsomes. Misenheimer and Suttie (1990) established the enzymatic characteristics of a Chicago-resistant strain of rats, and showed that VKOR was inhibited by warfarin, but that this inhibition was partially reversible. Later, Misenheimer *et al.*, (1994) showed that, in a Danish resistant strain of mice, VKOR enzymatic constants were altered, and also this enzyme was highly insensitive to *in vitro* inhibition by bromadiolone and warfarin.

It was rapidly established that resistance was an inheritable trait associated to a single autosomal gene *Rw* (Resistance to warfarin) located on chromosome 1 (Greaves and Ayres, 1967). This gene was later found to be linked with the microsatellite D1Rat219 Lasseur *et al.*, 2005). The first reports of resistance were mostly concerned with first generation AVKs. It soon became obvious, however, that some strains of rats in UK also developed resistance to the newer second generation AVKs, such as difenacoum, bromadiolone and even to low-strength (5 ppm) brodifacoum baits (Buckle, 2013). In the late 1980s Greaves and Cullen-Ayres (1988) suggested that a second recessive gene was modifying the *Rw* gene to confer difenacoum resistance in some individuals of the Hampshire warfarin-resistant strain. Greaves *et al.* (1982) reported a field trial in a wild population showing evidence of difenacoum resistance in southern UK. Although bromadiolone and brodifacoum had proven effective in laboratory tests, field trials were surprisingly disappointing with 51% lethality over 14 days and 83% lethality after 35 days. As a consequence, in order to overcome this resistance, the authors suggested at that time to increase the rodenticide concentration in baits (up to 500 mg/kg bromadiolone), since they did not experience any loss of palatability in laboratory tests. Eventually, Greaves (1994) defined resistance as a major lack of efficacy of an AVK used correctly and under normal circumstances. This lack of efficacy is due to the presence of a strain of rodents less susceptible to AVK, and this reduced susceptibility is genetically transmitted.

Resistance was also described in the house mouse (Wallace and MacSwiney, 1976) on chromosome 7, in a linkage group similar to the one carrying *Rw* in the rat, and closely linked with the Frizzy (*fr*) gene. Already in these early works on resistance, females appeared to be more resistant than males. The results also suggested that resistance was a dominant trait influenced by gender.

These historical data and early works on resistance suffered from one major problem: the genetic basis of resistance was not known and could not be further investigated. Some studies also proposed a metabolic resistance (i.e. increased degradation by cytochrome P450), especially in the roof rat and house mouse (Sutcliffe, 1990; Sugano, 2001). Very few data are available on this metabolic hypothesis in the Norway rat. Metabolic resistance will be discussed for each species.

Recent advances in genetics in the Norway rat constituted a real breakthrough in 2004. Vitamin K reductase complex subunit 1 (VKORC1) was found to contribute to genetically determined variation in the activity of VKOR in Norway rats as well as in humans (Rost, *et al.* 2004). The rodenticide resistance in rodent populations is associated with several single nucleotide polymorphisms in the VKORC1 gene in Norway rats or with one or multiple nucleotide polymorphisms in house mice (Pelz *et al.* 2005). The effect of many of the discovered amino acid exchanges on resistance status is unknown, especially in house mice. Several of the nucleotide polymorphisms in the VKORC1 gene related to rodenticide resistance occur at codon position 139, such as Tyr138Ser, Tyr139Cys or Tyr139Phe, but other variants in the gene (i.e. Leu120Gln and Leu128Gln) are also related to resistance (Pelz, H. J. *et al.* 2005). Further studies suggested that other genes and physiological adaptations might also affect the resistance status at least in Norway rats (Heiberg, 2009; Markussen *et al.* 2008) and house mice (Endepols *et al.* , 2012).

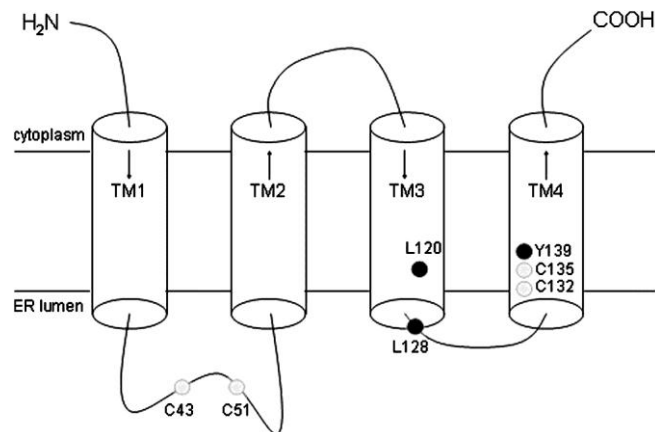
Reasons could be derived from research in humans. So far, in humans several variants in VKORC1 have been reported to influence warfarin sensitivity including four mutations that are linked to warfarin resistance (Rost *et al.* 2004), 1173C in the intron 1 that is more common in high daily dose patients (D'Andrea *et al.* 2005) and a haplotype of 4 variants in the promoter, introns and 3'UTR that have been shown to correlate with maintenance dose (Rieder, M. J. 2005), although no variants have yet been shown to be directly causative. Recently, a variant of CYP4F2 has been shown to be associated with warfarin dose, although the specific role of CYP4F2 in warfarin pharmacokinetics/pharmacodynamics has yet to be determined (Caldwell, D. 2013). In humans, an increasing number of genetic variations affecting warfarin pharmacokinetics and/or pharmacodynamics have recently been reported to have major impact on dosage requirements, that is, polymorphisms in the VKORC1, CYP2C9, and CYP4F2 genes (Aithal *et al.* , 1999), (Caldwell *et al.* , 2013), (D'Andrea *et al.* , 2005), (Rieder *et al.* , 2005), (Wadelius *et al.* , 2005) and (Wang *et al.* , 2008).

In this chapter the biological basis of resistance will be reviewed for all three commensal rodent species.

## 4.2. The Norway rat

Although the biochemical tools to study VKOR have long been available, it was not until recently that biochemical investigation and biochemical characterization of VKOR activity was given full attention in rats. This approach has also been associated with recent genetic advances and the identification of the first gene involved in the synthesis of the VKOR enzyme (often presented as a complex, since many authors considered that VKOR activity was supported by several proteins) (Li *et al.* , 2004, Rost *et al.* , 2004).

This first gene (*Vkorc1*) is clearly located on the chromosome 1 of the rat, associated with the D1Rat219 microsatellite. Mutated forms are associated with severe changes in VKOR activity (Rost *et al.* , 2004). The gene is rather small (1800 bp), with 3 exons and encodes a small trans-membrane protein (163 AA). This small protein (18kDa) has been computed and a suggested structure has been published (Tie *et al.* , 2005) as depicted in Figure 1.



**Figure 1:** VKOR topology and localisation of the most common mutation sites (from Hodroge *et al.* 2011)

This protein has three trans-membrane domains. It is embedded in the endoplasmic reticulum. The catalytic center is considered to be the redox center C-X-X-C (C132 and C135), essential to the activity of the enzyme (Wajih *et al.*, 2004). Back-crossing of resistant rats carrying the Tyr139Phe mutation into Sprague-Dawley susceptible rats over 7 generations was used to demonstrate the implication of this mutation in the phenotypic resistance observed via coagulation tests. Indeed, homozygous resistant rats (with less than 1.5% genetic material from the original resistant male, around the *Vkorc1* gene) developed a phenotypic resistance similar to their wild counterparts. At the same time, it was demonstrated that *Vkorc1* resistance is a co-dominant resistance, since heterozygous individuals show an intermediate level of resistance to AVK. They are more susceptible than homozygous but less susceptible than wild type rodents (Grandemange *et al.* 2009).

In Europe, several strains of rats, known to be genetically resistant display some mutations at various loci, with some "hotspots". For instance, Pelz *et al.* (2005) analyzed resistant strains of rats from several countries in Europe and described several mutations associated with the phenotypic resistance, as well as the VKOR activity in recombinant cells transfected by VKORC1 as described in Table 1.

In their work, Pelz *et al.* (2005) showed that recombinant cells including the Y139 mutations still displayed a high VKOR activity in the presence of warfarin, as compared with other mutations or the wild type. Investigation of the catalytic activity of the mutated enzyme was carried on by Lasseur *et al.* (2007) and showed that the mutated enzyme is very poorly susceptible to first generation AVK. In recombinant yeast cells, Hodroge *et al.* (2011) investigated more thoroughly the consequences of the common mutations on the inhibitory constant ( $K_i$ ) for several AVK (see Table 2).

**Table 2:** Inhibition constant ( $K_i$ ,  $\mu\text{M}$ )\* for wild-type or mutated VKORC1 expressed in *Pichia pastoris* for various AVK rodenticides. rVKORC1 is the wild (susceptible) type (adapted from Hodroge *et al.*, 2011)

Protein	Warfarin	Chlorophacinone	Bromadiolone	Difenacoum	Brodifacoum	Difethialone
rVKORC1	0.5±0.05	0.04±0.01	0.07±0.01	0.03±0.01	0.03±0.01	0.04±0.01
Leu120Gln	>100	4.50±0.7	0.51±0.01	0.89±0.04	0.22±0.06	0.16±0.04
Leu128Gln	4.0±0.7	0.18±0.05	0.18±0.06	0.07±0.02	0.08±0.02	0.05±0.01
Tyr139Cys	>100	7.30±0.1±0.03.70	0.61±0.08	0.16±0.04	0.11±0.03	0.10±0.02
Tyr139Phe	>100	1.60±0.32	0.69±0.21	0.10±0.04	0.07±0.02	0.05±0.01
Tyr139Ser	>100	7.90±0.53	0.49±0.15	0.09±0.03	0.06±0.01	0.11±0.02

*K<sub>i</sub>* are good indicators of the enzymatic inhibition efficacy of a given compound. The lower the *K<sub>i</sub>*, the more potent the inhibitor.

Apart from these studies, the actual impact of the various mutations (see Table 3 for amino acid codes) detected has not been fully elucidated and research is currently going on on recombinant cell system to express these mutants and evaluate the catalytic consequences of the various mutations identified so far, both on the basic activity level of *Vkor*, but also in response to AVK exposure, since this information can be of critical importance when deciding which rodenticide to use in the field.

**Table 3:** correspondence between mutation notations and resistance level conferred R – resistant; I - intermediate; S - susceptible



Short code	3-letter code	Warfarin	Chlorophacinon	Bromadiolone	Difenacour	Brodifacoum	Difethialone
A26S	Ala26Ser	?	?	?	?	?	?
A48T	Ala48Thr	?	?	?	?	?	?
E155K	Glu155Lys	?	?	?	?	?	?
L120Q	Leu120Gln	R	R	I	I	S	S
L128Q	Leu128Gln	R	R	I	S	S	S
L128S	Leu128Ser	R	?	?	?	?	?
R12W	Arg12Trp	?	?	?	?	?	?
R61L	Arg61Leu	?	?	?	?	?	?
R33P	Arg33Pro	?	?	?	?	?	?
S103Y	Ser103Tyr	?	?	?	?	?	?
Y139C	Tyr139Cys	R	R	R	I	S	S
Y139F	Tyr139Phe	R	R	I	S	S	S
Y139S	Tyr139Ser	R	R	R	S	S	S

There is some evidence, at least in the Chicago-resistant strain, that some other proteins may play a role in the resistance phenomenon. Indeed, in this strain, *Vkorc1* is not modified but a chaperone protein (calumenine) is over-expressed in resistant rats and not expressed in susceptible rats (Wajih *et al.*, 2004). This pathway has not been described anywhere and, for instance, in the French strain carrying the Tyr139Phe mutation, calumenin is not overexpressed (Lasseur *et al.*, 2005).

The metabolic hypothesis has been suggested for several years. Several isoforms of Cytochrom P450 (CYP450) are involved in the biotransformation of warfarin. Hydroxylation of warfarin by CYP450 is mainly due to CYP2C, CYP2B, CYP1A and CYP3A subfamilies. Several hydroxides are identified in the rat: 4'-, 6-, 7-, 8-, and 10-OH warfarin. These metabolites are more water soluble and may also undergo glucuronidation and urinary excretion (Ishizuka *et al.* 2007). Recently, Vein *et al* (2012) also showed that chlorophacinone was metabolized in at least 3 OH- derivatives and that resistant rats could carry more residues in the liver than susceptible rats, basically because of their prolonged survival time. There is however, to date, limited published evidence of any metabolic resistance in the Norway rat. Markussen *et al.* (2007) reported higher constitutive expression of various CYP isoforms in a resistant strain from Denmark. The over-expressed CYP were CYP2C13, CYP3A2. Upon bromadiolone exposure, several isoforms were induced: CYP1A2, CYP2C13, CYP2E1, CYP3A2 and CYP3A3. It is noteworthy that some of these isoforms are involved in the metabolism of warfarin. There is no evidence, however, that this over-expression or induction results in increased metabolism and reduced half-life of bromadiolone, which would be expected as a resistance phenomenon. Similarly, Heiberg (2009) confirmed the presence of phenotypic resistance to bromadiolone in wild rats from Denmark, without any mutation detected in the *Vkorc1* gene. Pelz, H. J. *et al.* (2005) have also found a small proportion of rats appearing to be resistant when CYP3A subfamilies. Several hydroxides are identified in the rat: 4'-, 6-, 7-, 8-, and 10-OH warfarin. These metabolites are more water soluble and may also undergo glucuronidation and urinary excretion (Ishizuka *et al.* 2007).

One of the hypothesised consequences of *VKORC1* mutations is a potential vitamin K deficiency. Some work has been conducted on that matter and there is conflicting evidence on vitamin K requirements of resistant rats. For instance, Hermodson *et al* (1969) and Greaves and Ayres (1973) clearly showed that the Welsh resistant strain had a higher daily requirement of vitamin K (about 13 times the standard) in order to maintain normal coagulation. More recently Markussen *et al.* (2003) showed that a Danish resistant strain had a higher daily requirement for vitamin K than susceptible individuals. Comparatively, based on enzymatic evaluation, the French resistant strain described by Lasseur *et al.*, does not present any vitamin K deficiency (Km/Vm ratio constant) (Lasseur *et al.*, 2005). This vitamin K deficiency could be a biological cost associated with resistance to AVK. In the Danish strain, Heiberg *et al.* (2006) showed that homozygous resistant rats had a lower reproductive success than expected and that heterozygous males or females had a better reproductive success. They suggested that the vitamin K deficiency may play a role in this phenomenon, especially in pregnant females, for which vitamin K is primarily directed to developing fetuses, thereby reducing their vitamin K status. Similarly, in Germany, Jacob *et al* (2012) demonstrated that resistance had a high biological cost in terms of Vitamin K requirement and reproduction. They showed that litter size and reproductive performance of resistant rats were reduced.

As a conclusion, *Vkorc1* mutations appear to play a major role in the selection of resistance in the Norway rat, with evidence from all over the world that these mutations are common and diverse in nature. A lot of work remains to be done in order to evaluate the individual consequences of each of these mutations on the catalytic properties of the enzyme, as well as on the practical level of resistance in order to adapt the AVK use to the resistance level observed in a given area, and some work is still needed to investigate the biological costs potentially associated with *vkorc1* resistance.

### 4.3. The Roof rat

Roof rats are intrinsically less susceptible to anticoagulants than Norway rats and this is shown particularly with warfarin (Buckle, 1994). This phenomenon is sometimes called 'natural resistance' but the use of the word resistance in such a context tends to lead to confusion. Comparatively little information is available on true acquired anticoagulant resistance in the roof rat, although resistance in this species is long-established (Greaves *et al.*, 1976). There is only limited evidence of VKORC1-dependent resistance, but the gene has been sequenced and is highly conserved (Ishizuka *et al.* 2007). Species-specific microsatellites have also been identified to help determine VKORC1 sequence and potentially identify SNPs' in the gene sequence (Diaz *et al.* 2010). In this study, none of the roof rats tested had any mutation known to confer resistance. Tanaka *et al.* (2012) published the first evidence of a SNP in VKORC1 gene in the roof rat associated with significant changes in the catalytic activity of the enzyme in resistant rats, with almost no basic activity of the enzyme in the mutated rats (R33P). Unfortunately, they neither determined the inhibition constant ( $K_i$ ) of warfarin for the enzyme VKOR nor AVK resistance level in their study.

Sugano *et al.* (2001) described a resistant strain in Tokyo with evidence of metabolic resistance. In a wild resistant *Rattus rattus* population in Tokyo, Ishizuka *et al.* (2006) failed to detect any mutation in *Vkorc1*. The authors investigated the potential involvement of CYP isoforms in the metabolism of warfarin. Based on a one-month feeding trial, they selected surviving rodents as resistant. A first evidence of metabolic differences was detected with higher plasma concentrations of warfarin in susceptible rats vs resistant rats. The CYP profile exhibited an increased expression of CYP3A subfamily, known to be involved in the metabolism of warfarin, and especially in the production of 10-OH warfarin, which was clearly more produced in resistant rats (Ishizuka *et al.*, 2006). These hydroxylated metabolites are known to be less or even not active on the coagulation process, thereby confirming the lack of susceptibility of rodents. Ishizuka *et al.* (2007) also demonstrated that NADPH cytochrome c reductase activity (dependent on NADPH cytochrome P450 reductase) was markedly higher in resistant rats, with increased general metabolic activity in all degradation pathways of warfarin in resistant animals. As a confirmation, use of a P450 inhibitor (SKF-525A) resulted in a higher mortality rate in animals exposed to warfarin. These results tend to show that CYP-dependent metabolism of warfarin is a resistance pathway for the roof rat (Ishizuka *et al.* 2008). Unfortunately, there is little other evidence or work related to resistance in the roof rat and it is difficult to compare the resistance level achieved with metabolic resistance as compared with genetic resistance conferred by VKORC1 mutations. Obviously, much still needs to be done on the roof rat, one of the most abundant commensal rat species around the world.

### 4.4. The House mouse

Like the roof rat, house mice are generally less susceptible to anticoagulants than Norway rats. For example, a period of 29.5 days of continuous no-choice feeding on 250 ppm warfarin bait is required to kill 99% of anticoagulant-susceptible house mice (Buckle, 1994). Resistance was identified in mice soon after AVKs were introduced on the market. Many early studies were published in the UK on the susceptibility of resistant house mice to anticoagulants and the development of each the second-generation anticoagulants difenacoum, bromadiolone, brodifacoum and flocoumafen involved detailed assessments against resistant house mice (see Buckle, 2012). Resistant house mice were also very widely distributed in the United States (Ashton and Jackson, 1984). The prevalence of anticoagulant resistant house mice globally was reviewed by Pelz *et al.*, (2005). A more recent investigation in farm mice in Argentina also concluded that resistance was present in South America as well as in other areas of the world (Guidobono *et al.* 2009). Countries reporting house mice resistant to AVKs are listed in Table 4. In their recent survey, Pelz *et al.* (2012) showed that VKORC1 mutations were highly prevalent among mice in the 30 sites tested in Germany and Switzerland and Azores. The resistance mutations present conferred resistance to the first-generation anticoagulants, such as warfarin and coumatetralyl, as well as to the second-generation compounds bromadiolone and probably difenacoum.



These results show that at least two major resistance pathways probably exist in the house mouse. Wallace and MacSwinney (1976) identified a major gene controlling warfarin resistance in this species. More recently, genetic alterations of VKORC1 have been described and altered VKOR activity or lack of susceptibility to AVKs has been reported (Lasseur *et al.*, 2006), together with a mutation in VKORC1 (W59G). Rost *et al.* (2009) also described and identified several strains of resistant mice with mutated VKORC1 (R12W, R58G, R61L for instance) and these amino acid substitutions resulted in reduced VKOR activity (33, 39 and 49% respectively). They also reported a R58G with no evidence of VKOR activity modification and the more common Y139C mutation, known to confer resistance in rats, in mice from Germany and the Azores. At the same time, Endepols *et al.* (2012) investigated some sites with field evidence of warfarin and/or difenacoum resistance and could not relate this phenotypic resistance to a mutation in the VKORC1 gene. An interesting genetic investigation (Song *et al.* 2011) in mice population of Europe suggest that one strain of VKOR resistance is genetically present in the Algerian mouse (*Mus spretus*) and was introduced by hybridization in the house mouse. This type of resistance has come to be called 'spretus group' resistance and is the result of the introgression of a group of linked DNA sequence changes (Arg12Trp/Ala26Ser/Ala48Thr/Arg61Leu). Some anticoagulant resistance in European house mice would then be the result of an introduction of this linked mutation group, which appears extremely frequent in Spain spreading north and eastward. There is no evidence of any introduction of this mutation in the house mouse in UK, Scandinavia and Eastern Europe although extensive resistance in house mice exists in these areas. Pelz *et al.* (2012) identified three major resistance mutations in house mice in Germany, Tyr139Cys, Leu128Ser and the 'spretus group'.

In the paper by Lasseur *et al.* (2006) the catalytic properties of VKOR in the house mouse have been investigated and the results are quite surprising (Figure 2). These data complete the first work by Misenheimer *et al.* (1994), who only described reduced affinity constant ( $K_m$ ) and Maximum speed ( $V_m$ ) of VKOR in resistant Danish mice.

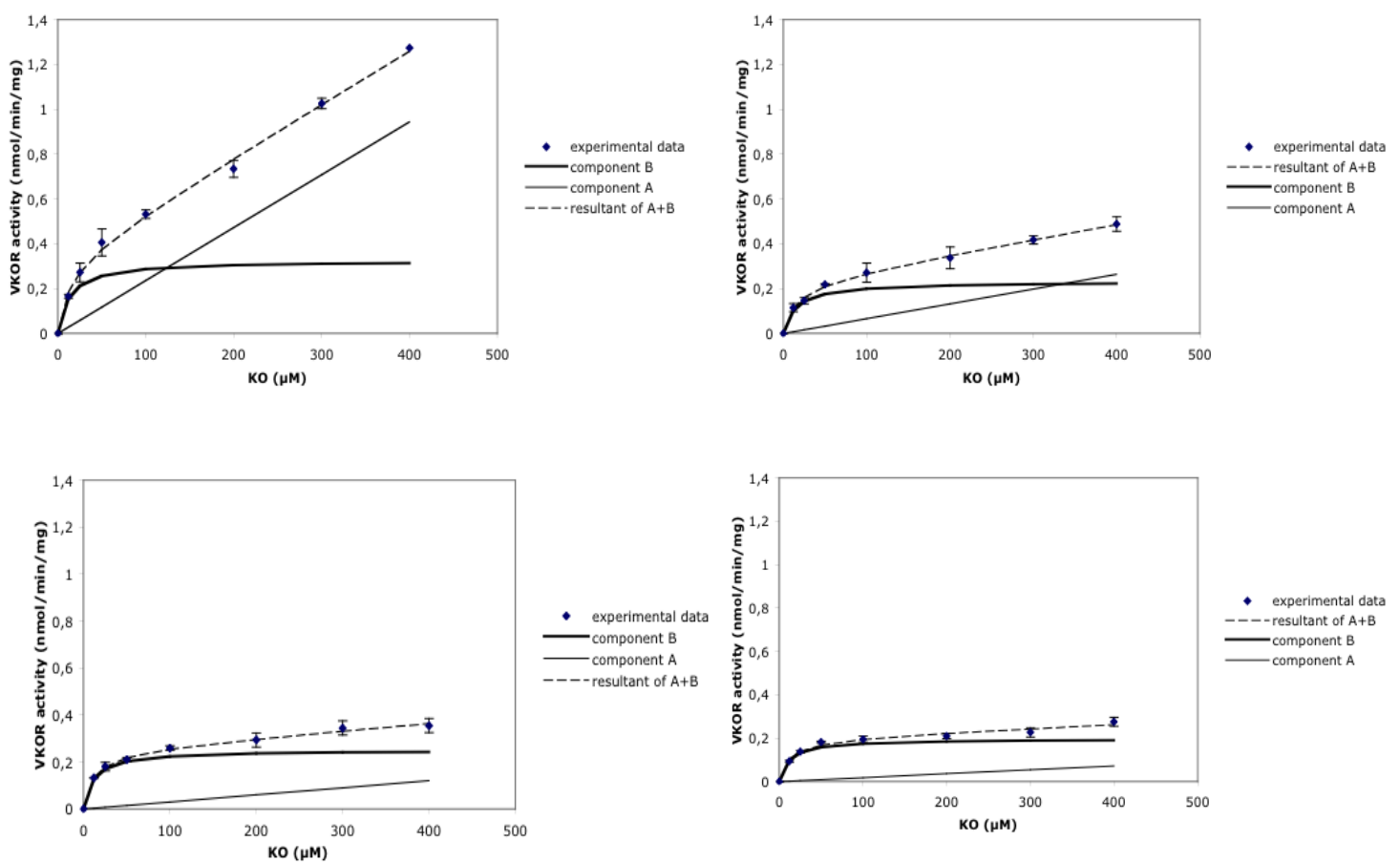


Figure 2: VKOR activity in the susceptible (left) and resistant (right) mouse without (upper) or with (lower) 1 µM warfarin in the presence of Vitamine K epoxyde (KO) (adapted from Lasseur *et al.* 2006)

Enzymatic activity in mice can only be explained by a bi-component model in which component A, highly susceptible to AVKs, is only expressed in susceptible mice, but not in resistant mice. In other words: AVK-resistant mice seem to have an enzyme with very poor basal activity, but are also poorly affected by the presence of AVKs.

The interpretation of the enzyme activity (Figure 2) could only be possible with a bi-component model in which component A is highly active in the susceptible mouse and highly susceptible to AVK, while the resistant mouse seems to lack this susceptible component A. This complex model advocates for more biochemical studies of the VKOR complex in the house mouse.

Investigation of the metabolic pathways of AVKs also showed evidence of increased metabolic degradation of AVKs. Indeed, Sutcliffe *et al.* (1990) treated mice with various P450 inducers and showed that the metabolic profile for Warfarin 4'-, 6-, 7-, and 8-OH metabolites were different between susceptible and resistant strains. Based on this very different metabolic profile and CYP induction pattern, they concluded that CYP450 was highly involved in the resistance of the house mouse to AVKs. The metabolic resistance has not been fully evaluated though, and is only reported for warfarin metabolism. There is still much work to be done in order to evaluate the potential for CYP450 to metabolize other AVKs especially second- generation products such as bromadiolone or difenacoum for instance.

#### 4.5. Evidence for resistance in commensal rodents around the world

After the first report of warfarin resistance in Scotland, several papers were published identifying other resistant Norway rat populations, mostly in the United Kingdom, in Denmark (Lund, 1972) and in the United States (Brooks and Bowerman, 1973). There is published evidence of resistance to AVK in rodents from all continents but Africa. Most studies and reports are available from western-European countries in the three commensal rodent species. Very limited information is available, however from eastern or southern EU countries and there are no published reports or papers indicating that resistance had been identified in rodent species for many countries in Europe. This is more likely to be because resistance has not been studied in those countries than because it does not exist. It should be stated also, that very few research teams work on rodenticide resistance: in Belgium, France, Germany, the Netherlands and in the United Kingdom but where research is carried out resistance is generally found. This lack of research effort is a major limitation to both the understanding of resistance mechanisms and the investigation of its reality and consequences in the field.

Pelz *et al.* (2005) published a survey of countries reporting resistance in commensal rodents. The major findings are described in Table 4 for the three major commensal rodent species

**Table 4:** Warfarin resistance in the Norway rat (*Rattus norvegicus*), the roof rat (*Rattus rattus*) and the house mouse (*Mus musculus*) around the world (completed from Pelz *et al.* 2005) (\* *Rattus tanezumi*, \*\**Rattus flavipectus*, \*\*\* *Rattus losea*)

Country	<i>Rattus norvegicus</i>	<i>Rattus rattus</i>	<i>Mus musculus</i>	Reference
Belgium	+	?	+	Lund 1984; Baert, 2003, Baert <i>et al.</i> , 2012
Denmark	+	+	+	Myllymaki, 1995, Lodal, 2001, Heiberg 2009
Finland	?	?	+	Myllymaki, 1995
France	+	+	+	Myllymaki, 1995, Pelz <i>et al.</i> , 2005, Lasseur <i>et al.</i> , 2005, Grandemange <i>et al.</i> , 2010
Germany	+	+	+	Myllymaki, 1995, Pelz, 2001, Pelz <i>et al.</i> , 2005
Italy	+	?	?	Alessandroni <i>et al.</i> , 1980
Sweden	?	?	+	Lund, 1984
Switzerland	?	?	+	Muhr, 1981
United Kingdom	+	+	+	Myllymaki, 1995, Kerins <i>et al.</i> , 2001
Netherlands	+		+	(van der Lee, T. A. J. 2011), (Ophof, A. J. & Langeveld, D. W. 1969)
Hungary	+			(Rost.S. 2009)
Argentina	?	?	+	Guidobono <i>et al.</i> 2010
Canada	+	?	+	Siddiqi and Blaine, 1982
USA	+	+	+	Jackson and Ashton, 1981
Australia	?	+	?	Saunders, 1978
New-Zealand	+	?	+	De Jonge, 1994
Japan	?	+	?	Naganuma <i>et al.</i> 1981
Korea	+	?	?	Rost <i>et al.</i> , 2009
Indonesia	*	?	?	(Andru, J. 2013)
China	+			(Huang, B. H., Feng, Z. Y., Yue, L. F., Yao, D. D., Gao, Z. X., Wang, D. W. <i>et al.</i> 2011), (Liang, L. 2005), (Wang, H. S., Feng, Z. Y., Yao, D. D., Sui, J. J., Zhong, W. Q., Li, M. <i>et al.</i> 2008)
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#### Denmark.

The research team in Denmark is no longer supported, but there is a long history of resistance monitoring in this country, with a very high prevalence of resistance in Norway rats in most areas (Lodal, 2001) (see Figure 3). During a five-year test program, rats from 117 municipalities were tested, 500-1.000 individuals annually. The highest level of resistance was difenacoum for 15, bromadiolone for 25 and coumatetralyl for 17 municipalities. (Pelz *et al.* 2005) tested 43 resistant wild rats from Jütland, Zealand, Fünen and Bornholm and found the mutation Tyr139Cys. Incidence of resistance in sewer systems was monitored on the basis of the Blood Clotting Response test. The very small number of resistant sewer rats (11 resistant out of 207 trapped rats in six sewer locations) showed no resistance-related changes in the VKORC1 gene (Heiberg, 2009).

House mice (*Mus sp.*) resistance in Denmark and in south of Sweden was detected for the anticoagulant compounds warfarin and bromadiolone (Lund, 1984), (Misenheimer *et al.* 1994). Denmark is one of very few countries with described occurrence of brodifacoum resistant house mice (Myllymäki, 1995).

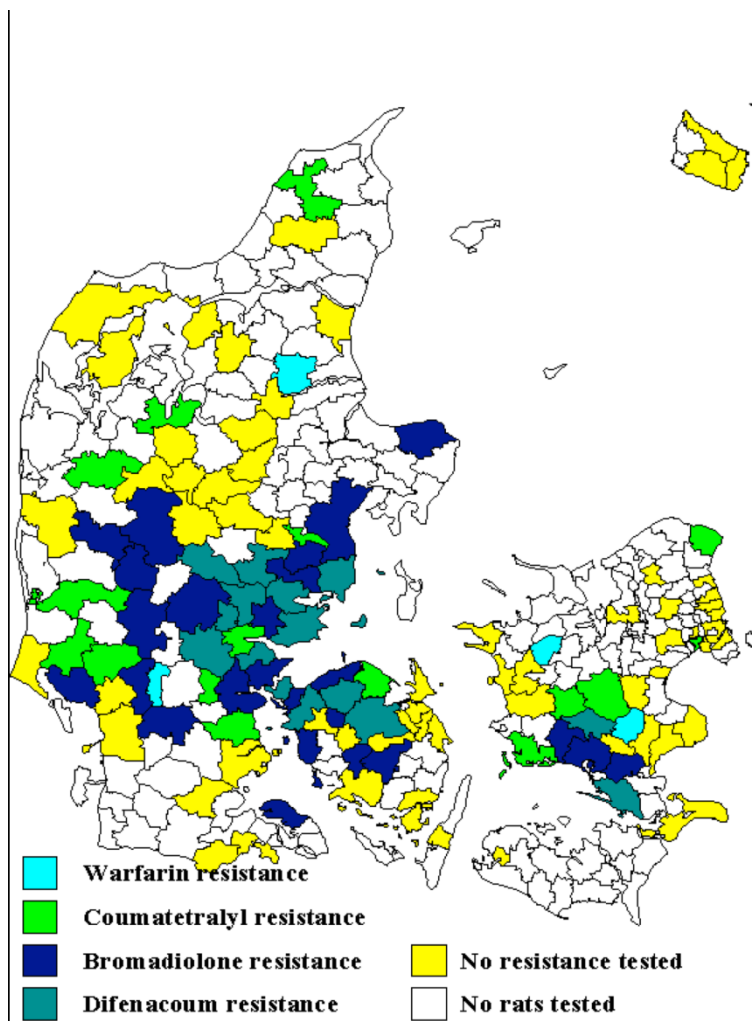


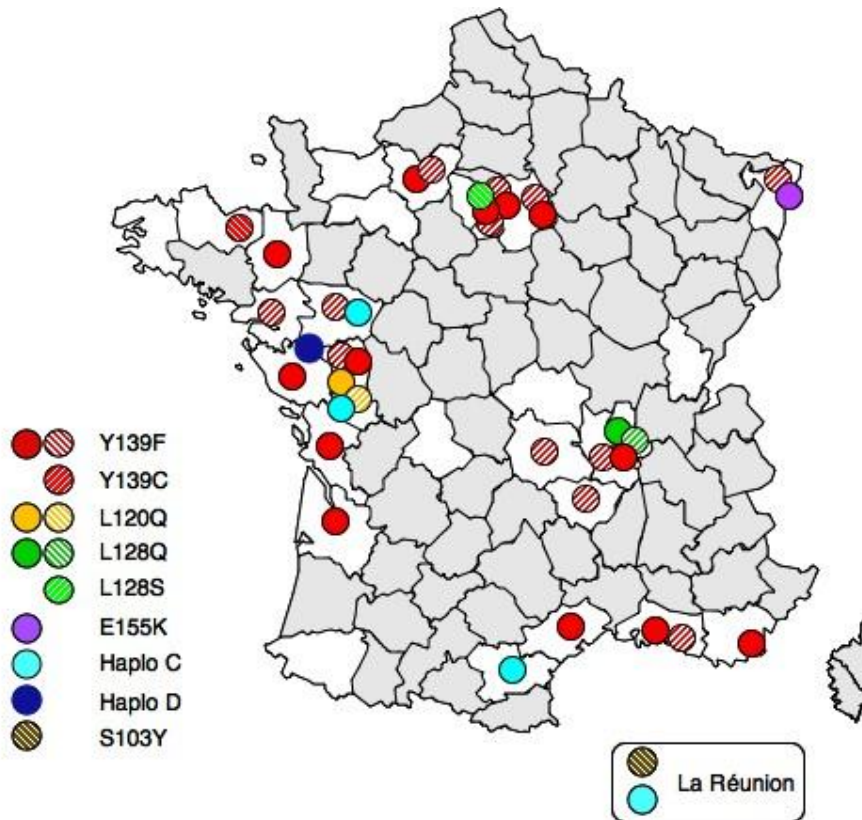
Figure 3: AVK resistance in Norway rats trapped in Denmark (Lodal, 2001)

### France

Grandemange *et al.* (2010) conducted a nation-wide survey in France and analyzed almost 300 Norway rats obtained from urban and rural areas (see Figure 4). They identified 100 rats with at least one SNP on the VKORC1 gene conferring resistance (37% of the rats trapped in 92 different locations across the country). Ongoing research projects in France around the city of Lyon identified 70% to 100% resistant rats in two distinct rural communities in the western part of the city (Berny *et al.* in prep). The main mutation found to date is the Tyr139Phe mutation. In 41 sites out of 92, at least one rat carrying this mutation was found (28% of the whole sample) (Grandemange *et al.* 2010). The Tyr139Phe confers resistance to the first generation anticoagulants and to bromadiolone (Grandemange *et al.* 2009). Some mutations known to be associated with AVK were also found, namely Tyr139Cys or Leu120Gln. Some further mutations suspected to be associated with resistance were also

detected, but no information is available about the phenotypic expression of these new mutations. For instance, haplotypes C and D, non-encoding ones, were found, but it is known that an intronic mutation is highly related to the promoter of the gene and is associated with AVK resistance (Yuan, 2005).

In house mice genetic alterations of VKORC1 have been described and altered VKOR activity or lack of susceptibility to AVKs has been reported together with a mutation in VKORC1 (Trp59Gly) (Lasseur *et al.* 2006).



**Figure 4:** Sampling area and mutations detected in Norway rats caught in France (full circle: presence of homozygous individuals, dashed circles: only heterozygous individuals) (*adapted from Grandemange et al. 2010*)

#### Belgium

Recently, (Baert *et al.* 2012) published an extensive survey on 691 Norway rats trapped in various parts of Belgium. Resistance was monitored on the basis of the Blood Clotting Response test, an *in vivo* evaluation of resistance. Overall, resistance was identified in 17% of the animals caught. The distribution of resistant population was not homogeneous and some areas had significantly higher proportions of warfarin or bromadiolone resistant populations like Flanders (see Figure 5). In 2005 Pelz, *et al* found in 14 resistant tested rats from Flanders the Tyr139Phe mutation. Later Baert *et al.* (2012) showed that anticoagulant resistance in Belgium was related to two different mutations in VKORC1, namely Tyr139Phe and Leu120Gln. Warfarin resistance in house mice in Belgium was mentioned by Lund (1984).

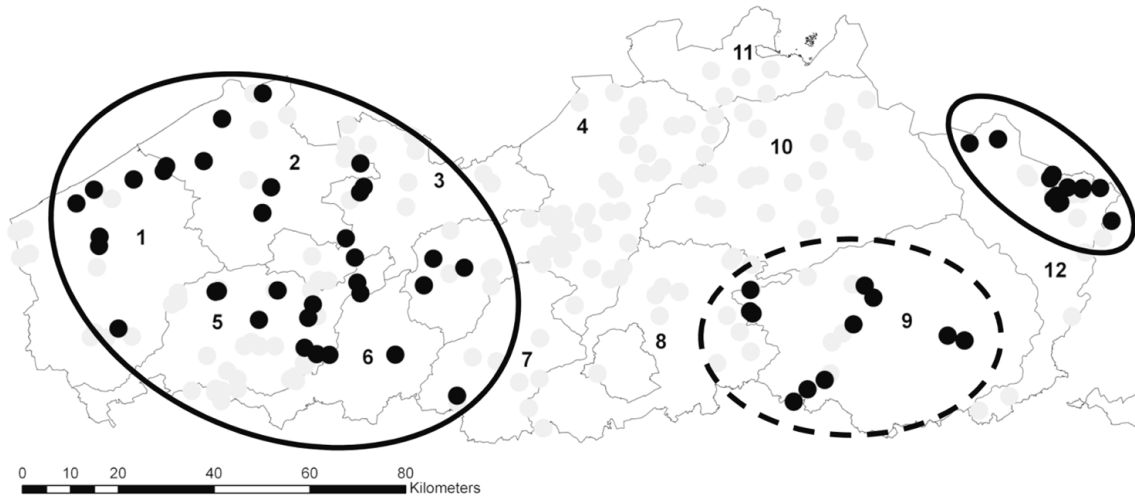


Figure 5: Three different areas with resistance in Belgium. Full line: bromadiolone resistance; broken line: warfarin resistance. Grey dots represent sampling locations with only susceptible rats

USA and Canada

In the US, a nation-wide survey was conducted in the late 70s’ and identified at least 45 of 98 sites with some level of resistance in Norway rats, mainly in urban centers (See Figure 6). The authors suggested, at the time, that resistance was associated with the repeated use of warfarin, mostly in urban centers.



Figure 6: Rat strains resistant to warfarin identified in the US (from Jackson and Ashton, 1986)

A similar level of about 50% resistance was detected in house mice at the time, with localized “hot spots”, like in North Carolina. In Toronto, Canada, house mice have shown reduced efficacy of brodifacoum (Siddiqi and Blaine, 1982). It is considered by the United States Environmental Protection Agency that the use of first generation AVKs in the 60s and 70s resulted in the selection of resistant commensal rodent species, but that the availability of other rodenticide (different from AVKs) should help overcome this resistance issue (Bradbury, 2008).

Germany

In Germany resistance to anticoagulants has been found in all commensal rodent species (*Rattus norvegicus*, *Rattus rattus*, *Mus domesticus*). Among these species, resistance to the brown rats has been thoroughly investigated. Resistance monitoring in Germany is intensively ongoing by the Julius Kuhn Institute (JKI) and the Lower Saxony State Office for Consumer Protection and Food Safety (LAVES). The findings of anticoagulant resistance in Norway rats and house mice are presented on the website of JKI ([www.jki.bund.de/stand-](http://www.jki.bund.de/stand-)

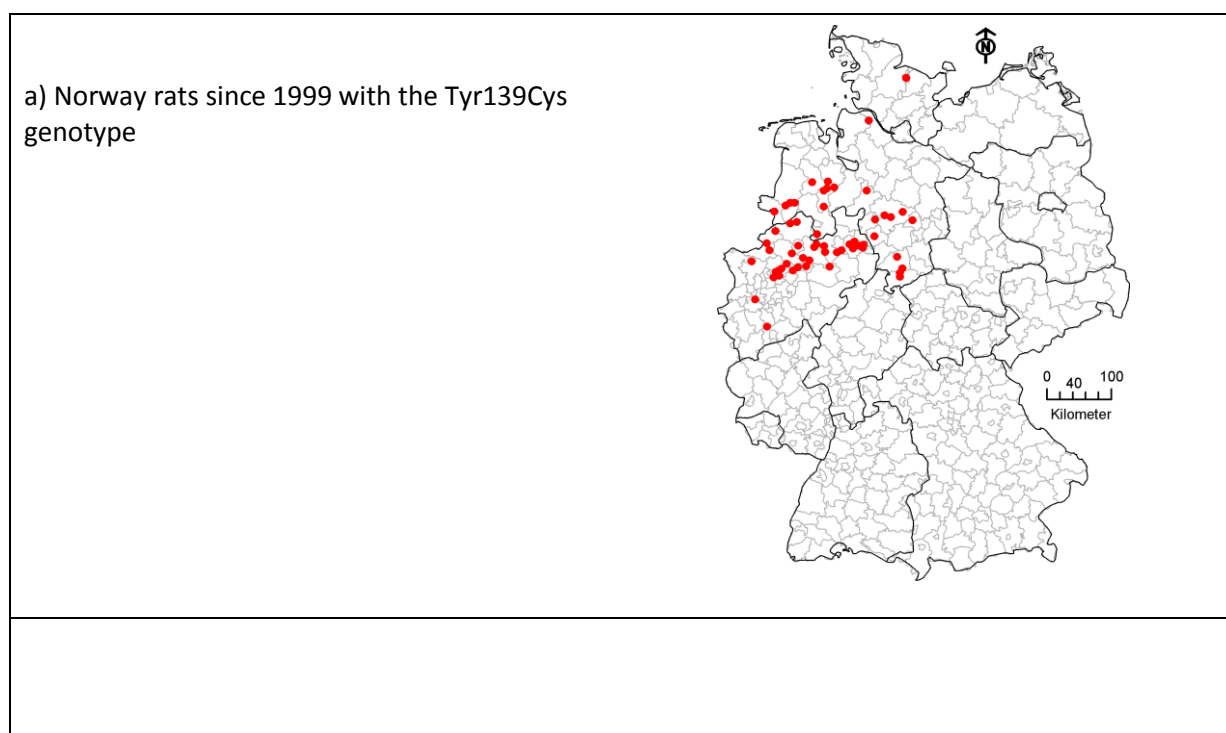


[rodentizidresistenz.html](#)) and are shown in Figure 7a. Samples of > 2600 Norway rats and >500 house mice were investigated up to now by sequencing and PCR-tests (Esther *et al.* 2013 in press).

Five mutations in VKORC1 (Ala26Thr, Ser79Phe, Ser56Pro, Tyr139Phe, Tyr139Cys) in Norway rats were found up to now (Esther *et al.* 2013 in press). Resistance effects are known for Tyr139Cys and Tyr139Phe. In contrast to Tyr139Phe, which was found in one place 2010, Tyr139Cys seem to be wide distributed on NW-Germany (Pelz, 2007); (Runge *et al.* , 2013). Within the NW-Germany, the frequency of the resistance gene Tyr139Cys varied considerably between < 20% and > 80% within short distances between infested sites, e.g. less than one kilometer (Klemann *et al.* , 2011). The Norway rat resistant strain Tyr139Cys in VKOR, is basically resistant to warfarin. The majority of rats are also resistant to coumatetralyl and bromadiolone, which was determined in BCR-tests conducted according to (Prescott *et al.* , 2007) and confirmed under practical control conditions in field trials (Endepolset *et al.* , 2012). Even no acceptable control level of resistant Norway rat infestations was achieved using difenacoum (Buckle *et al.* , 2013). Brodifacoum has been found to be fully effective against Tyr139Cys infestations in Germany (Buckle *et al.* , 2012). Comprehensive standard BCR-tests (Prescott *et al.* , 2007) are conducted by Esther and co-workers at the JKI at the moment supported by the international Rodenticide Resistance Action Committee.

Recently, Pelz *et al.* (2012) published a first survey on resistant house mice (Figure 8). This study investigated 23 different sampling sites in Germany. Only one sampling site showed exclusively wild-type mice (no mutation). In all other instances, the frequency of mutations on *Vkorc1* was between 7 and 95% of the individuals tested. The occurrence of the three most common *Vkorc1* variants, which are known to be linked to anticoagulant resistance, in house mouse populations was confirmed nationwide in Germany: *spretus* group (Arg12Trp/Ala26Ser/Ala48Thr/Arg61Leu), Leu128Ser and Tyr139Cys ( Figure 7b, Rost, 2009, Pelz et al. 2012, Esther et al. in press). This result shows that AVK resistance in mice may be more important than in Norway rats in face of more than 16 variants and combinations of variants in VKORC1 found in Germany (Rost, 2009). The effect of the Leu128Ser and Tyr139Cys amino acid substitutions are known to seriously affect control success but the effects of other substitutions are largely unknown. We could not find any other published survey on the extent of resistance in mice but, as described above, the biological basis for resistance in mice is not as clear as in the Norway rat. Esther and co-workers have started with standard BCR tests to evaluate resistant effects of amino acid substitutions.

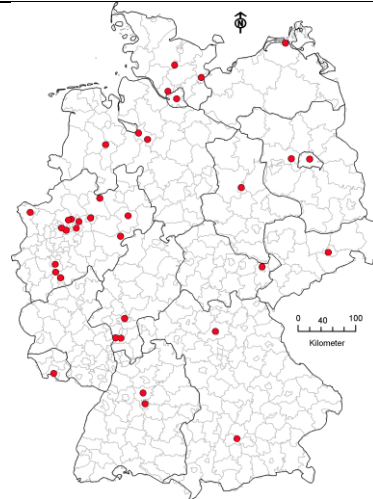
Switzerland and Azores.- The occurrence of the three most common *Vkorc1* variants, which are known to be linked to anticoagulant resistance, in house mouse populations was confirmed nationwide in the Switzerland: Arg12Trp/Ala26Ser/ Ala48Thr/Arg61Leu, Leu128Ser and Tyr139Cys (Figure 8, (Pelz *et al.* 2012). The same study includes also 34 samples from the Azores, which revealed the variants Leu128Ser and Tyr139Cys.



b) House mice since 2004 with at least one of the genotypes

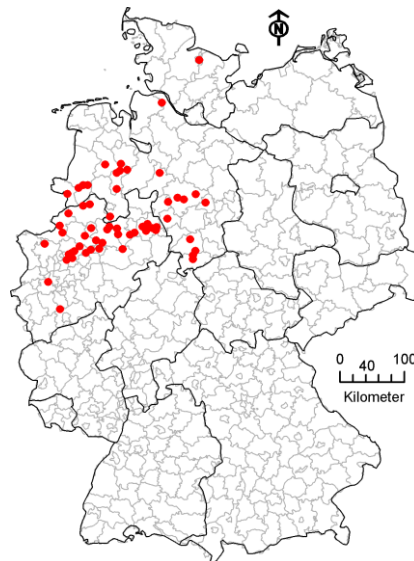
Arg12Trp/Ala26Ser/ Ala48Thr/Arg61Leu,

Leu128Ser and Tyr139Cys



1.

a) Norway rats since 1999 with the Tyr139Cys genotype



b) House mice since 2004 with at least one of the genotypes

Arg12Trp/Ala26Ser/ Ala48Thr/Arg61Leu,

Leu128Ser and Tyr139Cys

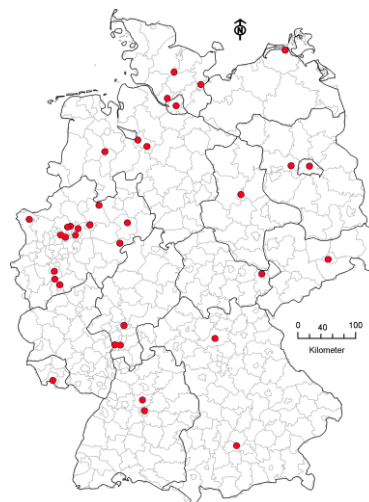
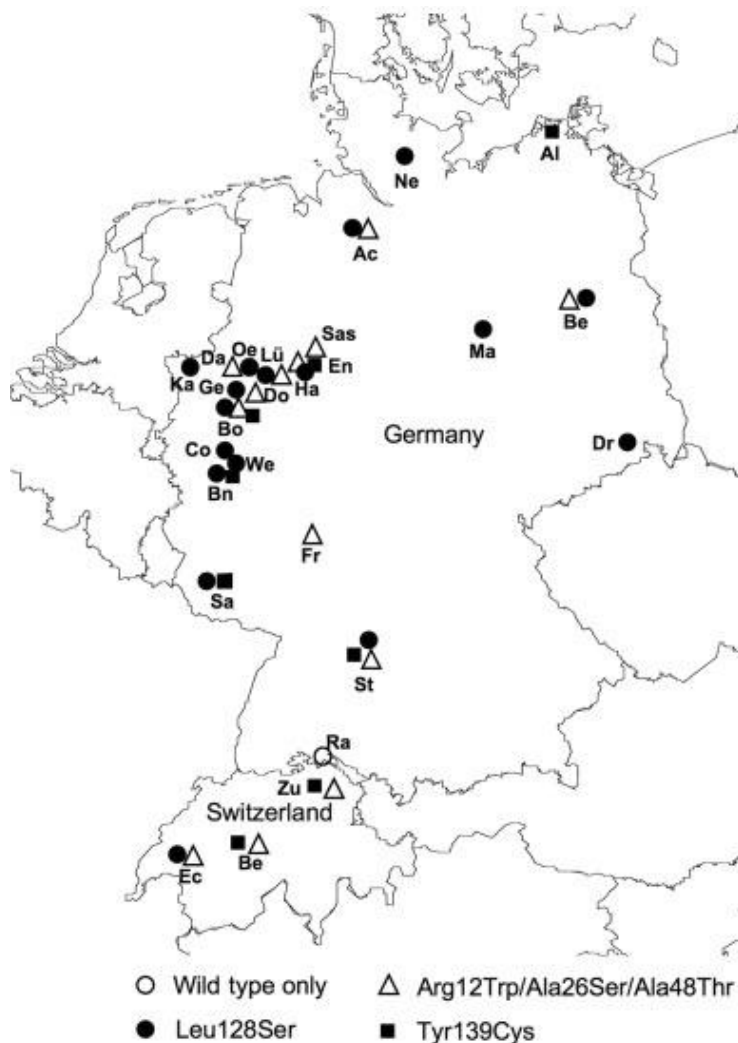


Figure 7: Findings of anticoagulant resistance in Norway rats (Figure 8a) and House mice (Figure 8b), (April 2013 [www.jki.bund.de/stand-rodentizidresistenz.html](http://www.jki.bund.de/stand-rodentizidresistenz.html)).



**Figure 8:** Occurrence of the three most common VKORC1 sequence variants in house mice in Germany and Switzerland, samples collected between 2004-2009, (Pelz *et al.* 2012).

### The Netherlands

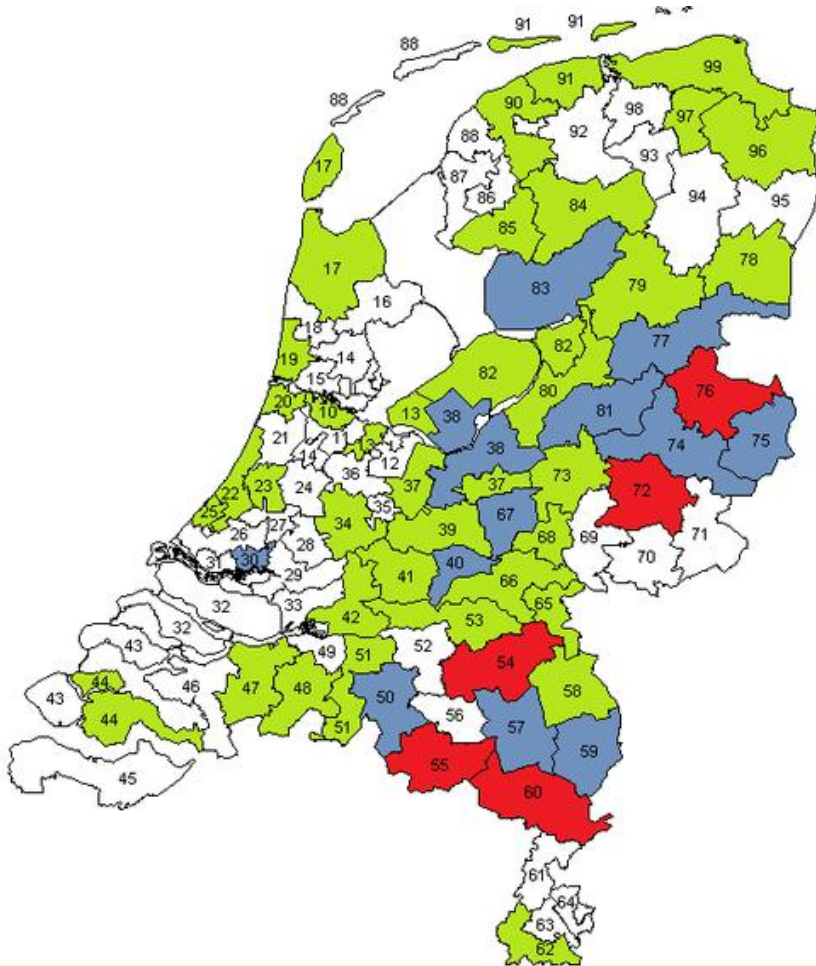
In the Netherlands, Norway rat resistance monitoring was conducted in a nation-wide survey on the basis of sampling feces. The project is still running, but the actual raw data are shown on the website of Wageningen UR Livestock Research (<http://www.bruinerat.nl/resultaten.html>). In a pre-study, developing the genetic tests, with a low sample size (van der Lee *et al.*, 2011) two genotypes known to be linked to resistance, Tyr139Cys and Tyr139Phe, have been found (Table 5). The actual resistance distribution, which is presented on their website, showed that the resistance incidence is highest in the south-east part of the country (Figure 9). Detailed data including distribution of genotypes will be published soon (Meerburg, pers. com).

House mouse anticoagulant resistance was confirmed in the Netherlands. In the late 1960s house mouse resistance to warfarin was described by Ophof *and Langeveld* (1969)

**Table 5:** Overview of detected genotypes in a pre-test, Van der Lee (2011).

Genotype	TAQMan	Number of sample	Percentage
Tyr139Tyr	FAM/NED	27	44
Tyr139Tyr	FAM	10	16
Tyr139Cys	NED/FAM	14	23
Cys139Cys	NED	8	13
Phe139Cys	NED/VIC	1	2
Tyr139Phe	FAM/VIC	1	2
Total		61	100





**Figure 9:** Sampling area and mutations detected in Norway rats in the Netherlands (green: no resistance, blue: both resistant and susceptible rats, red: only resistant rats were found), March 2013, see also the website: <http://www.bruinerat.nl/resultaten.html>

## UK

In the UK a total of nine different anticoagulant resistance mutations are found among Norway rats. In no other country worldwide are present so many different forms of Norway rat resistance. Among these nine SNPs, five are known to confer on rats that carry them a significant degree of resistance to anticoagulant rodenticides. These mutations are: Leu128Gln (L128Q, as shown in figure 8), Tyr139Ser (Y139S), Leu120Gln (L120Q), Tyr139Cys (Y139C) and Tyr139Phe (Y139F) (Buckle, 2013). In Table 6 the different anticoagulant compounds and their effectiveness against the genotypes found in rats are shown. A map summarizing all DNA sequencing results submitted to RRAG is given in Figure 10.

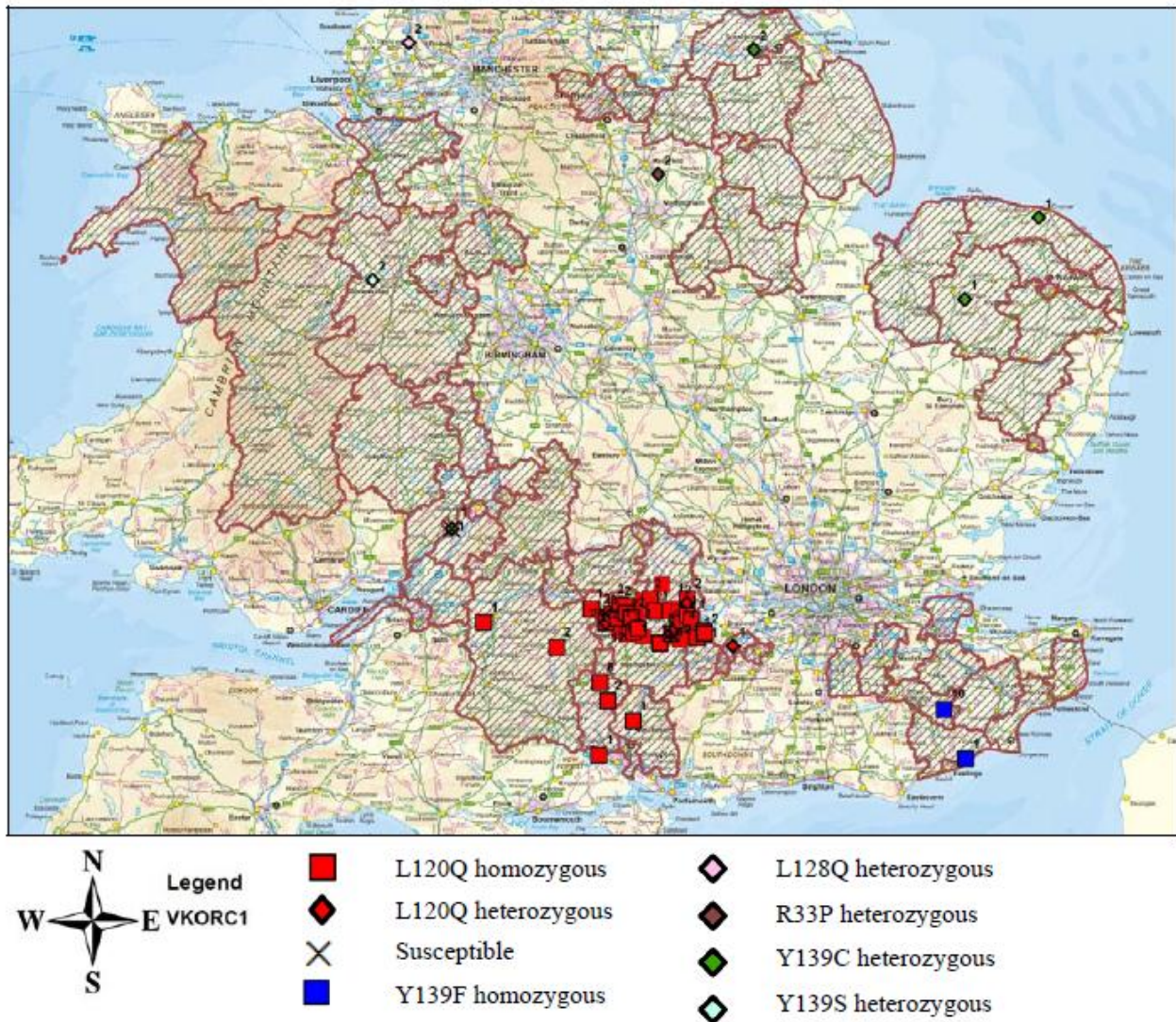


Figure 10: Combined results of DNA genotyping of tissue samples from Norway rats from various sources in the UK (from Rrag).

Table 6: The different anticoagulant active substances and their effectiveness against the resistance mutations found in rats in the UK. A cross means that the active substance should not be used against that strain and a tick means that it may be used with a reasonable expectation of a successful outcome. Some treatments may be effective using bromadiolone and/or difenacoum against resistant rats carrying the Gloucestershire, Hampshire and Kent genes, although complete eradication may not be achieved.

[http://www.bpca.org.uk/assets/RRAG\\_Resistance\\_Guideline.pdf](http://www.bpca.org.uk/assets/RRAG_Resistance_Guideline.pdf)

Active Substance	Resistance mutation					
	Scotland (Leu 128Gln)	Wales (Tyr139Ser)	Gloucestershire (Tyr139Cys)	Hampshire (Leu 120Gln)	Berkshire (Leu120Gln)	Kent (Tyr139Phe)
warfarin	X	X	X	X	X	X
chlorophacinone	X	X	X	X	X	X
coumatetralyl	X	X	X	X	X	X
difenacoum	✓	✓	?	?	X	?
bromadiolone	✓	✓	?	?	X	X
brodifacoum	✓	✓	✓	✓	✓	✓
flocoumafen	✓	✓	✓	✓	✓	✓

Two genetic mutations known to be linked to anticoagulant resistance have been found in house mice up to now. The first mutation is the one occurring in the Cambridge Cream resistance strain. These ‘Cambridge Cream’ mice

were held in the laboratory and much subsequent assessment of the activity of anticoagulants against resistant house mice relied on tests on the progeny from this original breeding stock. The genotype is now known as the Leu128Ser mutation. In the 1990s, a population of resistant mice was discovered in the Reading area and studies were conducted on them, which resulted in the selection of a pure laboratory strain of resistant house mice. The mutation later found in this strain was Tyr139Cys.

European Union summary.- A summary of the actual occurrence of detected genotypes in Norway rats in some countries of the European Union is shown in table 7. Only genotypes that are known to impact practical rat control are shown, adapted (Buckle, 2013), March 2013.

**Table 7:** Occurrence of detected genotypes known to be associated with resistance in Norway rats in some countries of the EU (\*at a single location)

Country	Genotype in VKORC1
Denmark	Tyr139Cys
Germany	Tyr139Cys ; Tyr139Phe*
Belgium	Leu120Gln ; Tyr139Phe
France	Tyr139Cys ; Tyr139Phe ; Leu120Gln ; Leu128Gln ; Leu128Ser
UK	Tyr139Cys ; Tyr139Phe ; Tyr139Ser ; Leu120Gln ; Leu128Gln
Netherlands	Tyr139Cys ; Tyr139Phe
Hungary	Tyr139Cys

Rodent research studies from many countries confirmed resistance against many anticoagulant compounds. The following table 8 gives an overview picture of the anticoagulant compounds affected by resistance in Europe, as revealed by the questionnaire dispatched by EPPO to its member countries in 1992, and amended by actual research studies. Resistance tests on roof rats have mostly been intermittent, as this species is seldom a major pest in countries that reported research results in the above-mentioned EPPO-study. Interesting resistance in *Rattus rattus* to bromadiolone and difenacoum was reported from France (Desidiri, 1978 ; Lund, 1984) being the only country where anticoagulants other than warfarin have been tested (Myllymäki, 1995).

**Table 8:** Summary of distribution of resistance to anticoagulant compounds against the three commensal rodent pests in Europe, as revealed by the questionnaire dispatched by EPPO to its member countries in 1992 (adapted from Myllymäki, 1995).

Country	<i>Rattus norvegicus</i>	<i>Rattus rattus</i>	<i>Mus sp.</i>
<b>Denmark</b>	Warfarin, Coumatetralyl, Bromadiolone, Difenacoum,	Warfarin	Warfarin, Bromadiolone, Brodifacoum
<b>Finland</b>	?	?	Warfarin, Coumatetralyl, Bromadiolone
<b>France</b>	Warfarin, Coumatetralyl, Bromadiolone, Difenacoum	Warfarin, Bromadiolone, Difenacoum	Warfarin, Bromadiolone
<b>Germany</b>	Warfarin, Coumatetralyl, Bromadiolone, Difenacoum	Warfarin	Warfarin, Difenacoum
<b>UK</b>	Warfarin, Coumatetralyl, Bromadiolone, Difenacoum, (Brodifacoum)	Warfarin	Warfarin, Coumatetralyl, Bromdiolone, (Brodifacoum)
<b>Belgium</b>	Warfarin, Bromadiolone	?	Warfarin
<b>Netherlands</b>	Warfarin, Coumatetralyl, Bromadiolone, Difenacoum	?	Warfarin
<b>Italy</b>	Warfarin	?	?

Besides the three commensal rodent species, Norway rat, roof rat and house mouse, some recent studies revealed anticoagulant resistance in other rodent species. Recently, warfarin resistance has also been reported in the Lesser Rice-field rat (*Rattus losea*) (Wanget *al.* 2008; Andru *et al.* 2013) also published evidence of coumatetralyl resistance in *Rattus tanezumi* in Indonesia, in oil palm plantations regularly treated with this first generation AVKs. In China, warfarin resistance was found in *Rattus norvegicus* (Liang, 2005), *Rattus losea* (Wang, *et al.* 2008) and in *Rattus flavipectus*, which possessed the VKORC1 mutation Tyr139Cys (Huang, 2011). Vein *et al.*



(2011) have recently published some enzymatic evidence of resistance to warfarin in water voles (*Arvicola terrestris*) trapped in French areas where bromadiolone has been repeatedly used to control vole populations. This resistance does not appear to be linked to a modification of the *Vkorc1* gene but rather to alterations of the VKOR enzyme function, as could be determined by promoter alteration for instance.

#### 4.6. Resistance testing

Testing for resistance is required in order to apply coherent resistance management strategies. Starting from the 1960s, there is substantial published literature describing standardized resistance tests by which to identify resistant rodents. These include *in vivo* assays such as feeding tests (EPPO, 1995), blood clotting response tests (Prescott *et al.* 2007) and *in vitro* assays, including the assessment of VKOR activity, CYP450 metabolism and VKORC1 testing (Pelz *et al.* 2005; Grandemange *et al.* 2010). The *in vivo* assays give phenotypic evidence of resistance with a good indication of practical resistance. The *in vitro* assays have mostly been developed for VKORC1 mutations so far identified and are not used routinely for metabolic resistance.

The first resistance tests involved feeding the rodents with field strength rodenticide for an arbitrary period (typically 5 or 6 days). Resulting survival or mortality from such tests provided initial evidence of resistance that would have a practical effect on treatment outcome, although such tests relied on the rodents feeding consistently over the test period, and for wild Norway rats in particular, this is often not the case.

Subsequently, resistance tests were developed along similar lines to those used in identifying pyrethroid resistance in insects. Susceptibility baselines were generated by administering a series of AVK doses to groups of susceptible animals, and thus generating dose response data. Probit analysis of such data was used to estimate the dose required to achieve a high percentile response in susceptible animals (typically the 99% response), and in the resistance checking test this dose is administered to animals suspected of being resistant, and failure to respond provides initial evidence of resistance.

##### Lethal Feeding Period (LFP) tests

With LFP tests, the susceptibility baseline was established using no-choice feeding on the rodenticide formulation, and Probit analysis was used to determine the no-choice feeding period required to achieve mortality in 99% of animals (the LFP<sub>99</sub>). In subsequent resistance checking tests, survival of that no-choice feeding period provides initial evidence of resistance, although a subjective assessment is often required to discriminate between animals that are resistant and animals that are poor feeders. An important advantage of such tests is that they measure a parameter (mortality) that is easily related to the performance of the rodenticide in the field. A disadvantage for second generation anticoagulants is that they are too efficacious. At field strength they normally achieve complete mortality of susceptible animals in one or two days no-choice feeding, thus producing data that is not appropriate for Probit analysis. One option to resolve this issue is to reduce the concentration of active ingredient in the bait. This was done for brodifacoum, although the value of such tests is questionable when animals are classed as resistant because they can survive a 0.0005% bait when in practice 0.005% baits are normally used.

Published LFP tests for Norway rats include a 6 day feed on 0.005% warfarin, a 5 day feed on 0.005% difenacoum, and a 7 day feed on 0.0005% brodifacoum (WHO, 1982; EPPO, 1995), and for house mice, a 21 day feed on 0.025% warfarin. Although conducted in the laboratory, these tests could be interpreted in terms of the practical outcome of rodent control treatments because resistance is defined in terms of the duration of feeding on commercially used baits required to kill a high percentage of a rodent population. A drawback with lethal feeding period tests is that they are time-consuming, it is difficult to take account of poor feeders, and because mortality is the end-point, they are questionable on grounds of humaneness (Gill and McNicoll, 1991).

##### Blood Clotting Response (BCR) testing

With the first BCR Tests, the susceptibility dose response baseline was established by determining the dose of anticoagulant required to induce a prolonged coagulation time (1 or 4 days after dosing) in 99% of susceptible animals. In subsequent resistance checking tests, a failure to respond (by having a shorter coagulation time) provides initial evidence of resistance. The BCR tests have several advantages over the LFP tests, in that they are more sensitive, more quickly performed, and independent of the feeding behavior of the test animal (Prescott and Buckle, 2000). The main disadvantage is that resistance assessments are based on changes in coagulation time, a parameter that is difficult to relate to performance in practical rodent control.

Initially such BCR resistance tests were developed for warfarin, chlorophacinone, diphacinone, bromadiolone and difenacoum, but with the development of each test, important methodology parameters were changed, making it very difficult to compare results between tests. Discriminating doses between tests varied considerably and did not reflect the toxicity of the active ingredient. Subsequently the University of Reading was commissioned by the Rodenticide Resistance Action Committee to conduct a reappraisal of the published BCR tests (RRAC, 2003). Certain aspects of the published methodologies could not be defended; in particular the methods used to measure coagulation time, and the statistical determination of the discriminating dose. Consequently, these methodologies are invalid and should not be used.

A new standardized methodology was therefore developed to both identify and quantify physiological resistance in both Norway rats and house mice. Susceptibility baselines were generated to provide the basis for resistance testing against warfarin, diphacinone, chlorophacinone and coumatetralyl for Norway rats, and against bromadiolone, difenacoum, difethialone, flocoumafen and brodifacoum for both Norway rats and house mice, using the new standardized methodology (Prescott *et al.* 2007).

This methodology is statistically robust, being based on determinations of the  $ED_{50}$  rather than the  $ED_{99}$ , and can be used to measure the magnitude of the resistance by providing an estimate of the Resistance Factor (the multiple of the dose required to produce the same response in the resistance strain as in the susceptible strain). Animals are dosed and then a blood sample is taken 24 hours later to determine the coagulation time. For each active ingredient, species and sex combination, using twice the susceptible  $ED_{50}$  as the discriminating dose provides a slightly more conservative assessment of resistance than the published methodologies, and dosing at higher multiples of the  $ED_{50}$  can be used to provide an estimate of the Resistance Factor. For example, a 50% response in the test animals following administration of **six** times the  $ED_{50}$  dose would indicate a resistance factor of approximately six (assuming the incidence of resistance in the population is 100%). Table 9 below lists the susceptible  $ED_{50}$  values for the different anticoagulant active ingredients, for both Norway rats and house mice

**Table 9:** Susceptible ED<sub>50</sub> values for a range of anticoagulant active ingredient generated against Norway rats and House mice, for use in the assessment of anticoagulant resistance (Prescott *et al.* 2007) (Rat and mice strains were commercially available local laboratory rodent strains)

Species (strain)	Anticoagulant	ED50 (mg/kg)	
		Male	Female
Norway rat (CD)	Warfarin	1.51	2.13
Norway rat (CD)	Diphacinone	0.86	1.12
Norway rat (CD)	Chlorophacinone	0.54	0.67
Norway rat (CD)	Coumatetralyl	0.36	0.44
Norway rat (CD)	Bromadiolone	0.47	0.61
Norway rat (CD)	Difenacoum	0.65	0.79
Norway rat (CD)	Difethialone	0.43	0.49
Norway rat (CD)	Flocoumafen	0.29	0.34
Norway rat (CD)	Brodifacoum	0.22	0.23
House mouse (CD-1)	Bromadiolone	1.96	1.68
House mouse (CD-1)	Difenacoum	0.85	0.56
House mouse (CD-1)	Difethialone	0.83	0.83
House mouse (CD-1)	Flocoumafen	0.51	0.44
House mouse (CD-1)	Brodifacoum	0.39	0.35

The new BCR methodology has been used successfully to quantify resistance in wild populations of Norway rat prior to conducting fully monitored field trials, in an attempt to identify the Resistance Factor threshold for a particular anticoagulant active ingredient that will result in a treatment failure (Buckle *et al.* 2007; Endepols *et al.* 2007)

#### VKOR Activity

Numerous studies have reported the determination of kinetic constants and/or enzyme activity for VKOR in susceptible and resistant Norway rats. Several protocols may be used (Lasseur *et al.* 2005, Lasseur *et al.* 2007) on liver microsomes or any other enzyme system (recombinant cells for instance as in Rost *et al.* 2009). This assay can be conducted on a limited number of animals and does not require extensive rodent trapping. Also, animals do not need to be maintained in laboratory facilities. This approach provides a very good estimate of the enzyme activity and the resistance status of a population. It is also rapid and cost-effective, and all AVKs can be tested in a very short time period (Lasseur *et al.* 2006). This determination requires analytical material (HPLC or LC-MS) for routine determination. Only a few strains will not respond to this assay: metabolic resistance will not be detected, for instance.

#### Metabolism

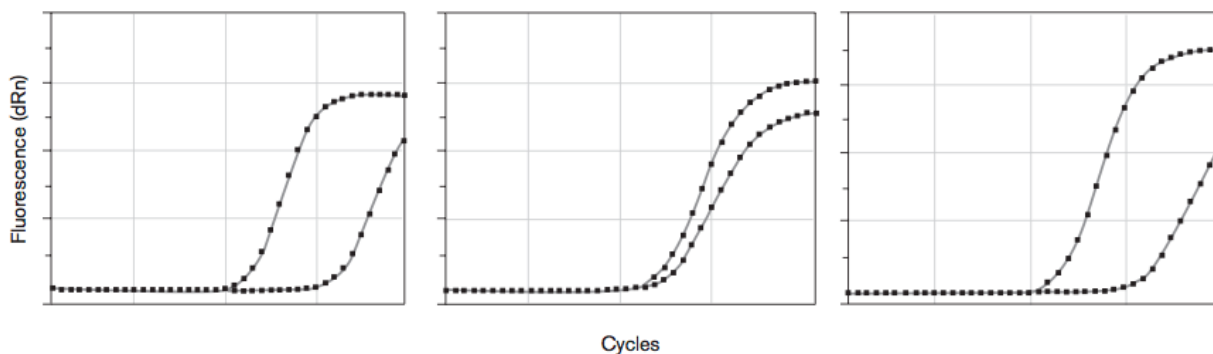
Although CYP metabolism of warfarin has been described in the Norway rat (Ishizuka *et al.* 2007), in the roof rat (Sugano *et al.* 2001; Ishizuka *et al.* 2007) and in the house mouse (Sutcliffe, 1990), it is not a standard tool for the monitoring of resistance so far. More work needs to be done to determine the CYP450 isoforms involved, as well as the AVKs concerned, in order to develop this approach as a routine monitoring tool for metabolic resistance in rodent species. Undoubtedly, this resistance pathway needs to be more deeply investigated at that point. Nevertheless, it is an *in vitro* approach, like the VKOR activity assay, and requires microsomes and analytical devices to look at warfarin metabolites produced. Preliminary work conducted in the laboratory of the Lyons Veterinary School indicates that each AVK is metabolised by a different CYP450 isoform (Lattard V, personal communication).

#### Vkorc1 sequencing or genotyping

This last *in vitro* approach has been elaborated in the work of various authors including Rost *et al.* (2004), Pelz *et al.* (2005) and Grandemange *et al.* (2010). Basically, sequencing of VKORC1 only requires a piece of animal tissue (tail, ear, fur may be used) and does not necessitate live-trapping of rodents. In the Norway rat, considering the

major importance of the SNPs identified so far, sequencing of VKORC1 appears as one of the most interesting and cost effective tools to date. As compared with other resistance detection assays, it can be applied rapidly on large scale samples, even across a country (Grandemange *et al.* 2010). Coupled with laboratory and field studies and other *in vitro* techniques it can provide a good indication of the resistance level conveyed by a given mutation. Special primers have been used in rats VKORC1 e.g., exon 1 (VKORC1 GenBank accession no. NM-203 335) are exon1-forward 5' -GTGGCGGGTTCTCCCTC-3' ), and exon1-reverse primer (5'-GACTCCAAAATCATCTGGCAACC-3' ).

In very specific situations, especially when only one mutation is expected or known to occur, this approach may be simplified even further with the use of qPCR and specific primers. In this case, the different genotypes (homozygous, heterozygous, resistant and susceptible) are tested and their characteristic cycle threshold values ( $\Delta C_t$ , i.e. the difference between the matched and the mismatched primer extension for homozygous rats and the absence of such a difference in  $C_t$  values for heterozygous animals) gives significantly different results (see Figure 11).



**Figure 11:** fluorescence and qPCR determination of the genotype of Norway rats (for the Y139F mutation). The first graph represents the SYBER green fluorescence curves for a SD/SD animal, with a cycle threshold (DCt) of – 9.28 cycles, the second graph represents the SYBER green fluorescence curves for a SD/Y139F animal, with a DCt of 0.91 cycles and the third graph represents the SYBER green fluorescence curves for a Y139F/Y139F animal, with a DCt of 9.83 cycles. dRn, baseline corrected normalized fluorescence (*adapted from Grandemange et al. , 2009*)

This last approach is the most cost-effective one when the resistance status of a population is known. It is used extensively in the Lyons Veterinary School to investigate wild populations of rats, since the Y139F mutation is the major (sometime only) SNP detected so far in French study sites. Nevertheless, a similar approach can be conducted with specific primers for each SNP and the results combined. Only very small pieces of tissue are required and there is evidence that this technique could be applied to fecal samples, which are extremely common and easy to collect when rat populations are installed (Pelz, 2007).

Molecular tools are widely available and private or public labs may be able to operate those systems. It is important, however, to understand that identifying a mutation is only part of the test: **each mutation needs first to be characterized in the given species to be considered as responsible for resistance or not. For this, other data from laboratory and field experiments are essential.**

#### 4.7. Resistance testing – summary

Table 10 summarizes the test methods available to determine the resistance status and/or level of a given rat strain.

Table 10: summary of the methods available to determine the resistance status of a rodent.

	Norway rat	Roof rat	House mouse
Feeding test	OK <ul style="list-style-type: none"> <li>- standardized,</li> <li>- good estimate of practical resistance</li> <li>- needs live animal</li> <li>- 21 days duration</li> </ul>	OK <ul style="list-style-type: none"> <li>- standardized,</li> <li>- good estimate of practical resistance</li> <li>- needs live animal</li> <li>- 21 days duration</li> </ul>	OK <ul style="list-style-type: none"> <li>- standardized,</li> <li>- good estimate of practical resistance</li> <li>- needs live animal</li> <li>- 21 days duration</li> </ul>
BCR test	OK <ul style="list-style-type: none"> <li>- standardized,</li> <li>- good estimate of practical resistance</li> <li>- Needs live animal</li> <li>- &lt;48h</li> </ul>	? Unpublished	OK <ul style="list-style-type: none"> <li>- standardized,</li> <li>- good estimate of practical resistance</li> <li>- Needs live animal</li> <li>- &lt;48h</li> </ul>
Vkor activity	OK <ul style="list-style-type: none"> <li>- in vitro</li> <li>- test for all AVK</li> <li>- limited number of animals</li> <li>- rapid</li> <li>- not for metabolic resistance</li> </ul>	OK <ul style="list-style-type: none"> <li>- in vitro</li> <li>- test for all AVK</li> <li>- limited number of animals</li> <li>- rapid</li> <li>- not for metabolic resistance</li> </ul>	OK <ul style="list-style-type: none"> <li>- in vitro</li> <li>- test for all AVK</li> <li>- limited number of animals</li> <li>- rapid</li> <li>- not for metabolic resistance</li> </ul>
Vkorc1 genotyping	OK <ul style="list-style-type: none"> <li>- well adapted</li> <li>- routine</li> <li>- needs information on mutation/activity</li> </ul>	? <ul style="list-style-type: none"> <li>- limited evidence</li> <li>- routine</li> <li>- needs more information in this species</li> </ul>	OK <ul style="list-style-type: none"> <li>- limited evidence</li> <li>- routine</li> <li>- needs more information in this species</li> </ul>
Metabolic resistance	Suspected <ul style="list-style-type: none"> <li>- not routine</li> <li>- different for each AVK</li> </ul>	Described <ul style="list-style-type: none"> <li>- not routine</li> <li>- different for each AVK</li> </ul>	Described <ul style="list-style-type: none"> <li>- not routine</li> <li>- different for each AVK</li> </ul>

#### 4.8. Monitoring systems for resistance

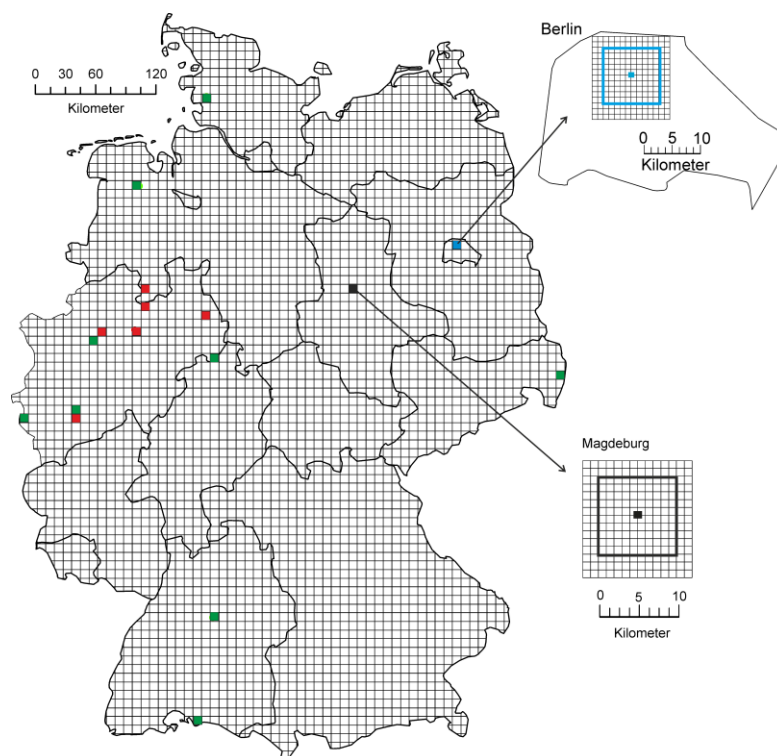
Apparent increase in geographical areas where anticoagulant resistance is found in EU Member States, and increased severity of resistance at resistance foci, is of the highest concern and a significant threat to sustainable use of rodenticides. This is particularly the case because of our virtual complete reliance on anticoagulant active substances for rodent control in the EU, due to the limitations of alternatives. Therefore, anticoagulant resistance management is an essential part of sustainable use. Several guidelines are available which set out resistance management strategies, aimed both at preventing the selection of resistance and the removal of resistant infestations once they are established (Rodenticide Resistance Action Committee, 2003; Buckle, 2013; Buckle *et al.*, 2010).

Two guiding principles emerge. The first is the requirement to monitor rodent infestations for resistance. The development of novel DNA sequencing techniques for resistance monitoring is a major breakthrough in this endeavour. The second is that use of anticoagulant active substances that are resisted by rodent infestations should cease at resistance foci and effective alternatives should be used. The reasons for this are that continued use exacerbates the severity of resistance and promotes its spread. The use of resisted anticoagulants is also ineffective and therefore presents unnecessary risk to the environment. The development of comprehensive resistance monitoring programs in Member States where resistance occurs, the dissemination of information on the physiological nature and distribution of resistance and the adoption of robust resistance management strategies are essential to sustainable use of anticoagulants in the EU (Cefic EBPF, 2012).



Very limited information about resistance monitoring systems is available. We could not find an existing sustainable resistance monitoring program in the EU. Research projects to elucidate distribution of resistance have been conducted in Belgium, Denmark, France, Germany, the Netherlands and UK (see above). Below are developed two examples of nation-wide strategies towards monitoring of AVK-resistance in commensal rodents (in Germany and in the UK).

In Germany first steps of a sustainable monitoring system have been initiated. Since 2001 at the JKI more than 2000 tissue samples from Norway rats and 500 house mice have been sequenced or analyzed for the amino acid exchange Tyr139Cys/ Tyr139Phe on VKORC1. Samples also from locations with anticoagulant control problems, were taken by JKI, research partners (e.g. RRAC) or pest control operators. In addition, in Hamburg 121 samples have been checked since 2007 (Institute of Hygiene and Environment, Hamburg), and in Lower Saxony more than 600 Norway rat samples have been analyzed for Tyr139Cys by the Lower Saxony State Office for Consumer Protection and Food Safety since 2008. A cumulative distribution of resistance of Norway rats and house mice is shown on the website of the Expert Committee on Pesticide Resistance - Working Group Rodenticides (ECPR – R) ([www.jki.bund.de/stand-rodentizidresistenz.html](http://www.jki.bund.de/stand-rodentizidresistenz.html)) and in a further publication (Esther *et al.* in press). ECPR-R in Germany published plans on their website for creating an “ideal” monitoring system. Detailed recommendations for the ongoing and improvement of the existing monitoring programme are compiled and a conceivable map for developing a resistance roster, including the sequencing results, is shown (Figure 12).



**Figure 12:** Suggested map for analyzing the local distribution of VKORC1 sequencing results of Norway rats (green: no amino acid exchange, red: Tyr139Cys, black: Ser79Phe, blue: Ala26Thr). Presentation based on a cluster of 10 km x 10 km (1km x 1km for locations with detected amino acid exchange), from ECPR-R (Esther *et al.* in press).

Historically, resistance monitoring in the UK was actively carried out by the Ministry of Agriculture Fisheries and Food (MAFF), which was responsible for the development of many of the early resistance testing methodologies. The MAFF Pest Infestation Control Laboratories, which subsequently became the Central Science Laboratory (CSL) and then the Food and Environment Research Agency (FERA), actively conducted research in this area, but is no longer routinely conducting research on this topic, and the breeding colonies of resistant Norway rats strains originally set up by Government laboratories, are no longer maintained. In April 2013, the FERA Wildlife Program

of work will transfer into the Animal Health and Veterinary Laboratory Agency (another Agency of DEFRA). The impact of this relocation on future research on resistance is unknown.

In 2009 a consortium of industry funders, headed by Killgerm Group Limited, entered into an agreement with the Universities of Huddersfield and Reading, to conduct molecular sequencing of the VKORC1 resistant gene of Norway rats sampled from six areas of the UK; with the University of Reading responsible for samples originating from Central Southern England, South East England and East Anglia, and the University of Huddersfield responsible for samples originating from 'Wales and West Midlands', 'Yorkshire and Nottinghamshire' and 'Gloucester and Avon'. The collection and delivery of samples to both Universities was the responsibility of the consortium, and has been problematic.

This project is on-going, and the University of Reading has circulated preliminary results to the consortium of funders, and has presented the data on the website of the Rodenticide Resistance Action Group (RRAG). To date, no results from the University of Huddersfield have been made available to the consortium. Nine mutations of the VKORC1 gene have been identified in UK Norway rats, three of which have the potential to cause a practical impact on field control using the second generation anticoagulants, bromadiolone and difenacoum (Buckle, 2013, Buckle and Prescott 2012).

In addition to the above, a number of *ad-hoc* resistance monitoring surveys have been conducted by the University of Reading in central southern England.

With house mice, resistance to the first generation anticoagulants is known to be widespread, and in one location near Reading (in Berkshire), practical resistance to bromadiolone has been established. To date two mutations of the VKORC1 gene have been identified in animals originally trapped from the Cambridge area and the Reading area, and the UK Rodenticide Resistance Action Group is now planning a survey of house mouse resistance across the UK, along similar lines to that of the Norway rat survey.

In Ireland, no monitoring of resistance in Norway rats or house mice has been conducted. However, discussions are on-going about establishing a monitoring system for Norway rat resistance, with samples shipped to the University of Reading for analysis.

A major advantage of the new molecular resistance testing methodology is that it does not involve laboratory tests on live animals. However, the test itself provides little information about the potential impact of the different VKORC1 mutations on field control. Early studies of Norway rat resistance in the United Kingdom have investigated the impact on field efficacy at a number of resistance foci, and more recently it has been possible to link these resistance foci with mutations of the VKORC1 resistance gene. Further work on the potential impact of the VKORC1 mutations on field efficacy is being conducted using the new resistance testing methodology that is based on the blood clotting response work conducted at the University of Reading using this new methodology, has established Norway rat susceptibility baselines for warfarin, diphacinone, chlorophacinone and coumatetralyl, and Norway rat and house mouse susceptibility baselines for bromadiolone, difenacoum, difethialone, flocoumafen and brodifacoum, and work is on-going, partly funded by the University of Reading and partly funded by industry, through the Rodenticide Resistance Action Committee of CropLife International, to generate resistance baselines for established resistant strains of Norway rat and house mice that are each homozygous for one of the VKORC1 mutations. Comparison of resistance baselines with the susceptibility baselines will provide a resistance ratio that can be used to assess the likely impact of each VKORC1 mutation on field control. Similar studies are on-going at other European laboratories (e.g. JKI) using established resistant strains of Norway rat that are each homozygous for other VKORC1 mutations.

## 5. Objective 1-1-c: Alternatives to rodenticides

### 5.1. Summary

Alternatives to chemical control with AVK have been reviewed.

- Mechanical and non-chemical alternatives can be effective in very specific and limited circumstances
- Repellents and attractants could be promising but need a lot of studies and development to be fully effective (especially pheromones).
- Chemosterilants are being tested but, given the high reproductive capacity of rodents and the environmental concerns for this kind of compounds, they may not be available in a near future
- Rodenticide management strategies have to be developed or redesigned, including rotation programs and redesigning formulations to improve commercial products.
- Resistance-management strategies need to be strengthened and applied whenever resistance to AVK is suspected.
- Rodent population management practices should be favored, especially with respect to rodent-proofing. Several international or European guidelines are available and their use should be reinforced.

Today it is considered to be the case that alternatives to rodenticides rarely work as stand-alone and cost-effective control methods, but suitable methods may be integrated into comprehensive rodent control management programme (Buckle and Smith, 1994).

### 5.2. Mechanical / non-chemical alternatives alternatives

#### Traps and glue-traps

From the old killing-trap to the newest electronic traps with integrated GPS monitoring and email alert, trapping is a control strategy that has to be considered under all circumstances. Among its advantages are: simplicity of use, limited cost (except for recent electronic traps) and re-usable device. Well-conducted trapping programs have limited environmental impacts but the accidental trapping of non-targets (by-catch) is not uncommon and usually fatal when kill traps are used. Some disadvantages include limited efficacy, a requirement for considerable skill and experience in effective setting of traps, need for prolonged use to overcome neophobia in rats, frequent monitoring and activation of traps, removal/destruction of dead rats and safe and hygienic disposal of dead bodies to avoid disease transmission. Despite all possible limitations, traps are still widely used across the world but are rarely used cost-effectively to remove large and established rodent infestations.

#### Ultrasonic rodent repellents

A wide variety of electronic rodent repellent devices have been researched, developed, and marketed over the past 30 years.

A vast array of devices that operate above the human-hearing frequency range have been manufactured and marketed as electronic pest control "tools" that can prevent rodent invasions, repel rodents in existing infestations, or enhance conventional rodent control methods (e. g. baiting and trapping) by influencing rodent movements to improve efficacy in an "integrated" approach. Almost all applications recommended for ultrasonic rodent control devices are in structures (e.g., homes, businesses, warehouses).

Most devices generate ultrasonic output in the 70-140 dB range of intensity as measured 30.5 cm from the transducer. Putative mechanisms of action for ultrasonic rodent repellency with commercially manufactured devices have included pain, interference with communication, disorientation, or fear-inducing danger signals. Ultrasonic devices capable of producing pain (i.e., > 140 dB), would (1) exceed the OSHA Standards for 8-hr workplace exposures in humans, (2) probably lead to deafness in short order in the rodent target species, (3) produce objections related to animal welfare and humaneness, and (4) be effective only over very limited areas due to the rapid decrement in intensity of ultrasound as the distance from the source is increased (Schumake, 1995).

Controlled efficacy test protocols for ultrasonic devices have indicated only marginal repellency effects (i.e., 30-50% reduction in movement activity), and rapid habituation (i.e., no significant repellency effects beyond 3 to 7 days of exposure). None of these devices seems to have a satisfactory effect on the target animals even when used under optimal conditions (Lodal, 1993; Iglisch & Ising, 1985). This conclusion holds for both preventive and corrective applications, and for those applications that include combining ultrasonic rodent repellent devices with baits, traps, or glue boards (Schumake, 1984).

No rodent control ultrasonic devices have been developed that are capable of delivering "supernormal" stimuli that could exceed natural repellency generated by co-specifics or predators (Bomford & O'Brien, 1990). Research and development of ultrasonic and other electronic rodent pest control devices are generally considered low priority endeavors. A more productive research approach could involve an assessment of natural rodent alarm or distress calls as repellent stimuli (Menke *et al.*, 2012), although habituation is likely also to be a problem with this. The capabilities of digitally recorded or synthesized critical frequencies have continued to improve at a rapid pace within industry. It is also possible that ultrasonic stimuli could be used in combination with other sensory modalities (e.g. alarm pheromones, predator odors) to enhance repellency in terms of potency and duration. With the recent increased interest in and emphasis on repellency in general in the field of animal damage control (Jacob, 2013), such questions involving cross-modal repellents could have increased priority in future rodent control research (Schumake, 1995).

#### Electromagnetic devices

These units for controlling pest species including rodents were offered for sale during the 1970's. Devices were advertised as capable of generating their own magnetic fields or distorting the earth's magnetic fields in such a manner that animal pest species (but not "beneficial species") stopped eating, drinking, and reproducing. Laboratory efficacy tests on the control of Norway rats and field efficacy tests indicated definitively that such devices have no effect on feeding, drinking, mating, or infestation patterns (Schumake 1995). Fitzwater (1978) found little scientific support for their use in pest control.

#### Vibration and Shock devices

Other electrically operated devices that have been marketed for rodent control include vibration devices designed to frighten pests from buildings or agricultural crops. Efficacy for such devices has yet to be demonstrated for any application (Schumake, 1995). Recent attempts to use vibration to scare away water voles have yielded equivocal results (Menke *et al.* 2008). Electrical barriers and electrical shocking devices have been used in attempts to control rodent problems where baiting and trapping have failed. Electric barriers may be feasible in some circumstances, though as with all methods they must be compared with the efficacy, cost-effectiveness and acceptability of alternatives (Buckle and Smith, 1994).

### **5.3. Chemical repellents / attractants**

There is no effective chemical repellent available that is not also toxic (Meehan, 1984). An important requirement of a repellent is that it should repel by olfaction rather than taste, or else damage to a commodity or structure will occur during tasting (Buckle & Smith, 1994). The idea of using distasteful or foul-smelling materials to prevent losses from animals is not new and probably goes back to antiquity (Welch, 1967). However, increased importance has been placed on this method of "control", and research has been stepped up in recent years, in an effort to develop more effective and useful materials to reduce losses by commensal rodents that damage food packages, textiles, and other materials of economic importance. House mice and rats find some chemical tastes and odors distasteful, but chemical repellents usually are not a practical method of controlling rodent damage (West & Messmer, 1998). As with most repellents, house mice and rats may become accustomed quickly to the chemical and gnaw on objects even though the repellent. Substances such as moth balls (naphthalene) or household ammonia, in sufficient concentration, may have at least temporary effects in keeping house mice out of certain enclosed areas.

Some extractions of plant material have repellent properties, possibly due to plant secondary compounds that have evolved to minimize damage by herbivores. Recent research demonstrated efficacy of several substances in repelling field rodents (Fischer *et al.* 2013a, b).

One idea of research biologist is to detect a pheromone or other chemical that communicates a response other than a simple distaste (Buckle and Smith, 1994). Pheromones have been reported to play an important part in social interactions in small rodents. Some of the chemical messengers involved may have a potential use in control of rodent pests, both as repellent or attractant (Christiansen, 1975). The use of chemical signals in communication offers at least two major advantages: the "message" can linger on long after the "sender" has left the stage, and communication works as well in total darkness as in broad daylight. In rodents, pheromones can be divided into two groups: (1) signal pheromones or releasers, i.e. substances that trigger immediate behavioral responses, and (2) primers, i.e. substances that produce effects that become manifest only after some time has passed, by working through the neuro-endocrine pathway. A couple of releasers (signal) pheromones seem to be of more immediate interest to pest control. Those are the sex attractants, and the aggression eliciting

pheromones (Christiansen, 1975). The sex pheromone, which is found in female rodent urine or vaginal discharge could be an important substance for attracting males to traps or to stations with toxic bait or chemosterilants. An attempt to evaluate this potential possibility has been made by (Field, 1971), who reported increased acceptability of chemosterilant bait by rats on treatment with estrus urine. However, more research is needed to quantify the attractant capacity of these pheromones, and to isolate and identify the chemical components that are responsible for this presumed attraction. The males generally are more active than females in searching mates. Nevertheless, a male produced odor attracting females could be very useful in rodent control. The preputial glands in house mice produce a factor that is strongly attractive to sexually experienced females, and a similar attraction may exist in rats. The role of the preputial gland in rodent communication should be an object of intensive study by rodent pest control researchers, because of the possible potentials in manipulation of rodent populations (Christiansen, 1975). A rodent pheromone (sexual attractant) has been suggested in a recent patent (WO2013003946), based on extracts of lactating females in baits. A substantial body of scientific information on the interactions between rodents and the pheromones they produce has been generated at the University of Liverpool, UK, within the research team led by Prof. Jane Hurst (e.g. Hurst and Beynon, 2012). A collaborative programme involving government, non-governmental and industry groups has been set up to exploit this technology in rodent pest management (see: <http://www.liv.ac.uk/integrative-biology/news/articles/pheromone-helps-mice-remember-where-to-find-a-mate/>).

#### 5.4. Fertility control

Reducing a pest's birth rate would decrease the "standing crop" population of pests over time (Buckle and Smith, 1994; Sinclair, 1989). There are various possibilities for reducing the birth rate of the pest such as removal of nesting opportunities, disrupting of reproductive behavior, reproductive inhibitors and biological sterilants (Buckle & Smith, 1994).

Fertility control could provide another potential tool for vertebrate pest management (Hinds, 2011 ; Jacob *et al.* 2007 ; Jacob *et al.* 2008). A lot of conceivable control methods by influencing the reproduction status are possible. Smith and Greaves (1987) suggest that male chemosterilants could have an important role to play in the management of anticoagulant resistance by sterilising resistant survivors of anticoagulant treatments, and Marsh and Howard (1970) discuss the use of chemosterilants in integrated programmes alongside conventional rodenticides. Reproductive management is an approach that has potential, but remains to be proven as an effective means of control comparable with chemical rodenticides. For the management of commensal rodent problems, in which the protection of the public from rodent-borne diseases is a high priority, the time for fertility control to be effective may be too long compared to the almost immediate result achieved by the use of rodenticides.

A study is currently undergoing in the US, in order to demonstrate the efficacy of ContraPest<sup>®</sup>, a chemosterilant rat bait containing 4-vinylcyclohexene diepoxide (VCD). This substance has been recognized as a chemical inducer of menopause in animals (Hoyer *et al.* 2001). VCD needs to be administered on a prolonged basis to mimic follicular atresia and induce oocyst depletion. After 15 days of daily dosing, healthy follicles are reduced by 50% in female rats. This effect is permanent and can be observed in all mammalian species (Hoyer *et al.* 2001, Van Kampen *et al.* 2011). ContraPest<sup>®</sup> is a registered bait currently being tested in a large-scale field trial in the urban area of New York city ([www.senestech.com/](http://www.senestech.com/))

However, fertility control may be an appropriate approach to limit the peak population during field rodent eruptions sufficiently to minimise crop damage; a view supported by modeling (Davis *et al.* , 2003), enclosure studies (Chambers *et al.* , 1999; Jacob *et al.* , 2004) and in the field (Liu *et al.* , 2012).

The advantages of bait-based fertility control products include large scale applicability and prevention of pain and suffering of in target and non-target species compared to the application of rodenticides.

#### 5.5. New patterns of use for existing rodenticides

As a result of the limited potential for alternative methods, and because no novel rodenticide is close to marketing, the vast majority of rodent control operations in the EU are conducted using AVKs, and will be so for the foreseeable future. Rodenticide management strategies have to be developed or redesigned, including rotation strategies with non-anticoagulant rodenticides (if available). Requirements and recommendations for control methods and use of AVKs should be reconsidered to optimize their efficacy.

Promising approaches for re-organization of rodenticide management strategies are a substantial resistance management and an optimized rodent control practice. Similarly, redesigning formulations and working on



palatability, encapsulation, bait degradation after first consumption could be interesting leads for development of improved commercial products.

### 5.6. Anticoagulant resistance management

Anticoagulant resistance management is an essential part of sustainable use. Several guidelines (from RRAG, RRAC, ECPR-R) are available which set out resistance management strategies, aimed both at preventing the selection of resistance and the removal of resistant infestations once they are established

One area that merits further investigation is the potential effect of the additive menadione (vitamin K<sub>3</sub>) to farm animal feeds across Europe. An important pleiotropic cost of resistance is an increased dietary requirement of Vitamin K, with certain homozygous resistant animals reported to have vitamin K requirements 20 times as great as that of susceptible animals (Greaves and Culen-Ayres, 1988). In normal circumstances in the absence of an anticoagulant treatment, such animals would be at a disadvantage compared to susceptible and, to a lesser extent, heterozygous animals. There would be strong selection against homozygous animals and weaker selection against heterozygous animals. Indeed, Smith and Greaves (1987) suggested that resistance might largely disappear from Norway populations in the absence of anticoagulant treatment. However, the widespread inclusion of vitamin K<sub>3</sub> in animal feeds will have the effect of completely removing this pleiotropic cost.

Vitamin K<sub>3</sub> is a common additive to the diets of farm animals, and is therefore available to wild rodent populations in the farm environment. Reasons for the inclusion of vitamin K<sub>3</sub> in animal feeds are equivocal. It is believed that poultry, such as broiler chickens and turkeys, are more likely to develop signs of vitamin K deficiency than other species of animals and it is thought that this can be attributed to their short digestive tract and the fast rate of food passage. However, ruminant animals such as cattle and sheep do not appear to need a dietary source of vitamin K due to the microbial synthesis of this vitamin that occurs in rumen, and the vitamin K requirements of other farm herbivores may be met from sources present in plants and from microbial synthesis in the lower gut (Pillai *et al.* 2008).

With the possible exception of poultry, the removal of vitamin K<sub>3</sub> from farm animal feeds could put resistant rodent populations at a significant disadvantage in the field situation, and would thus be a useful supplement to a wider resistance management strategy.

### 5.7. Rodent control practice

Rat control should be conducted keeping in mind that chemical control cannot be the only alternative. As stated by various international organizations (e.g. WHO, EBPF), rodent infestations can only occur if they are encouraged. Food, water and shelter are necessary requirements for rats and mice. Therefore, denied access to these resources should be the first objective of effective rodent control. Integrated Pest Management in rodent pest management considers that rodent-proofing of buildings should be of major importance. Specific guidelines exist for this purpose. Small infestations may be controlled with non-chemical alternatives such as traps, for mice, carefully placed in order to avoid non-target trapping of small mammals for instance. The WHO recommends the use of rodenticide in a broader strategy including building-repair, monitoring of high-risk areas etc, and it should really be considered as a community problem (Bonney *et al.* 2008).

Rat control is often performed irregularly and with poor preparation and documentation, especially on small- and medium-sized farms. Underestimating the extent of an infestation is considered the most common reason for the failure of control operations (Meyer, 1994). The goal is to establish an effective rodent-control program that is easy to conduct. A previous study investigated whether the allocation of rodenticide baiting-points to specific structural elements as opposed to only assigning the baiting-points to places where there were obvious signs of rat activity, would result in complete rat eradication (Endepols *et al.* , 2003). The study was conducted on 25 farms in Germany's Muensterland, an area with a high density of pig farming and a long history of unsatisfactory approaches to rat control on many farms. It was found that the strict allocation of bait to specific structural habitat elements on farms guarantees a high degree of control success. As a result of this study, a rodent control program was established that is easy to conduct, effective, and easy to be monitored. The control scheme employed is an effective alternative to current rat control advice, which recommends carefully determining infestation, searching for signs of rat activity in order to give an indication of where to place the bait (Kaukeinen, 1994). This new approach was incorporated into the self-explanatory computer programme BayTool®, which is available on the internet ([www.baytool.info](http://www.baytool.info)).

## 6. Objective 1-1-d: public / private monitoring systems of use

We questioned public/private professionals in order to investigate the amount of AVKs used for rodent control. Private companies monitor their production and could provide reliable estimates of production/use of AVK. It should be noted, however, that these figures are usually considered highly confidential by these companies and will not be communicated easily.

In some countries, it is mandatory to provide this information (amount of product sold) to the regulatory authority. We could obtain, for instance, these figures (see Table 11) for France over the last few years (SIMMBAD database):

**Table 11:** amount of selected AVK produced (kg of bait) in France from 2010 to 2012.

	2010 (KG)	2011(KG)	2012(KG)
AVK-1	238;459	246,364	168,523
AVK-2	1;616;608	1,698,083	1,876,613
AVK-3	843;301	929,279	524,831
AVK-4	598;023	1,079,124	736,288
AVK-5	1;156;466	800,955	396,884
AVK-6	0	0	0

These figure should be take with caution, since they include all products sold, i.e. a same product may be sold from a chemical company to a manufacturing company and further sold to a retailer, therefore they overestimate the total amount of product actually used. They only give an estimate of the total amount of AVK used, since most products have a shelf life of 2 years. Last, they only provide information on the amount of commercial product sold, not on the active ingredients.

We are still investigating existing monitoring systems of use at that stage. Definite data will be included in this report if available.

## 7. Objective 1-1-e: Monitoring non-target species incidents

### 7.1. Summary

Monitoring systems for human accidental poisoning are available in most European countries and worldwide. Human AVK exposure is usually considered as a benign problem, given the small amount ingested, the presence of bittering agents and the time to onset of signs.

Animal poisoning cases are less commonly evaluated. Most countries will have some data available from Human Poison Control Centers, very few countries have a dedicated system to collect domestic animal poisoning cases. Wildlife exposure is a common feature in all European countries and has been reported in the scientific literature. Several countries have set up surveillance systems for wildlife poisoning incidents and can provide valuable long-term data.

Evidence from the US points out that human cases of AVK exposure decreased before the first RMM were implemented in 2008. Recent evidence shows that domestic animal exposure is shifting from AVK to other rodenticides, such as bromethalin, with more dramatic clinical signs. Wildlife exposure does not seem to be affected by the RMM and the very limited use of AVK in the US.

### 7.2. Human poisoning cases

It is not the object of this review paper to discuss in depth the toxicological aspects of anticoagulant rodenticides in human beings. Only reference to accidental exposure will be given.

A comparison between human and animal exposure recently demonstrated that AVK exposure was rare and usually involved young children. Most cases resulted in no harmful exposure and resulted in very limited (if any) clinical signs. Intentional exposure (suicidal attempts for instance), although uncommon, resulted in more severe cases (Berny *et al.* 2010). Watt *et al.* (2005) also provided a general toxicology paper on human toxicity of AVKs. Recently, a survey was published of AVK poisoning in human beings, domestic and wild animals based on poison control center data (Berny *et al.* , 2010). Human exposure is fairly limited, mostly documented in young children. Thanks to the use of bittering agents, the vast majority of AVK exposure in humans result in no clinical signs. Only

suicidal attempts may result in severe poisoning cases, but, generally speaking, AVK exposure in humans does not result in prolonged monitoring of patients and does not necessitate hospitalisation (Caravatti *et al.* 2007).

In the EU, MSs have established poison control centers and hotlines available to the general public, medical doctors, emergency units etc. These units serve either on a regional or national basis, depending on the country. Most countries have at least one poison control center or toxicology unit.

Below are several examples of Poison Information Centers in the UK, Ireland or France. An updated list of active poison control centers around the world can be found on the WHO web site

([http://www.who.int/gho/phe/chemical\\_safety/poisons\\_centres/en/index.html](http://www.who.int/gho/phe/chemical_safety/poisons_centres/en/index.html))

**Table 12:** Poison control centers in Europe (adapted from the World Health Organization, 2012) (in blue: Non-EU countries)

Country	Name of poison centre	Hours of operation	Available to the public
Austria	Vergiftungsinformationszentrale (Poisons Information Centre)	24hrs	Yes
Azerbaijan	Poison Information Unit	24hrs	Yes
Belarus	The Belarus Republican Poison Centre,	24hrs	Yes
Belgium	Centre Antipoisons-Antigifcentrum National Toxicological Information Centre at National Clinical	24hrs	Yes
Bulgaria	Toxicology Centre	24hrs	No
Croatia	Poison Control Centre Zagreb	24hrs	Yes
Czech Republic	Toxicological Information Centre	24hrs	Yes
Denmark	Poison Information Center	24hrs	Yes
Estonia	Estonian Poison Information Centre		Yes
Finland	Finnish Poison Information Centre	24hrs	Yes
France	Centre Antipoison et de Toxicovigilance de Angers	24hrs	Yes
France	Centre Antipoison (Bordeaux)	24hrs	Yes
France	Centre Antipoison de Lille	24hrs	Yes
France	Centre Antipoison de Lyon	24hrs	Yes
France	Centre Antipoison de Marseille	24hrs	Yes
France	Centre Antipoison et de Toxicovigilance de Nancy	24hrs	Yes
France	Centre antipoison et de toxicovigilance de Paris	24hrs	Yes
France	Centre Antipoison et de Toxicovigilance de Rennes	24hrs	Yes
France	Centre Antipoison et de Toxicovigilance de Strasbourg	24hrs	Yes
France	Centre Antipoison et de Toxicovigilance de Toulouse	24hrs	Yes
Georgia	Disaster Medicine Center	9.00-23.30 daily	Yes
Germany	Clinical Toxicology and Berlin Poison Information Centre	24hrs	Yes
Germany	Poison Center Bonn	24hrs	Yes
Germany	Poisons Information Centre Erfurt	24hrs	Yes
Germany	Poisons Information Centre (Vergiftungs-Informations-Zentrale)	24hrs	Yes
Germany	GIZ-Nord Poisons Centre	24hrs	Yes
Germany	Informations und Behandlungszentrum für Vergiftungen	24hrs	Yes
Germany	Giftinformationszentrum Mainz	24hrs	Yes
Germany	Giftnotruf München	24hrs	Yes
Germany	Giftinformationszentrale Nürnberg	24hrs	Yes
Greece	Poisons Information Centre	24hrs	Yes
Hungary	Health Toxicological Information Service	24hrs	Yes
Iceland	Iceland Poison Information Centre	24hrs	Yes
Ireland	Poisons Information Centre of Ireland	24hrs	Yes
Israel	Israel Poison Information Center	24hrs	Yes
Italy	Bergamo Poison Control Center	24hrs	Yes
Italy	Centro Antiveneni di Firenze	24hrs	Yes
Italy	Centro Antiveneni		
Italy	Centro Antiveneni Genoa	24hrs	Yes
Italy	Poison Control Centre Milano	24hrs	Yes
Italy	Service Antiveneni	08:00-20:00	Yes
Italy	Poison Control Centre and National Toxicology Information Centre	24hrs	Yes
Italy	Poison Centre - Catholic University School of Medicine	24hrs	Yes
Italy	Centro Antiveneni Rome	24hrs	Yes



Italy	Centro Antiveleni Turin	24hrs	Yes
Kazakhstan	Republican Toxicology Centre	24hrs	Yes
Lithuania	Lithuania Poisons Control and Information Bureau	24hrs	Yes
Norway	Department for Poisons Information	24hrs	Yes
Poland	Pomerania Center of Toxicology	24hrs	Yes
Poland	Ośrodek Informacji Toksykologicznej	24hrs	Yes
Poland	National Poisons Information Centre	24hrs	Yes
Poland	Regional Poison Control Centre	24hrs	Yes
Poland	Warsaw Poison Information and Control Centre	24hrs	Yes
Poland	Lower Silesian Poisons and Toxicological Information Centre	24hrs	Yes
Portugal	CIAV - Centro de Informações Antivenenos	24hrs	Yes
Romania	TOXAPEL - Paediatric Poison Centre	24hrs	Yes
Russian Federation	Sverdlovsk Regional Centre of Acute Poisonings	24hrs	Yes
Russian Federation	Research and Applied Toxicology Center of Federal Medical-Biological Agency	24hrs	Yes
Russian Federation	Saint-Petersburg Center of Treatment of Poisonings	24hrs	No
Serbia	National Poison Control Centre	24hrs	Yes
Slovakia	National Toxicological Information Center	24hrs	Yes
Slovenia	Poison Control Centre Ljubljana	24hrs	No
Spain	Instituto Nacional de Toxicologia	24hrs	Yes
Sweden	Giftinformationscentralen (Swedish Poisons Information Centre)	24hrs	Yes
Switzerland	Swiss Toxicological Information Centre	24hrs	Yes
The Former Yugoslav Republic of Macedonia	National Control and Information Center for Poisonings	24hrs	Yes
The Netherlands	National Poisons Information Centre, The Netherlands	24hrs	No
Turkey	Toxicology Department and Poisons Centre	24hrs	Yes
United Kingdom	Regional Medicines and Poisons Information Centre NI	24hrs	Yes
United Kingdom	National Poisons Information Service (Birmingham Unit)	24hrs	No
United Kingdom	National Poisons Information Service Edinburgh	24hrs	No
United Kingdom	National Poisons Information Service (Newcastle Unit)	24hrs	No
United Kingdom	National Poisons Information Service (Cardiff)	24hrs	No

## UK

The National Poisons Information Service (NPIS) is the UK Department of Health's approved, and Health Protection Agency (HPA) commissioned, national service that provides expert advice on all aspects of acute and chronic poisoning. The service comprises four individual Units, based in Birmingham, Cardiff, Edinburgh and Newcastle. Each Unit is staffed by Consultant Clinical Toxicologists and Specialists in Poison Information, who work together to provide a national service, that has been established for almost 50 years.

The role of the NPIS is to provide best practice for the management of cases of poisoning in NHS facilities. It is the service to which frontline NHS staff turn for advice on the diagnosis, treatment and care of patients who have been, or may have been, poisoned, either by accident or intentionally. NPIS provides essential support for NHS healthcare professionals, assisting them in ensuring optimal care for patients in cases of serious poisoning, and, where toxicity is low, offering advice to minimize unnecessary hospital attendances and admissions. NPIS is funded mainly through 'Government Grant in Aid' from the UK Health Departments, some contract income and some research income.

### Ireland

National Poisons Information Centre (<http://www.beaumont.ie/index.jsp?p=105&n=241>)

The main function of the National Poisons Information Centre (NPIC) is to provide information to doctors and healthcare professionals to assist them in the management of acute poisoning. Poisons may include pharmaceuticals, agrochemicals, household products, industrial chemicals and plants. The Centre is also the contracting body for TOXBASE, an on-line clinical toxicology database used by hospital emergency departments and intensive care units, liaising with the database administrators to ensure that relevant Irish products are listed on TOXBASE.

### France

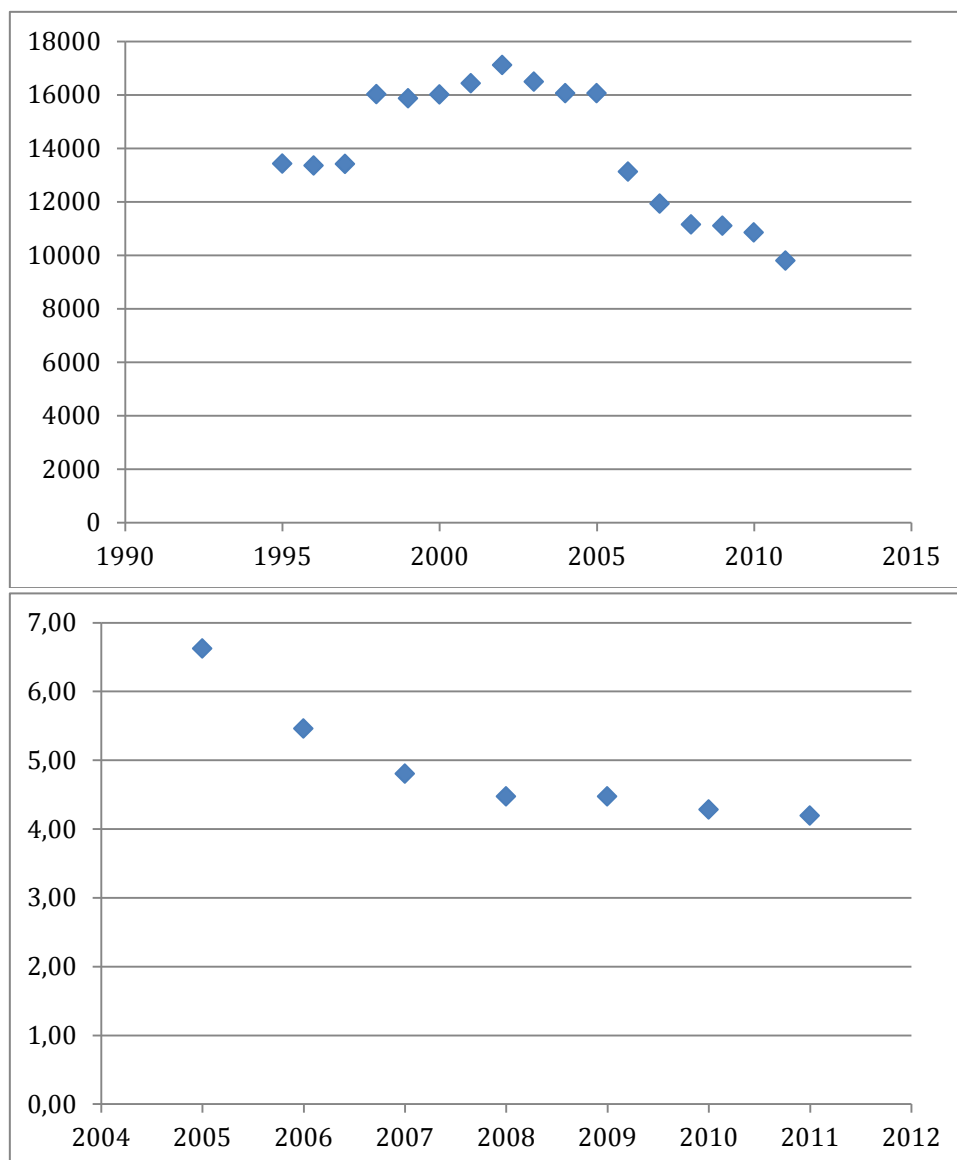
In France, a common system has been set up for the 10 poison control centers, which are operating on a regional basis. They are staffed with trained MDs and pharmacists. They operate on a 24 hours a day basis, year round. These units are publically funded and provide clinical data for the Institut National de Veille Sanitaire (INVS), in charge of all toxicovigilance issues. Each call is entered in a national database for further recall, case-evaluation and statistical analysis.

### Germany

Beside the nine regional poison control centers (Table 12) the Poison and Product Documentation Centre of the Federal Institute for Risk Assessment documents poisoning incidents national wide (<http://www.bfr.bund.de/en/poisonings-10142.html>). Every physician who is asked to treat or assess the consequences of health disorders caused by chemical substances or products is obliged to submit the main details of the poisoning incident to the Poison and Product Documentation Centre. The resulting comprehensive data set listed 12,641 cases of incidents for 2010-2012 including 14 incidents with rodenticides but only one with an anticoagulant rodenticide (suicidal attempt with Brodifacoum, course remained undocumented). Further 37 incidents with AVK were reported for the time span 1990-2009 (N. Glaser, Poison and Product Documentation Centre).

### USA

In the US, the American Association of Poison Control Centers (AAPCC) includes most poison control centers of the country. Objectives and function of poison control centers are very similar to those seen in Europe. It is important to point out the yearly publication of annual reports giving very precise data about poisoning incident cases in the US (all these can be obtained freely from the Clinical Toxicology Journal). Figure 12 presents published data on AVK poisoning incidents reported to the AAPCC. Annual reports and data can be obtained from the website (<http://www.aapcc.org>) and are published in Clinical Toxicology (<http://informahealthcare.com/ctx>).



**Figure 12:** number of reported second generation AVK exposure incidents in humans by the AAPCC from 1994 to 2012 (top) and proportion of second generation products among all poisoning cases (in cases per thousand).

In Figure 12 the number of suspected poisoning cases with second generation AVK over the last few years is depicted. In 2008, the USEPA began to restrict the use and availability of these compounds to the general public (amateur use). As can be seen from the data, the decrease in the total number of cases started before this regulatory change and the trend has not been drastically modified by this reduction in commercial availability.

The US AAPCC has already published recommendations with respect to anticoagulant exposure and generally considers that these incidents are generally benign (Caravatti *et al.* 2007) and do not require follow-up or hospitalization. Similar conclusions were obtained from a French study (Berny *et al.* 2010).

### 7.3. Incidents with Wildlife and Domestic Animals

When we consider the AVK family, one striking characteristic is that development has always led, so far, to newer, more potent and more persistent compounds in order to overcome resistance selection and sprading. This is not sustainable in the longer term and may be undesirable for ecological reasons (Lasseur *et al.* 2006). AVKs are quite unique because they have a common mode of action and, as a consequence, all AVKs share the clinical features of poisoning with severe bleeding and coagulopathy (Kolf-Clauw *et al.* 1995). The only differences of interest are the toxic doses and potential for secondary poisoning.

### Primary toxicity in non-target species

Primary poisoning is the result of direct exposure to the toxic bait. Several reviews are available on that topic. Petterino and Paolo (2001) published a paper providing many toxic doses in domestic or laboratory animal species. The high frequency of rodenticide primary poisoning in companion animals is fairly widespread across Europe and the US (Roben *et al.* 1998, Guitart *et al.* 2010a, Guitart *et al.* 2010b). Most cases involve dogs, although cats may sometimes be affected as well (Kohn *et al.* 2003). A summary of the major toxicity data available is provided in Table 13 below.

**Table 13:** Toxicity data : acute oral LD50s in mg.(kg.day)<sup>-1</sup> for AVKs in animals (*adapted from WHO, EHC175, Petterino and Paolo, 2001, Toxnet® : values in bold+italics and USEPA : values in blue*). Five-day LD50 data mg.(kg.d)<sup>-1</sup> for Sprague Dawley (SD) or wild strains

AVK	Rat	Mouse	Guinea pig	Rabbit	Dog	Cat	Quail	Chicken	Cattle
Warfarin	186 (1-5d)	374	-	-	3 (5d)	3 (5d)	>2150°	-	200 (5d)
Coumatetralyl	6.5 (0.3-5d)	-	>250	>500	-	<b>50</b>	2000	-	-
Bromadiolone	1.12	1.75	2.8	1.0	<b>8.1</b>	>25	1600	-	-
Difenacoum	1.8	0.8	50	2.0	>50	100	-	>50	<b>100**</b>
Flocoumafen	0.25	0.8	>10	0.2	0.075-0.25	>10	>300	>100	>5**
Brodifacoum	0.27	0.4	2.8	0.3	0.25-1	25	3.3	4.5	>3**
Difethialone	0.56	1.29	-	0.75	4	>16	-	-	-
Diphacinone	2.3	340	-	35	3-7.5	14.7	>400°	-	-
Chlorophacinone	6.26	<b>1.06</b>	-	<b>50</b>	50-100	-	<b>258°</b>	-	-

AVK	Strain	Male	Female	Both	N
Pindone	SD	1.21	1.60	1.34	40
	Wild	7.60	25.60	12.80	40
Warfarin	SD	0.29	0.38	0.33	40
	Wild	0.39	0.60	0.44	40
Diphacinone	SD	0.19	0.23	0.21	40
	Wild	0.39	0.60	0.44	40
Chlorophacinone	SD	0.18	0.20	0.19	40
	Wild	0.13	0.23	0.16	40
Bromadiolone	SD	0.13	0.10	0.12	40
	Wild	0.06	0.09	0.07	40

\*hamster, \*\*goat or sheep, °Northern Bobwhite quail

With the exception of the data for target rodent species, these values must be regarded with caution because variations in the doses administered, the numbers of animals tested in dosage groups, inter-strain differences within a species and the end-points used may have profound effects on the results obtained. It is not surprising therefore that the values reported show the wide variability of toxicity for each AVK across species. Nevertheless, some surprising results need to be considered. For instance, chlorophacinone appears to be moderately toxic. Indeed, the lowest reported LD50 in the dog is 50 mg/kg. Considering the amount available in most baits in the EU (50 to 75 mg/kg bait), a 10-kg dog would need to eat 6.6 kg bait to reach this LD50. Even considering 1/10<sup>th</sup> of

this dose is still potentially lethal, the same dog would have to consume about 700g bait to be poisoned. Clinical data reported in our survey are quite opposite to that, since chlorophacinone is one of the most commonly involved AVK in companion animal poisoning (Berny *et al.* 2010). It is our hypothesis that AVK toxicity may be more pronounced in real case situations, mainly because animals are more active and may suffer from hemorrhages more rapidly than laboratory animals that are confined and quiet. A second possibility can be deduced from Table 5. Warfarin and coumatetralyl, for instance, have very low LD50s when administered over several days, as compared with acute oral LD50s. This is probably due to the accumulation of AVKs in the liver. None of the other AVK has been specifically tested to validate this hypothesis but we suggest that repeated exposure (even 2 or 3 times) could significantly reduce the toxic dose necessary to poison a dog and certainly be more consistent with the results of the survey.

Among domestic species, and with the exception of cattle, herbivore data appear to be absent. A survey at the Animal Poison Control Center (Lyon, France) confirmed that cattle, sheep and goat are rarely affected by AVK poisoning, as compared with other species (Berny *et al.*, 2005). These cases, however, usually involve several animals (mean = 6 for cattle, 33 for sheep/goat) and raise questions regarding residues of AVK in milk, for which there is no published data. Affected animals develop hemorrhagic disorders (digestive and respiratory mostly). Pre-ruminant animals are usually considered to be more susceptible and, indeed, they are usually more affected (Berny *et al.*, 2005) and sometimes also more exposed (Del Braselton *et al.* 1992, Del Piero and Poppenga, 2006). Some cases of AVK poisoning are reported in horses (Guitart *et al.*, 2010a), although rarely. Lethal cases are also described with hemorrhages in horses. This seems to occur only with potent, second generation AVKs such as brodifacoum (Ayala *et al.* 2007): a pony ingested *ca* 2 kg of a commercial bait, reaching almost 0.8 mg/kg, which is a lethal dose for many species as can be seen in Table 11.

Questions may also be raised with respect to wildlife. Direct poisoning may occur when baits are applied to large areas. This is frequently observed in rodent eradication campaigns, for instance, when aerial application or wide application of AVKs is considered. Thorsen *et al.* (2000) have evaluated the consequences of brodifacoum application in the Seychelles archipelago and discuss the cost/benefit ratio. In a more generalized view, Howald *et al.* (2007) reviewed the invasive rodent eradication campaigns in islands and showed that the use of tamper-resistant bait stations could successfully reduce primary non-target poisoning. Many species can be affected, depending on the type of bait applied including incidents involving hares, rabbits (Erickson and Urban, 2002), roe deers, wild boars (Berny *et al.* 2005). In wildlife, however, primary poisoning is problematic in non-target rodent species and a lot of concern is raised by secondary poisoning in predators and scavengers (see below).

As a result of strict regulations and availability of AVK rodenticide in the US, there is growing concern about Bromethalin being more commonly involved in pets poisoning and confused with AVK (see <http://healthypets.mercola.com/sites/healthypets/archive/2013/05/24/bromethalin.aspx> for more information).

### Secondary poisoning

The risk of secondary poisoning is much higher in AVKs than in acutely toxic compounds. Secondary poisoning can be defined as clinical poisoning occurring in predators and scavengers feeding on contaminated preys or carrion, as a result of prolonged half-life of most AVKs in biological fluids and tissues (see Table 14). Fairly soon after AVKs started to be used, secondary poisoning was considered as a potential adverse effect of these compounds. Cases of warfarin secondary poisoning have been reported by Bentley (1972) in dogs fed poisoned Coypu (*Myocastor coypus*). At that time, the author concluded that warfarin should not be used to control coypu invasive populations in Florida for this reason. It was not until second-generation AVKs were marketed that secondary poisoning was given full attention. Evidence was published by Gray *et al.* (1994) that some of the most recent AVKs (namely: brodifacoum, difenacoum, flocoumafen) were experimentally responsible for secondary poisoning in Barn owls (*Tyto alba*). This first trial, however, only showed that owls fed contaminated mice over 15 days would accumulate AVK residues in the liver, considered as the primary target organ for accumulation. This information was used in surveys that started soon afterwards to be published. For instance, evidence of secondary poisoning was confirmed in red foxes (*Vulpes vulpes*) and buzzards (*Buteo buteo*) (Berny *et al.* 1997), but also in non-target native species in New-Zealand (Eason *et al.* 2002). Liver samples were used as an indicator of AVK exposure in animals found dead. AVKs are very specific in that their clinical and pathological features are fairly similar across species and animals always die of hemorrhages. Gross necropsy is usually indicative of AVK poisoning with evidence of massive hemorrhages and lack of coagulation (Berny 2007). There is evidence that vitamin K may play a role in bone metabolism and humans exposed to prolonged AVK therapy may experience

increased frequency of bone fractures and osteoporosis. In wildlife species exposed to AVKs, there is, as of today, no evidence that this is the case, but only one study has been dealing with this issue so far (Knopper *et al.*, 2007). In the UK, small mustelids also were detected with secondary AVK poisoning (Shore *et al.*, 1999). These first reports clearly confirmed the high susceptibility of canids and birds of prey to AVK rodenticides. In the UK, small mustelids also were detected with secondary AVK poisoning (Shore *et al.*, 1999). These first reports clearly confirmed a high level of exposure of canids and birds of prey to AVK rodenticides. In some instances, critically endangered and protected species are involved and unexpected death from AVK exposure may have detrimental effects on population survival, as suspected for the Red kite (*Milvus milvus*) in France (Berny and Gaillet, 2008). Some unusual species such as the European Otter (*Lutra lutra*) and the European mink (*Mustela vison*) have also been found to be exposed to AVKs (Fournier-Chambrillon *et al.*, 2004), with reference to the use of AVK against coypu in wetlands in France.

**Table 14:** half-lives of selected AVKs in plasma (h) and liver (days) of various species.

AVK	Rodent-blood	Dog-blood	Sheep-blood	Rabbit-blood	Rat-liver	Dog-liver	Sheep-liver	Rabbit-liver
Warfarin	0.7-1.2 14.9 <sup>oo</sup>		9.5	0.2	66.8 <sup>oo</sup>	-	-	-
Coumatetralyl	0.5 <sup>oo</sup>	-	-	-	15.8 <sup>oo</sup>	-	-	-
Bromadiolone	1-2.4 33.3 <sup>oo</sup>	12.7-72.2 <sup>†</sup>	49.5	-	170-318 28.1 <sup>oo</sup>	-	256*	-
Difenacoum	20.4 <sup>oo</sup>	-	-	-	61.8 <sup>oo</sup> 120	-	-	-
Flocoumafen	26.6 <sup>oo</sup>	-	-	-	93.8 <sup>oo</sup> 220	-	-	-
Brodifacoum	6.5 91.7 <sup>oo</sup>	0.9-4.7	-	2.5	128-350 307 <sup>oo</sup>	-	>128*	-
Difethialone	2.3 38.9 <sup>oo</sup>	2.2-3.2	-	-	74-126 28.5 <sup>oo</sup>	-	-	-
Diphacinone		-	-	-	-	-	>90 <sup>oo</sup>	-
Chlorophacinone	0.4 11.7 <sup>oo</sup>	-	30.1	-	35.4 <sup>oo</sup>	-	-	-

\*estimated liver retention in days, ° cattle, <sup>oo</sup>mouse, in days, <sup>†</sup>in the red fox

from Parmar *et al.*, 1987; WHO (1995); Erickson and Urban, 2002, Robben *et al.*, 1998, Berny *et al.*, 2006, Vandenbroucke *et al.*, 2008a, Sage *et al.*, 2010

More generalized surveys have also been conducted on animals found dead and submitted to a diagnostic laboratory. Several publications and countries now report a high prevalence of AVK exposure (i.e. detection of AVK, with or without evidence of poisoning). This is the case in birds of prey from New York (Stone *et al.*, 2003), who showed that 49% of the 265 animals analyzed contained detectable residues of AVKs in the liver. Similarly, in a survey conducted on 58 birds received dead at a rehabilitation center in France (Lambert *et al.*, 2007), 73% of the animals contained detectable residues. In Great Britain, a survey on Tawny owls (Walker *et al.*, 2008) also indicated a high prevalence of AVK exposure (>20%), very stable over time. In Canada, there is also evidence that owl species are highly exposed to AVK rodenticides (Albert *et al.*, 2010). More recently, even insectivores such as the Hedgehog have been shown to accumulate AVK to significant extent (Dowding *et al.*, 2010). Severe contamination appears to be occurring in Californian Mountain Lions (*Puma concolor*) and bobcats (*Lynx rufus*), since almost 90% of them have been found with residues (Riley *et al.* 2007). The authors even consider the interaction of AVK exposure with the development of other diseases such as a parasitic infestation with notoedric mange in this case. However, the co-occurrence of anticoagulant residues and disease may be the result of many confounding factors such as the habitats used and the body condition of the animals affected. With respect to clinical signs of poisoning, hemorrhages are described in many papers. It is known however, that Vitamin K and AVK may interfere with other vitamin K-dependent proteins, including osteocalcin for instance. Knopper *et al.* (2007) investigated the association between AVK liver residues and bone alterations (bone breaking strength, bone length, width and density) but failed to demonstrate any relationship.

Despite some discrepancies, probably due to different analytical techniques, applied doses and sampling times, the general trend points out the prolonged liver retention of second generation AVKs.

These data clearly confirm that secondary poisoning with AVKs is not a theoretical problem. In a review paper on island preservation and rodent eradication, Howald *et al.* (2007) confirmed that secondary poisoning occurred



regularly after aerial or general bait application, but they also show that, generally speaking, affected non-target populations recover shortly after bait removal. As a general overview, Table 15 describes the proportion of animals detected with AVK residues in various European countries, based on published data (Christensen *et al.*, 2012, Dowding *et al.* 2010, Langford *et al.* 2013, Sanchez-Barbudo *et al.* 2012, data from the UK Predatory Bird Monitoring Scheme and unpublished data from France). These results clearly point out the high frequency of detection of residues of AVKs in EU countries. All recent papers published in Europe indicate that there is an increase both in the number of products available (as biocidal products) and in the prevalence of AVK residues in wildlife (predators and scavengers). For instance, Langford *et al.* (2013) recently demonstrated that there is a large proportion of birds of prey sampled in Norway with detectable residues of second-generation AVKs bromadiolone, brodifacoum, flocoumafen. One should be aware, however, that the analytical techniques used are more sensitive today than in the 90s and this may bias the results for AVK monitoring.

These studies clearly point out the widespread presence of residues in numerous species in the wild, as well as incidents of lethal poisonings, but it is impossible to determine, at that stage based on published work or our on experience and on-going experiments, the actual impact of biocidal or plant protection products (i.e. pesticides) on wildlife populations. Indeed, at least in the UK if not elsewhere, several of the predatory bird species with the highest prevalence of contamination with AVKs, namely buzzard (*Buteo buteo*), red kite (*Milvus milvus*) and barn owl (*Tyto alba*) appear to be undergoing periods of significant population increase (<http://www.bto.org/volunteer-surveys/bbs>). Considerably more work would be needed on this topic to make any definitive statement about presence or absence of population impacts of contamination of wildlife with AVKs.

Studies on secondary poisoning have been possible because of the rapid evolution of analytical techniques. Today, many LC-MS-MS techniques are available to detect minute amounts of AVKs in various biological samples such as the liver, plasma or fecal samples (Jin *et al.* 2008, Vandenbroucke *et al.* 2008b, Fourel *et al.* 2010; Sage *et al.* 2010, Vudathala *et al.* 2010). It has even been shown that non-invasive monitoring, as already suggested by Gray *et al.* (1994) on pellets of birds of prey could easily use fecal samples of foxes for instance: it is possible to confirm exposure even one month after the last ingestion of a contaminated prey (Sage *et al.*, 2010). Using this approach could certainly improve our knowledge of AVK exposure in non-target predators and be used as monitoring tools for wide-scale surveys. This would certainly be of help, in order to monitor potential exposure, since there is evidence that methods of AVK application could still improve in order to reduce unnecessary exposure of non-target species (Tosh *et al.* 2011). Another gap to be filled is the relationship between liver concentrations of AVKs and the potential toxic outcome. Thomas *et al.* (2011) recently developed a statistical investigation on cases collected in birds of prey, in order to determine a probabilistic toxic liver concentration. Although there is some agreement that a 0.1-0.2 µg/g concentration is usually associated with hemorrhagic disorders (based on the work by Newton *et al.*, 1998 in Barn owls), this level of concern needs to be refined for different species and exposure scenarios. The USEPA suggested a level of concern of 0.7 µg/g, which is considered to high (Thomas *et al.*, 2011). Overall, this study provided estimated levels of concern for 5%, 10%, 15% or 20% of the animals (5 species of birds of prey). For instance, the Great Horned Owl appears to be more susceptible than other species, with a level of concern for 5% of the birds as low as 0.02 µg/g. A survey conducted on plasma concentrations of AVKs in dogs poisoned with anticoagulants did not show any association between the plasma concentration and the severity or outcome of the poisoning incident (Waddell *et al.* 2013).

One question of concern is the long-term impact of a bottleneck in the genetic diversity of the non-target species population afterwards during rodent population control in small islands for instance. Instead of facing such an adverse effect in these species, some authors consider alternatives to the use of AVKs (Donlan *et al.* 2003) in various instances in order to control invasive rodent species.

**Table 15:** Proportion of animals with detectable residues in Europe (Denmark DK, France F, Norway NO, Spain ESP, United Kingdom UK (in%)\*

Species	Country	N	COUT	CHLO	BRD	BRO	DFN	FLO	DIF
Hedgehog ( <i>Erinaceus europaeus</i> )	UK	120	-	-	3.3	10.8	13.3	0	
Polecat ( <i>Mustela putorius</i> )	UK	100	-	-	3.0	12.0	22.0	0	
Stoat ( <i>Mustela erminea</i> )	UK	40	15.0	-	2.5	6.7	-	-	

Weasel ( <i>Mustela nivalis</i> )	UK	10	30.0	-	-	10.0	-	-	
Red fox ( <i>Vulpes vulpes</i> )	UK	92	7.6	-	5.4	26.1	16.3	-	
Ref Fox	F	62	0	4.8	0	12.9	1.6	0	
Barn owl ( <i>Tyto alba</i> )	UK	717	-	-	3.9	11.0	16.7	1.1	
	UK	58			33.0	69.0	53.0	7.0	4.0
Buzzard ( <i>Buteo buteo</i> )	UK	40	-	-	2.5	5	32.5	2.5	
Buzzard	F	98	0	5.1	0	14.2	0	0	
Buzzard	ESP	15	-	6.7	0	26.6	-	-	
Kestrel ( <i>Falco tinnunculus</i> )	UK	20			55.0	100	90.0	0	5.0
Eurasian griffon ( <i>Gyps fulvus</i> )	ESP	23	-	4.3	0	4.3	4.3	0	
Golden eagle ( <i>Aquila chrysaetos</i> )	NO	16	-	-	37.5	43.8	0	12.5	
Red kite ( <i>Milvus milvus</i> )	UK	20	-	-	-	1.2	-	0	
	UK	18			78.0	83.0	83.0	11.0	6.0
Red kite	F	62	0	4.8	0	38.7	0	0	
Red kite	ESP	8	-	0	25.0	50.0	12.5	0	

Cout=coumatetraly, Chlo = chlorophacinone, Brd = brodifacoum, Bro = bromadiolone, Dfn = difenacoum, Flo = flocoumafen, Dif = difethialone

\* completed from Berny et al 2008; Dowding et al. , 2010; Sanchez-Barbudo et al, 2012; Langford et al, 2013; Walker et al. 2013)

#### Monitoring systems for domestic and wildlife species

In domestic and wild species, the situation may differ markedly from one country to another. Several surveys have been conducted, attempting to identify institutions dedicated to the monitoring of domestic or wildlife incidents involving AVK (Berny, 2007, De Snoo et al. 1999). In contrast with human incidents, there is no standardised approach to dealing with animal poisoning cases. Below are described some monitoring systems in different EU MSs in order to provide a general idea of what is being done and how animal poisoning cases are handled in the EU.

Table 16 gives an overview of existing monitoring systems and institutions dedicated to domestic and/or wildlife species poisoning incidents which could be identified (i.e. these institutes may provide long-term monitoring data for AVK poisoning) in Europe

**Table 16:** European countries with suitable monitoring systems for domestic and wildlife species poisoning investigation

Country	Reporting for domestic species	Reporting for Wildlife
Austria	?	Yes
Belgium	?	Yes
Denmark	?	Yes
Finland	?	Yes
France	Yes	Yes
Germany	Yes	Yes
Greece	?	Yes
Italy	Yes	Yes
The Netherlands	?	Yes
Norway	?	Yes
Spain	Yes	Yes
Sweden	?	Yes
Switzerland		Yes
UK	Yes	Yes

In other EU countries, poisoning cases are handled via general veterinary or wildlife activities, but no specific unit for poisoning could be identified at that stage.

In the UK, the Wildlife Incident Investigation Scheme (WIIS) was set up to investigate deaths of wildlife, including beneficial insects, pets and some livestock, where there is evidence to suggest that pesticide poisoning may be involved. Where poisoning is suspected, a combination of field work, veterinary examination and chemical analysis is used to try to determine the underlying cause of death.

The Scheme is financed by the UK Government Health and Safety Executive through the Pesticide Levy applied by the government on manufacturers and operated in Britain, through the Animal Health and Veterinary Laboratory Agency (an Agency of DEFRA); in Scotland, through the Scottish Agricultural Science Agency; in Wales, through the Welsh Assembly Government; and in Northern Ireland, through the Department of Agriculture and Rural Development.

The Scheme provides a unique means of post-registration surveillance of pesticide use, and provides means of verification and improvement of the risk assessments used in the registration of compounds. It may also be used to enforce legislation on the use of biocides.

The results are available on-line from their dedicated web site and are updated on a quarterly basis. Their most recent spread-sheet details incidence that occurred from January to September 2012; when there were a total of 81 separate incidents involving anticoagulant rodenticides, with 49 incidents involving raptors and owls, 4 incidents involving other bird species, 17 incidents involving wild mammals, 5 incidents involving dogs, 1 incident involving a cat, and 8 incidents involving just the bait. Analysis of the data on AVKs provided by WIIS indicates that very few incidents of poisoning are found when the products are applied correctly and according to label instructions.

[<http://www.pesticides.gov.uk/guidance/industries/pesticides/topics/reducing-environmental-impact/wildlife>]

The Predatory Bird Monitoring Scheme (PBMS) is operated by the Centre for Ecology and Hydrology. Its main function is to quantify exposure of pesticides and pollutants in predatory birds throughout Britain. The scheme started in the mid 1960's and was instrumental in proving that organochlorine pesticides (like DDT) caused mass declines in predatory bird species. Their work contributed to the scientific evidence that led to the bans on the agricultural use of these insecticides in Britain and elsewhere.

Results of the PBMS are regularly presented in a series of Predatory Bird Monitoring Scheme (PBMS) reports that are freely available on-line (at <http://pbms.ceh.ac.uk/>), and show widespread exposure of a diverse range of predators in Britain to second generation anticoagulant rodenticides. The latest Report, entitled "Anticoagulant rodenticides in predatory birds 2011", which was published in 2013 (Walker *et al.*, 2013, summarises PBMS

monitoring for anticoagulant rodenticides in barn owls (*Tyto alba*), kestrel (*Falco tinnuculus*) and red kites (*Milvus milvus*) that were found dead in 2011 (see also Table 13).

In The Republic of Ireland there are no schemes in operation that are equivalent to the UK WIIS, investigating deaths of wildlife, pets and livestock, where there is evidence to suggest that pesticide poisoning could be involved. However, *ad hoc* monitoring of the distribution of SGAR residues in barn owls and red kites has been conducted recently by, respectively BirdWatch Ireland and The Golden Eagle Trust. Both species are extensively contaminated with SGARs in Ireland and deaths of recently-released red kites have been recorded. A consequence of this has been the requirement placed on industry by the Pesticides Registration and Control Division (Department of Agriculture, Fisheries and Food) to initiate a Campaign for Responsible Use of Rodenticides (CRRU), like the one that has been running in the UK since 2006.

The Centre for Ecology and Hydrology is collaborating with BirdWatch Ireland in a preliminary investigation to quantify exposure of pesticides and pollutants in predatory birds in Southern Ireland (along similar lines to the UK PBMS). Results are being prepared for publication, and further funding is now required to establish an on-going scheme comparable with the UK PBMS.

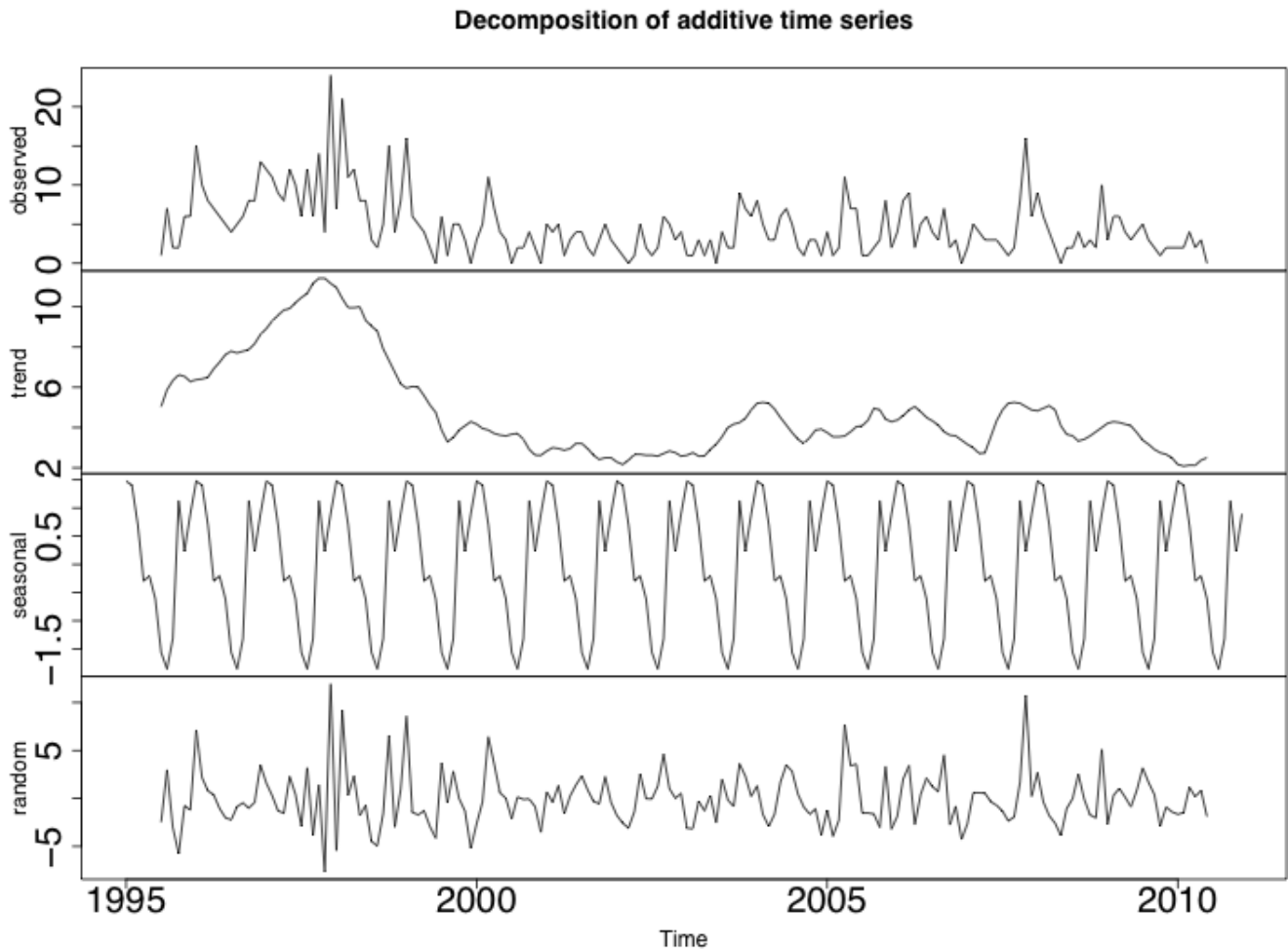
In France, two approaches exist with respect to animal poisoning surveillance.

- Animal poison control centers are in function in Lyon and Nantes. Trained veterinarians and students offer 24-hour per day service to veterinarians as well as the general public. These structures are quite similar to human poison control centers and collect data, which can be used in retrospective surveys of animal poisoning cases. Evidence of AVK poisoning cases in domestic animals has been published for companion animals (Berny *et al.*, 2010) as well as food-producing animals (Berny *et al.* 2006). These poison control centers are supported by donations from veterinarians and the general public, but also with the production of reports for stakeholders.
- The national Game and hunting office (ONCFS) coordinates a monitoring network for the surveillance of wildlife mortality events. This network (SAGIR) has a very general objective and is not specifically dedicated to poisoning incidents, although it started as a "survey of pesticide incidents" (Lamarque *et al.* 1999, Decors *et al.*, in press). This network is based on the voluntary submission of animals found dead to the network for diagnostic investigation by hunters. As such, it has major drawbacks and biases (mainly due to the financial contribution of hunters) and cannot provide a population-based investigation of poisoning incidents but rather an indication of the nature and extent of poisoning issues. There is ample evidence from this network that AVK (mostly bromadiolone used against water voles) is responsible for several outbreaks of AVK poisoning, following major population outbreaks. The network identified, for instance, AVK poisoning as a repeated problem in Red kites in France (Berny and Gaillet, 2008). The network can be contacted (<http://www.oncfs.gouv.fr/Reseau-SAGIR-ru105>) for direct inquiry. The results are available online for the general surveillance, but also for toxicological investigations in wildlife (<http://www2.vetagro-sup.fr/ens/toxico/faune.html>) specifically.

In the US, there is one private animal poison control center (National Animal Poison Control Center available online at <http://www.aspca.org/pet-care/poison-control/>) and the National Pesticide Information Center (<http://npic.orst.edu>) for domestic species. Wildlife incidents can be reported to the Pesticide site, but also to one of the Wildlife Centers. Compared with the European situation, the US approach is well structured and organized at the federal or state level. Analytical confirmation of exposure can be obtained from any of the state veterinary laboratories, the state Environmental Protection Agency.

Structures have been contacted and available data will be included in the final report. For all available information, declaration is always voluntary and should only be considered as an indicator of problems encountered with Biocidal Products, and not representative of the population effects. Nevertheless, long-term analysis of poisoning data can definitely provide valuable information with respect to the consequences of modification of regulatory status of a given compound. Figure 13 gives an overview of chlorophacinone poisoning cases in animals in France from 1995 to 2010 and clearly shows some interesting trends with respect to official

uses.



**Figure 13:** decomposition of additive time-series for chlorophacinone confirmed poisoning cases in animals in France (data : Vetagro sup Lyon). Trend: annual trend, seasonal: seasonal variation, random: individual, unaccounted for effect

In 1998, the use of liquid concentrated formulations was restricted to professional users for this compound. Later on (2004), the liquid formulation was only delivered to bait-producing companies. The annual trend clearly shows that the restriction applied in 1998 is associated with a decrease in the total number of cases received at the Toxicology unit of Vetagro Sup.

In Germany, animal incident reporting is not obligatory. Individuals can report incidents to the police, veterinary examination institutes, plant protection services of the relevant Federal State or to the Federal Office of Consumer Protection and Food Safety (BVL, [www.bvl.bund.de](http://www.bvl.bund.de)). Investigation and pursuit of incidents is solely handled by the Federal States. Individuals usually have to pay for chemical analysis and pathological examination. Additionally, all authorities shall report incidents to BVL. BVL collects the information including compound, reason and effect where possible. Focus are plant protection products but single incidents are occasionally reported to BVL. BVL publishes reports; however, data are not representative considering the voluntary nature of the incident reporting. Last incidents with anticoagulant rodenticides were reported in 2009 (W. Tüting, BVL). Data until 2009 are analyzed in detail at the moment for us.

## 8. Objective 1-1-f: existing continuous professional development schemes for trained professional users

### 8.1. Summary

Very few MS have a specific training or certification scheme for rodenticide application. CEPA is currently working on a standardized norm and certification. Training and certification of PCOs, farmers and other potential “professional users” appear as promising tools to promote the safe and sustainable use of AVKs.

Among the key questions, the clear definition of a trained professional appears to be a major issue in the world of rodent control. Indeed, there is no specific EU requirement for people or companies undertaking rodent control. Some countries have national regulations (such as France, Germany, Malta, Spain). In France, for instance, *Certiphyto* is a specific professional certificate for pesticide applicators, but it is also used as a surrogate for biocidal products and is based on the NFU43500 guideline.

There is currently no legal requirement for those undertaking pest control related to public health to be qualified or to be registered in the UK. The Act under which the pesticides are regulated is the Food and Environment Protection Act 1985 and the subsequent Regulations covering the pesticides are the Control of Pesticides Regulations 1986. The Health and Safety at Work Act 1974, and the Control of Substances Hazardous to Health Regulations 2002 also have bearings on the operations on those undertaking pest control. However, all of this legislation is in the process of replacement as the result of implementation in the UK of the terms of the European Union’s Biocidal Products Regulation (the BPR, Regulation (EU) 528/2012). Whilst there are no requirements for users of public health pesticides to be qualified or indeed registered in any way, there are pest control qualifications that are recognized in the industry and frequently required by customers. The details of these qualifications are available from the BPCA and the RSPH. A training course for wildlife is also established. This training course and associated accreditation scheme has been established by the Campaign for Responsible Rodenticide Use (CRRU) for professional pest control technicians and other competent users of rodenticides. This accreditation is intended to indicate to customers for rodent pest control services that those who hold the accreditation will work to the highest standards in order to achieve effective pest control with minimum adverse effects on wildlife and the wider environment.

In Spain, a specific training session has been developed on internet, with e-learning tools. A royal decree of 2010 has enforced the need for professional training for PCOs’ (Anon, 2011).

The European association CEPA (Confederation of European Pest Management Associations) started a project on the development and application of a European norm for rodenticide application. This project has been approved by the European Standard Institute (CEN), under the number CEN/TC404. Among the objectives, a European norm should provide a sound basis for the recognition of a “trained-professional” since it will describe requirements, recommendations and basic competences necessary for appropriate rodent control application. Formal training sessions will be included and certificates issued to both trainee and companies ([www.cepa-europe.org](http://www.cepa-europe.org)). Such a norm is highly desirable in order to implement appropriate chemical control strategies against rodents in the EU today, especially with respect to both resistance management strategies and non-target incidents.

The recommendations have not been issued yet and this part of the project will be followed-up in the next coming months.



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