European Health Interview Survey
Draft implementing regulation for wave 3
Annex A: Text of the regulation

*Item 4.1 of the Agenda*
COMMISSION REGULATION (EU) …/…

of XXX


(Text with EEA relevance)

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THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EC) No 1338/2008 of the European Parliament and of the Council of 16 December 2008 on Community statistics on public health and health and safety at work, and in particular Article 9(1) thereof,

Whereas:

(1) Regulation (EC) No 1338/2008 establishes a common framework for the systematic production of European statistics on public health and health and safety at work.

(2) Pursuant to Article 9(1) of Regulation (EC) No 1338/2008, implementing measures are needed to specify the data and metadata to be provided on health status, health determinants and health care covered by Annex I to that Regulation and to set the reference periods and intervals for providing these data.

(3) Those data represent a minimum statistical data set that should allow better monitoring of the Union’s health programmes and policies on social inclusion and social protection, health inequalities and healthy ageing.


(5) A cost-benefit analysis has been carried out and evaluated in accordance with Article 6 of Regulation (EC) No 1338/2008. It has demonstrated that the availability of comparable Union-wide data is likely to be of great benefit for health and social policy decisions and for scientific purposes, with the use of common tools allowing data consistency across countries, even though the related costs would vary depending on the degree of integration of the requested variables and methodology within existing national surveys.

(6) The measures provided for in this Regulation are in accordance with the opinion of the European Statistical System Committee,

HAS ADOPTED THIS REGULATION:

Article 1

Subject matter

European statistics based on the European Health Interview Survey (EHIS) shall concern health status, health care and health determinants as well as socio-demographic characteristics of the population aged 15 and over.

Article 2

Definitions

For the purpose of this Regulation, the following definitions shall apply:

1. ‘private household’ means:
   
   (a) A one-person household, i.e. a person who lives alone in a separate housing unit or who occupies, as a lodger, a separate room (or rooms) of a housing unit but does not join with any of the other occupants of the housing unit to form part of a multi-person household as defined below, or
   
   (b) A multi-person household, i.e. a group of two or more persons who combine to occupy the whole or part of a housing unit and to provide themselves with food and possibly other essentials for living. Members of the group may pool their incomes, have a common budget and share expenses to a greater or lesser extent.

   This definition does not cover collective households such as hospitals, care or residential homes, prisons, military barracks, religious institutions, boarding houses or hostels;

2. ‘usual residence’ means the place where a person normally spends the daily period of rest, regardless of temporary absences for purposes of recreation, holidays, visits to friends and relatives, business, medical treatment or religious pilgrimage.

   Only the following persons shall be considered to be usual residents of the geographical area in question:

   (a) those who have lived in their place of usual residence for a continuous period of at least 12 months before the reference date; or
   
   (b) those who arrived in their place of usual residence during the 12 months before the reference date with the intention of staying there for at least one year.

   Where the circumstances referred to in points (a) or (b) cannot be established, ‘usual residence’ shall mean the place of legal or registered residence;

3. ‘microdata’ means non-aggregated observations or measurements of characteristics of individual units;

4. ‘pre-checked microdata’ means microdata verified by the Member States, on the basis of agreed common validation rules;

5. ‘metadata’ means data defining and describing other data, methodology used as well as statistical business processes.
Article 3
Data required
1. Each Member State shall provide to the Commission (Eurostat) the microdata set out in Annex I.
2. With a view to achieving a high level of harmonisation of the survey results across countries, the Commission (Eurostat), in close cooperation with Member States, shall propose methodological and practical recommendations and guidelines on sampling and the implementation of the survey in the form of a ‘European Health Interview Survey Manual’ including a model questionnaire.
3. The precision requirements shall be as specified in Annex II. Weighting factors shall be calculated to take into account the units’ probability of selection, non-response and, as appropriate, adjustment of the sample to external data relating to the distribution of persons in the target population.

Article 4
Reference population
1. The reference population shall be persons aged 15 and over usually residing in private households in the territory of the Member State concerned at the time of the data collection.
2. The national territories listed in Annex III are excluded. In addition, some small parts of the national territory amounting to no more than 2% of the national population can also be excluded from the sample and information on those national territories shall be provided in the reference metadata.

Article 5
Data collection period
3. Data shall be collected in 2019.
4. The collection of data shall be spread over at least three months including at least one month of the period from September to December.

Article 6
Provision of microdata to the Commission (Eurostat)
1. Member States shall transmit the pre-checked microdata (without direct identification and including weighting factors) required by this Regulation in accordance with an exchange standard specified by the Commission (Eurostat) and using the Single Entry Point services.
2. Member States shall transmit the pre-checked microdata within nine months after the end of the national period for collecting the data.
Article 7

Sampling frames

1. Data shall be based on representative samples drawn from sampling frames set up at national level that allow persons or households to be selected at random, with a known probability of selection. The sampling frames shall aim to cover exhaustively and exclusively the population of interest and shall be regularly updated. They shall contain all the information necessary for the sample design, such as information needed for stratification purposes and for contacting the persons or households. The sampling frame shall also include the information needed to link persons to other administrative records, in so far as is allowed under data protection rules.

2. When no such sampling frame is available in the Member State, other sampling frames that meet the following criteria shall be used. Such sampling frames shall:

   (a) identify the sample units, which can be persons, households, dwellings or addresses;

   (b) be able to provide the probability of selection;

   (c) be regularly updated.

Article 8

Provision of reference metadata to the Commission (Eurostat)

1. The quality-related reference metadata shall be provided according to the European Statistical System standard specified by the Commission (Eurostat) and agreed with the Member States.

2. Member States shall transmit the quality-related reference metadata required by this Regulation in accordance with an exchange standard specified by the Commission and using the Single Entry Point services.

3. Member States shall provide these metadata to the Commission (Eurostat) not later than three months after transmission of the pre-checked microdata.

Article 9

Entry into force

This Regulation shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels,

For the Commission
The President