



EUROPEAN COMMISSION

Communications Networks Content & Technology Directorate-General

Electronic Communications Networks & Services

Radio Spectrum Policy

Brussels, 11 March 2020

DG CONNECT/B4

RSCOM19-38rev2

ADOPTED

RADIO SPECTRUM COMMITTEE

Working Document

**Opinion of the RSC
pursuant to the Examination Procedure under Article 5 of Regulation
182/2011/EU and Article 4.3 of Radio Spectrum Decision 676/2002/EC**

**Subject: Draft Commission Implementing Decision amending Decision
2012/688/EU as regards an update of relevant technical conditions
applicable to the frequency bands 1920-1980 MHz and 2110-2170 MHz**

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Brussels, **XXX**
[...](2020) **XXX** draft

COMMISSION IMPLEMENTING DECISION

of **XXX**

**amending Decision 2012/688/EU as regards an update of relevant technical conditions
applicable to the frequency bands 1920-1980 MHz and 2110-2170 MHz**

(Text with EEA relevance)

COMMISSION IMPLEMENTING DECISION

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amending Decision 2012/688/EU as regards an update of relevant technical conditions applicable to the frequency bands 1920-1980 MHz and 2110-2170 MHz

(Text with EEA relevance)

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Decision No 676/2002/EC of the European Parliament and of the Council of 7 March 2002 on a regulatory framework for radio spectrum policy in the European Community (Radio Spectrum Decision)¹, and in particular Article 4(3) thereof,

Whereas:

- (1) Commission Decision 2012/688/EU² harmonised the technical conditions for using the frequency bands 1920-1980 MHz and 2110-2170 MHz for terrestrial systems capable of providing electronic communications services (ECSs) in the Union, mainly targeting wireless broadband services for end-users.
- (2) Article 6(3) of Decision No 243/2012/EU of the European Parliament and the Council³, requires Member States to help ECS providers to regularly upgrade their networks to the latest, most efficient technology, in order to create their own spectrum dividends in line with the principles of service and technological neutrality.
- (3) The Commission's Communication on '*Connectivity for a competitive digital single market - towards a European gigabit society*'⁴ sets out new connectivity objectives for the Union to be achieved through the widespread deployment and take-up of very high capacity networks. To that end, the Commission's Communication '*5G for Europe: an action plan*'⁵ identifies a need for action at the EU-level, including the identification and harmonisation of spectrum for 5G based on the opinion of the Radio Spectrum Policy Group (RSPG), in order to ensure uninterrupted 5G coverage in all urban areas and major terrestrial transport paths by 2025.

¹ OJ L 108, 24.4.2002, p.1.

² Commission Implementing Decision 2012/688/EU of 5 November 2012 on the harmonisation of the frequency bands 1920 - 1980 MHz and 2110 - 2170 MHz for terrestrial systems capable of providing electronic communications services in the Union (OJ L 307, 7.11.2012, p. 84).

³ Decision No 243/2012/EU of the European Parliament and of the Council of 14 March 2012 establishing a multiannual radio spectrum policy programme (OJ L 81, 21.3.2012, p. 7).

⁴ Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions '*Connectivity for a Competitive Digital Single Market - Towards a European Gigabit Society*' COM(2016) 587 final.

⁵ Communication from the Commission to the Council, the European Parliament, the European Economic and Social Committee and the Committee of the Regions '*5G for Europe: An Action Plan*', COM(2016) 588 final.

- (4) In its two opinions on the ‘strategic roadmap towards 5G for Europe’ (16 November 2016 ⁽⁶⁾ and of 30 January 2019⁷⁾, the RSPG identified a need to ensure that the technical and regulatory conditions for all bands already harmonised for mobile networks are fit for 5G use. The paired terrestrial 2 GHz frequency band is one such band.
- (5) On 12 July 2018, pursuant to Article 4(2) of Decision No 676/2002/EC, the Commission mandated the European Conference of Postal and Telecommunications Administrations (CEPT) to review the harmonised technical conditions for certain EU-harmonised frequency bands, including the paired terrestrial 2 GHz frequency band, and to develop least restrictive harmonised technical conditions suitable for next-generation (5G) terrestrial wireless systems.
- (6) On 5 July 2019, the CEPT issued a report (CEPT report 72). It proposed EU-harmonised technical conditions for the paired terrestrial 2 GHz frequency band in terms of a frequency arrangement and a Block Edge Mask, which are suitable for use of the band with next-generation (5G) terrestrial wireless systems. CEPT report 72 concludes that the guard band of 300 kHz at the lower and upper frequency boundaries of the frequency arrangement can be removed.
- (7) It has to be noted that the spurious domain for the base stations in the frequency band 2110 - 2170 MHz starts 10 MHz from the band edge.
- (8) CEPT report 72 covers both active antenna systems and non-active antenna systems, which are used in systems capable of providing wireless broadband electronic communications services. It addresses the co-existence of these systems within the band and with services in adjacent bands (such as Space services below 2110 MHz and above 2200 MHz). Any new use of the paired terrestrial 2 GHz frequency band should continue to protect existing services in adjacent frequency bands.
- (9) The conclusions of CEPT report 72 should be applied across the Union and implemented by the Member States without delay. This should foster the availability and use of the paired terrestrial 2 GHz frequency band for 5G deployment while upholding the principles of technology and service neutrality.
- (10) The notion of ‘designating and making available the paired terrestrial 2 GHz frequency band’ in the context of this Decision refers to the following steps: (i) the adaptation of the national legal framework on frequency allocation to include the intended use of this band under the harmonised technical conditions set in this Decision, (ii) the initiation of all necessary measures in order to ensure coexistence with existing use in this band, to the extent necessary, (iii) the initiation of the appropriate measures, supported by the launch of a stakeholder consultation process where appropriate, in order to allow the use of this band in accordance with the applicable legal framework at Union level, including the harmonised technical conditions of this Decision.
- (11) Member States should have, where justified, sufficient time to adapt the existing licenses to the General Parameters of the new technical conditions.
- (12) Cross-border agreements between Member States and with third countries may be necessary to ensure that Member States implement the parameters set by this Decision

⁶ Document RSPG16-032 final of 9 November 2016, ‘Strategic Roadmap Towards 5G for Europe: Opinion on spectrum related aspects for next-generation wireless systems (5G) (RSPG 1st opinion on 5G)’.

⁷ Document RSPG19-007 final of 30 January 2019, ‘Strategic Spectrum Roadmap Towards 5G for Europe: Opinion on 5G implementation challenges (RSPG 3rd opinion on 5G)’.

in a manner which avoids harmful interference, improves spectrum efficiency and prevents fragmentation in spectrum use.

- (13) Decision 2012/688/EU should therefore be amended accordingly.
- (14) The measures provided for in this Decision are in accordance with the opinion of the Radio Spectrum Committee established by the Decision No 676/2002/EC,

HAS ADOPTED THIS DECISION:

Article 1

Decision 2012/688/EU is amended as follows:

- (1) in Article 2, paragraphs 1 and 2 are replaced by the following:

“1. Member States shall designate and make available, on a non-exclusive basis, the paired terrestrial 2 GHz band for terrestrial systems capable of providing electronic communications services in compliance with the parameters set out in the Annex to this Decision.”

2. Until 1 January 2026, Member States need not apply the General Parameters laid down in section B of the Annex in respect of rights of use for terrestrial electronic communications networks of spectrum in the paired terrestrial 2 GHz frequency band existing on the date when this Decision takes effect, to the extent that the exercise of those rights does not prevent the use of that band according to the Annex, subject to market demand. ”

- (2) In Article 3, the following subparagraph is added:

“Member States shall report to the Commission on the implementation of this Decision by 30 April 2021.

- (3) the Annex is replaced by the text in the Annex to this Decision.

Article 2

This Decision is addressed to the Member States.

Done at Brussels,

For the Commission
Thierry Breton
Member of the Commission

Annex

PARAMETERS REFERRED TO IN ARTICLE 2(1)

A. DEFINITIONS

Active antenna systems (AAS) means a base station and an antenna system where the amplitude and/or phase between antenna elements is continually adjusted resulting in an antenna pattern that varies in response to short term changes in the radio environment. This excludes long-term beam shaping such as fixed electrical down tilt. In AAS base stations the antenna system is integrated as part of the base station system or product.

Non-active antenna systems (non-AAS) means a base station and an antenna system that provides one or more antenna connectors, which are connected to one or more separately designed passive antenna elements to radiate radio waves. The amplitude and phase of the signals to the antenna elements is not continually adjusted in response to short term changes in the radio environment.

Equivalent isotropically radiated power (EIRP) is the product of the power supplied to the antenna and the antenna gain in a given direction relative to an isotropic antenna (absolute or isotropic gain).

Total radiated power (TRP) is a measure of how much power a composite antenna radiates. It equals the total conducted power input into the antenna array system less any losses in the antenna array system. TRP means the integral of the power transmitted in different directions over the entire radiation sphere as shown in the formula:

$$TRP \stackrel{\text{def}}{=} \frac{1}{4\pi} \int_0^{2\pi} \int_0^{\pi} P(\theta, \varphi) \sin(\theta) d\theta d\varphi$$

where $P(\theta, \varphi)$ is the power radiated by an antenna array system in direction (θ, φ) given by the formula:

$$P(\theta, \varphi) = P_{Tx} g(\theta, \varphi)$$

where P_{Tx} denotes the conducted power (measured in Watts), which is input into the array system, and $g(\theta, \varphi)$ denotes the array system's directional gain along the (θ, φ) direction.

B. GENERAL PARAMETERS

Within the paired terrestrial 2 GHz band, the frequency arrangement shall be as follows:

- (1) The duplex mode of operation is Frequency Division Duplex (FDD). The duplex spacing shall be 190 MHz with terminal station transmission (FDD uplink) located in the lower part of the band starting at 1920 MHz and finishing at 1980 MHz ('lower band') and base station transmission (FDD downlink) located in the upper part of the band starting at 2110 MHz and finishing at 2170 MHz ('upper band').

- (2) The assigned block size shall be in multiples of 5 MHz⁸. The lower frequency limit of an assigned block in the lower band of 1920-1980 MHz shall be aligned with or spaced at multiples of 5 MHz from its lower edge of 1920 MHz. The lower frequency limit of an assigned block in the upper band of 2110-2170 MHz shall be aligned with or spaced at multiples of 5 MHz from its lower edge of 2110 MHz. An assigned block may also have a size in the range of 4.8-5 MHz as long as it fits within the boundaries of a 5 MHz block as defined above.
- (3) The lower band of 1920-1980 MHz or portions thereof, can be used for uplink-only operation⁹ without paired spectrum within the upper band of 2110-2170 MHz.
- (4) The upper band of 2110-2170 MHz or portions thereof, can be used for downlink-only operation¹⁰ without paired spectrum within the lower band of 1920-1980 MHz.
- (5) Base station and terminal station transmission shall be in compliance with the technical conditions specified in Part C and Part D, respectively.

C. TECHNICAL CONDITIONS FOR BASE STATIONS – BLOCK EDGE MASK

The following technical parameters for base stations called block edge mask (BEM) are an essential component of conditions necessary to ensure coexistence between neighbouring networks, in the absence of bilateral or multilateral agreements between operators of such neighbouring networks. Less stringent technical parameters, if agreed among all affected operators of such networks, may also be used provided that these operators continue to comply with the technical conditions applicable for the protection of other services, applications or networks and with obligations resulting from cross-border coordination.

The BEM consists of several elements given in Table 1. The in-block power limit is applied to a block assigned to an operator. The baseline power limit, designed to protect the spectrum of other operators, and the transitional region power limit, enabling filter roll-off from the in-block to the baseline power limit represent out-of-block elements.

Power limits are provided separately for non-AAS and AAS. For non-AAS, the power limits apply to the mean equivalent isotropically radiated power (EIRP). For AAS, the power limits apply to the mean total radiated power (TRP)¹¹. The mean EIRP or mean TRP are measured by averaging over a time interval and over a measurement frequency bandwidth. In the time domain, the mean EIRP or mean TRP is averaged over the active portions of signal bursts and corresponds to a single power control setting. In the frequency domain, the mean EIRP or mean TRP is determined over the measurement frequency bandwidth as given in Tables 2, 3 and 4 below¹². In general, and unless stated otherwise, the BEM power limits correspond to the aggregate power radiated by the relevant device including all transmit antennas, except in the

⁸ As the UMTS channel spacing is 200 kHz, the centre frequency of an assigned block used for UMTS can be offset 100 kHz from the centre of the block in the frequency arrangement.

⁹ Such as supplemental uplink (SUL)

¹⁰ Such as supplemental downlink (SDL)

¹¹ TRP is a measure of how much power the antenna actually radiates. EIRP and TRP are equivalent for isotropic antennas

¹² The actual measurement bandwidth of the measurement equipment used for purposes of compliance testing may be smaller than the measurement bandwidth provided in those tables.

case of baseline and transition requirements for non-AAS base stations, which are specified per antenna.

Block Edge Mask (BEM)

Figure
Example of base station BEM elements and power limits

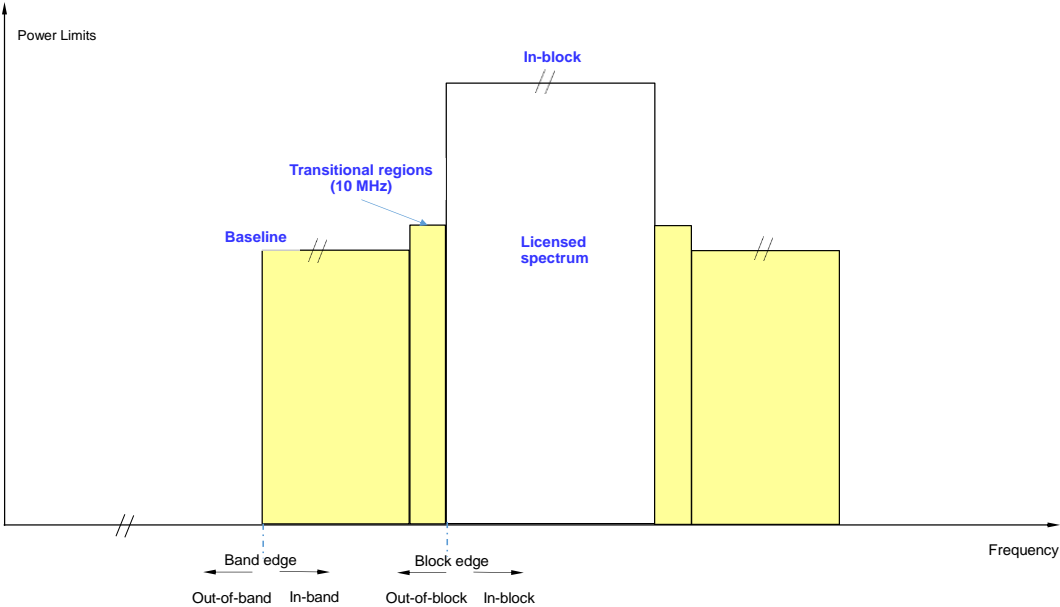


Table 1: Definition of BEM elements

BEM element	Definition
In-block	Refers to a block for which the BEM is derived.
Baseline	Spectrum within the FDD downlink frequency band used for WBB ECS, with the exception of block assigned to the operator and the corresponding transitional regions.
Transitional region	Spectrum within the FDD downlink within 0 to 10 MHz below and 0 to 10 MHz above the block assigned to the operator. The transitional regions do not apply below 2110 MHz or above 2170 MHz.

Table 2: In-block power limits for non-AAS and AAS base stations

BEM element	Frequency range	Non-AAS EIRP limit	AAS TRP limit
In-block	Block assigned to the operator	Not obligatory. In case an upper bound is set by a Member State, a value of 65 dBm/(5 MHz) per antenna may be applied.	Not obligatory. In case an upper bound is set by a Member State, a value of 57 dBm/(5 MHz) per cell(1) may be applied.
(1) In a multi-sector base station, the AAS radiated power limit applies to each one of the individual sectors.			

Explanatory note to Table 2:

The corresponding in-block TRP limit is determined following guidelines given in ETSI TS 138 104 V15.6.0, Annex F, sections F.2 and F.3, on the basis of an antenna gain of 17 dBi and a total of eight beam forming antenna elements (scaling factor of 9 dB):

$$65 \text{ dBm}/(5 \text{ MHz}) - 17 \text{ dBi} + 9 \text{ dB} = 57 \text{ dBm}/(5 \text{ MHz}).$$

Table 3: Baseline out-of-block power limits for non-AAS and AAS base stations

BEM element	Frequency range within FDD downlink	Non-AAS mean EIRP limit per antenna⁽¹⁾	AAS mean TRP limit per cell⁽²⁾	Measurement bandwidth
Baseline	Frequencies spaced more than 10 MHz from the lower or upper block edge	9 dBm	1 dBm	5 MHz

⁽¹⁾ The non-AAS BEM level is defined per antenna and applicable to base station configuration with up to four antennas per sector.

⁽²⁾ In a multi-sector base station, the AAS radiated power limit applies to each one of the individual sectors.

Table 4: Transitional region out-of-block power limits for non-AAS and AAS base stations

BEM element	Frequency range within FDD downlink	Non-AAS mean EIRP limit per antenna⁽¹⁾	AAS mean TRP limit per cell⁽²⁾	Measurement bandwidth
	-10 to -5 MHz from lower block edge	11 dBm	3 dBm	5 MHz

Transitional region	-5 to 0 MHz from lower block edge	16.3 dBm	8 dBm	5 MHz
	0 to +5 MHz from upper block edge	16.3 dBm	8 dBm	5 MHz
	+5 to +10 MHz from upper block edge	11 dBm	3 dBm	5 MHz
⁽¹⁾ The non-AAS BEM level is defined per antenna and applicable to base station configuration with up to four antennas per sector ⁽²⁾ In a multi-sector base station, the AAS radiated power limit applies to each one of the individual sectors.				

Explanatory note to Table 3 and 4:

In alignment with the standardisation of unwanted emission conducted power (TRP) for AAS base stations in ETSI TS 138 104 (V15.6.0), Annex F, sections F.2 and F.3, the out-of-block TRP limits are set to a value that corresponds to a total of eight beam forming antenna elements, resulting in 8 dB difference between AAS and non-AAS as for the in-block case.

D. TECHNICAL CONDITIONS FOR TERMINAL STATIONS

Table 5: Terminal station BEM in-block power limit

Maximum mean in-block power ⁽¹⁾	24 dBm
⁽¹⁾ This power limit is specified as EIRP for terminal stations designed to be fixed or installed and as TRP for terminal stations designed to be mobile or nomadic. EIRP and TRP are equivalent for isotropic antennas. It is recognised that this value may be subject to a tolerance defined in the harmonised standards to take account of operation under extreme environmental conditions and production spread.	

Explanatory note to Table 5:

Member States may relax this limit for specific deployments, e.g. fixed terminal stations in rural areas provided that protection of other services, networks and applications is not compromised and cross-border obligations are fulfilled.